

THE NEUROLOGIC AND PSYCHIATRIC
ASPECTS OF THE DISORDERS
OF AGING

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THE NEUROLOGIC AND PSYCHIATRIC ASPECTS OF THE DISORDERS OF AGING

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PREFACE

The broad general topic originally selected by the Trustees for the Thirty Fifth Annual Meeting of this stimulating Association was *The Neurologic and Psychiatric Aspects of the Disorders of the Aged*. This title is as of course a reflection of growing concern with the demographic, sociologic economic, and medical problems created by the scientific advances of the past century more particularly of the past four decades. These have resulted in a rapid increase in average life span and a corresponding increase in the proportion of the population over the age of 60 who are therefore increasingly affected by the physiologic changes of aging and the degenerative diseases of later years. From both physiologic and pathologic points of view the nervous system is unusually and especially importantly involved in this situation and the problems imposed on neuropsychiatrists are therefore legion.

The Program Committee however made one slight but very significant change in the wording of this Session's topic. For the words *of the Aged* they substituted the words *of Aging*. Their purpose in doing so was a strong conviction that students of medicine—that is to say research workers and investigators who are the spearhead of advancement of knowledge—should adopt a dynamic rather than a static approach. They particularly must think in broad general terms of biology. Their interest is not so much in the broken down derelict end product of senility and degeneration but far more in elucidation of how the poor aged wreck out the

... the Program Committee and the essayists approached their problems from the point of view of the important differences between the neuropsychiatric problems of the aged and the more fundamental problems of aging. The latter begins inevitably with the fertilization of the egg. Inextricably entangled with the ... of ...

The read
Committee

who attended the meeting seemed unexpectedly favorably impressed

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CONTENTS

| | |
|---|-----|
| I Biology of Aging of Cells <i>Albert I Lansing</i> | 1 |
| II The Life History of the Neuron <i>Paul Weiss</i> | 8 |
| III Brain Metabolism in Relation to Aging <i>Harold E Himwich and Williamina A Himwich</i> | 19 |
| IV Human Cerebral Blood Flow and Oxygen Consumption as Related to Aging <i>Seymour S Kety</i> | 31 |
| V Aging and Intelligence <i>Irving Lorge</i> | 46 |
| VI Experimental Prolongation of the Life Span <i>Frank Pope, Wanda Lunsford and Clive M McCoy</i> | 61 |
| VII The Psychopathology of Aging <i>William Malamud</i> | 70 |
| VIII Drug Effects as Modified by Aging <i>Louis Lasagna</i> | 83 |
| IX The Genetics of Aging <i>Franz J Kallmann</i> | 95 |
| X Epidemiology of Mental Disorders and Aging <i>Ernest M Gruenberg</i> | 112 |
| XI Structural Alterations with Aging in the Nervous System <i>Warren Andrew</i> | 129 |
| XII Hypertensive and Arteriosclerotic Vascular Disease of the Brain in the Elderly <i>Joseph M Foley</i> | 171 |
| XIII Neurologic Changes in the Aged <i>MacDonald Critchley</i> | 198 |
| XIV Rehabilitation of the Elderly Neurologic Patient <i>Howard A Rusk</i> | 224 |
| XV PANEL DISCUSSION The Physician's Contribution to the Role of Older Persons in Society <i>Chairman D Ewen Cameron</i> | 231 |
| 1 Acute Breakdowns <i>Eugene Meyer</i> | 235 |
| 2 Retirement <i>J S Tyhurst</i> | 237 |
| 3 Retirement, with Special Emphasis Upon Retirement Plans <i>Daught S Sargent</i> | 242 |
| 4 Some Comments on the Medical Management of the Older Person <i>David Seegal</i> | 245 |
| 5 Effects of Cultural Anticipation and Attitudes Towards Aging <i>Rhoda Mittraue</i> | 248 |
| 6 Psychotherapy of the Aged Person <i>Jack Weinberg</i> | 251 |
| 7 Rehabilitation of the Aged <i>Frederic D Zeman</i> | 254 |

CHAPTER I

BIOLOGY OF AGING CELLS

ALBERT I LANSING

Aging means very different things to different people. To the biologist aging is a process of unfavorable change in any adult organism usually correlated with the passage of time. To the clinician aging is the summation of degenerative diseases in elderly humans including cancer, cardiovascular disease, arthritis, and many mental diseases. To the social worker and sociologist aging is associated with the post retirement age individual who is in financial straits, emotionally insecure, inadequately housed and generally unwanted and unneeded by society. To the individual woman aging means the loss of physical attractiveness; to her aging is a cosmetic problem. To the individual man aging means little more than loss of sexual potency. I will in this discussion adhere to the biologist's concept of aging.

From the point of view of the biologist a sharp distinction should be drawn between the process of aging or senescence and the state of being aged or senility. Senescence is a process of progressive deterioration of the adult organism which is correlated with the passage of time and which terminates invariably with death of the individual. One indeed might speculate that senescence is a process of progressive loss of the ability to live on the part of the adult. Senility then would be the state of adulthood in which the ability to live has been significantly reduced. There are of course degrees of enfeeblement or loss of vital capacity. The thirty year old exhibits moderately reduced sexual vigor, subtly diminished stamina and inability to perform maximally in tasks such as professional boxing. The forty-year-old is more clearly inadequate. Without elaborating in detail he is prone to accumulate fat, his physical capacities are clearly reduced, his vision is deteriorating and his susceptibility to degenerative diseases is appearing. He deals with environmental changes in a manner quite different from —

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... as he has had many painful battles with environmental changes. He has experience, has learned to compromise with or adapt to environment. This is wisdom. It is also an expression of fatigue. The fifty, sixty, and seventy year-olds are much like the so-called middle aged man except that the enfeeblement is progressively more manifest.

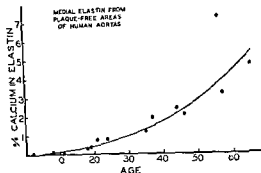


Fig 1.1 Age variations in calcium content of elastin in the media of human aortas grossly free of atheromata. Calculated on a dried defatted basis (From Lansing Ann Int Med 36 39 1959)

Again, if the same reasoning is applied to evaluation of age pigments one would conclude that these are not a product of aging because they occur in persons in their thirties. The fact is that they are essentially absent in cells of individuals in the first few decades of life, make their appearance in the early thirties, and increase steadily with age thereafter. Age pigments are a product of aging and highlight my point that aging begins rather abruptly at the time of maturation of the individual.

These age pigments are especially attractive to the biologist who believes that the aging of the various forms of life including man have a common denominator. Age pigments occur in plants, in invertebrates, in birds in the several mammals that have been studied, and in man.

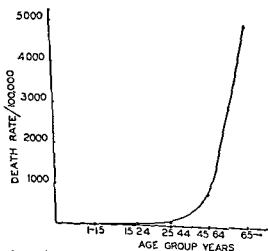


Fig 1.2 Death rate from cardiovascular disease in the United States in various age groups (Plotted from Dublin's data *Length of Life*, 1949 Roland Press N Y)

We are as I see it dealing with a mechanism which progressively reduces the adult's capacity to live. The mechanism is operating in the thirty year old as well as the seventy year old. I am disturbed that current interest in gerontology is restricted almost entirely to the terminal stages of senescence. Our population structure is rapidly shifting so as to contain more and more elderly people. Because the elderly constitute a severe social and economic problem our governments both federal and local have suddenly discovered that aging is a problem. Unemployability of the middle aged and compulsory retirement for the elderly have resulted in economic distress in an increasingly large cross section of our population. This group of older citizens is difficult to cope with; it is articulate, experienced in dealing with problems, and above all it is franchised. They must be kept busy, they need living quarters, and money must be put in their pockets without compromising the manpower pool. Our social workers and economists have a real Gordian knot to untie.

On the health front it is clear that the degenerative diseases of later years are rapidly becoming the major problems of medicine. Infectious diseases have to a large extent been conquered so that more of us live long enough to encounter crippling arthritis, cardiovascular diseases, cancer, and diseases of the nervous system. The latter may not be unique to old age but certainly show an increased incidence in later years. As more people live long enough to enter into late adulthood, medicine obviously will be compelled to concentrate its efforts still further on characterization of the degenerative diseases. These problems as well as those in the socio-economic area are very important but it should be recalled that they focus too sharply on the terminal portion of the life span. Aging is a problem of early and middle adulthood as well as of late adulthood and senility.

While I do not wish to deprecate the problems that beset the senile, I do believe that it is a strategic error to focus too much attention on a narrow band of the broad spectrum of the problem of aging. To deal solely with the narrow band is to invite garbled notions. At the moment many consider arteriosclerosis not to be a problem of aging because this degenerative disease occurs in young people (those in the fourth decade of life). If however the individuals in this age group were actually beginning to age, the attack on the problem of arteriosclerosis would be quite different. A graph (fig. 11) prepared from Dr. Dublin's data (Length of Life, 1949) illustrates the death rate due to cardiovascular disease in various age groups. It seems apparent from the graph that deaths due to cardiovascular diseases become a serious factor in early adult life. Similarly, if one examines data for the relation between age and calcification of medial elastic tissue in human aortas (fig. 12) free of atheromatosis, it becomes evident that this process has its beginning at roughly the age of twenty.

the work of Payne on endocrine organ changes with age in the fowl. According to him the granular mitochondria in the basophils of the anterior pituitary gland gradually become vesiculated. These vesiculated mitochondria increase in size perhaps by fusion to become enormous. These bodies are not unlike age pigments. In a recent electron microscopic study of age changes in spinal ganglia of the guinea pig Hess has found a close association between obvious age pigments and mitochondria. The possibility exists that age pigments are the end product of mitochondrial degeneration.

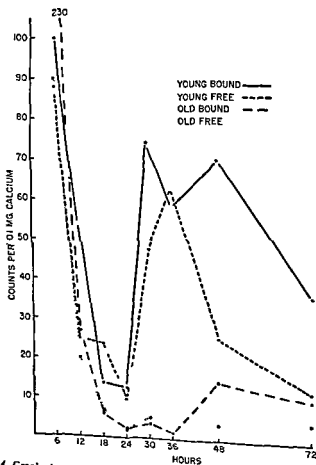


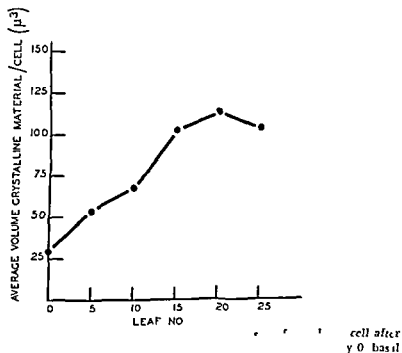
Fig 14 Graph showing the changes in specific activity of free and bound calcium (Ca^{45}) of young and old mouse liver after injection of radioactive calcium (From Lansing Arch Biochem, 10 12, 1949)

They are found in liver adrenal glands cardiac muscle anterior pituitary glands nerve cells etc

As early as 1891 Hodge called attention to age pigments in nerve cells of the honeybee and in cells of dorsal root ganglia of man. Some theories of aging lean on the presence of age pigments and other cellular inclusions for evidence to support the idea that aging is a product of accumulation of metabolic by products in the cell. For such inclusions to be toxic as these theories hold one would expect them to be reactive. Yet the limited volume of chemical data on age pigments would indicate that these materials are remarkably insoluble.

According to Jynne's recent work the acid fast age pigments in human cardiac muscle appear in the second decade of life and increase steadily with age thereafter. They are insoluble in acids alkalis and fat solvents. Their insolubility would suggest that they are probably inert in the cell. It is worthy of note here that calcium compounds quite generally tend to increase with age. It is well known that many salts of calcium are quite insoluble. Perhaps age pigments and calcium salts because of their insolubility accumulate in the cell as they form simply because of lack of a mechanism for elimination of such materials.

A clue to the mechanism of formation of age pigments is contained in



They are *consistent* with the possibility that aging is an endogenous mechanism. Even this point is not clear, on the basis of existing information aging could quite conceivably be a product of endogenous or exogenous factors. More research is needed.

DISCUSSION

See Chapter II

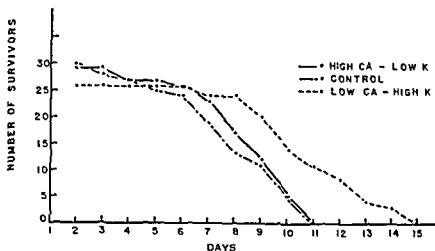


Fig 15 The effect of calcium concentration of the culture medium on the duration of life of *Rotifer vulgaris* (From Lansing J Exper Zool, 91 195 1912)

In a number of publications I have suggested that calcium may play a significant part in the aging process. Calcium increases with age in a variety of plants, invertebrates, mammals, and in man. In the cells of the water plant, *Elodea*, the calcium increase with age may be quantitated by measuring the number of calcium oxalate crystals formed in the cell after stimulation (fig 13). Microincineration as well as quantitative analytical procedures reveal a calcium increase with age in the soft tissues of various animal forms. At least one isotopic study using Ca^{45} would indicate that old mouse liver is capable of taking up large amounts of calcium, particularly in the bound fraction. The calcium that is bound in old liver is not as labile as that of young liver, its turnover is much lower (fig 14). The region of the cell cortex shows age increases in calcium content and longevity of rotifers can be extended by calcium deprivation (fig 15). Since calcium lowers cell permeability it is possible that the age change in calcium content results in reduced cell permeability. It is tempting to speculate that this reduced permeability, if it does occur, may account for the accumulation of materials in the cell. Unfortunately, there have been too few permeability studies to indicate whether or not there is a general change with age in this vital cell function. Benedict inferred from his plant studies that there is a permeability decrease with age in *Vitis vulpina* but the method used was too indirect to be taken seriously. In some unpublished studies on toad muscle several years ago I found that the rate of water loss or gain in solutions of various osmotic concentrations was age dependent. Further studies like these are needed.

These are a few of the cellular factors in aging that have interested me

velopment is not compressed into a separate formative phase of life but is coextensive with the whole life span continuing from egg to death even though with declining prominence and since much of what we call aging is but a special aspect of development—the reverse side of the same coin—our concept of aging must be correspondingly adjusted. The notion of a neuron ever being truly completed in the sense of a finished machine is as you shall see an illusion. Development involves continuous transformations. Like other cells live neurons can retain none of that precise detailed and durable stability of structure equipment and geometry that the printed stereotypes of our textbooks of microscopic anatomy have conjured in our minds. Of course we all realize that no two cells even of the same type are ever nearly as much alike as are their five thousand identical illustrations in five thousand copies of the same textbook. But what we do not commonly realize is that not even any given cell is quite the same today as it was yesterday indeed it changes much more in one day than its textbook effigies have changed in many decades of transit from one text to another. The neuron is in perpetual flux of concurrent degradation and renewal. This instability renders it mutable both in adaptive and regressive directions. And since this aspect of the life history of the neuron has much potential impact on problems of aging I shall devote the substance of my further account to it.

That the neuron as a whole is not a stable but rather a stationary unit is a relatively recent discovery. There has been prior recognition of instability on the molecular level below and of plasticity on the supracellular level above. On the molecular level the extensive work with isotopes has revealed the unsuspectedly rapid turnover of protoplasmic constituents. On the supracellular level those theories at least that try to refer phenomena of association memory and adaptive behavior to changes in the pattern of the neuronal network for *in modum*.

the plastic web of connections. But now even this intermediate island of solidity has lost its former aspect of fixity. In this regard it falls in line with other protoplasmic systems in the body that are destined to last for life. In order to

their

drain

food

To

at a column of skin reaching from the basal layer of the epidermis to its surface (fig 111 top). Normally only the cells at the base can grow and reproduce. They turn food stuffs into

CHAPTER II

THE LIFE HISTORY OF THE NEURON

PAUL WEISS

There was a time not so long ago when the life history of a neuron could have been described about as follows: a primitive cell derived from the actively proliferating neural epithelium of the embryo wanders off into the mantle, ceases to divide, enlarges, sprouts, and soon then dendrites form synaptic connections with other cells, neural or non neural, enlarges further, receives end feet from other neurons, develops in quick succession a series of enzyme systems (7), enlarges still more, deposits Nissl bodies in its cytoplasm, and at last is turned over to its user—the finished body—like a machine come off the assembly line essentially in ready working condition just to be run in polished and improved in its wiring. This description, as you note, grants to the neuron, as to the machine, only a strictly limited epoch for its construction—called development—which in a strand and mammal would occupy no more than about the first one per cent of the total life span. During the remaining ninety nine per cent, the owner would have to get along with the physical equipment previously received as a static and immutable apparatus. Once the embryonic assembly plant had been dismantled, no worn out parts could be replaced, no major repairs executed.

Evidently this concept of a life history sharply divided into a formative and an operative phase has had a profound effect on our concept of aging. Machines, too, age, and principally from three causes: material decay—as when stone weathers or rubber rots; deposition of foreign matter—such as grit or sludge or slags; and ordinary wear and tear—which means the cumulative record of nicks and abrasions, accentuated by insults and negligence in use, all of these pointing to the scrap heap. Naturally, under the spell of this machine analogy, one has taken a similar fate of the neuron for granted. Partial to microscopic signs, one readily accepted certain insoluble pigment granules that pile up in ganglion cells with age as visible evidence of such functional impairment.

Yet more recent insight into the processes of development calls for a rather thorough reorientation of our thinking about such matters. De-

¹ From the Rockefeller Institute for Medical Research, New York. Work partially granted from the American Cancer Society upon recommendation of the Committee on Growth of the National Research Council and the National Institutes of Health, Public Health Service.

velopment is not compressed into a separate formative phase of life, but is coextensive with the whole life span, continuing from egg to death, even though with declining prominence, and since much of what we call aging is but a special aspect of development—the reverse side of the same coin—our concept of aging must be correspondingly adjusted. The notion of a neuron ever being truly completed, in the sense of a finished machine, is, as you shall see, an illusion. Development involves continuous transformations. Like other cells, live neurons can retain none of that precise, detailed and durable stability of structure, equipment and geometry that the printed stereotypes of our textbooks of microscopic anatomy have conjured in our minds. Of course, we all realize that no two cells, even of the same type, are ever nearly as much alike as are their five thousand identical illustrations in five thousand copies of the same textbook. But what we do not commonly realize is that not even any given cell is quite the same today as it was yesterday, indeed, it changes much more in one day than its textbook effigies have changed in many decades of transit from one text to another. The neuron is in perpetual flux of concurrent degradation and renewal. This instability renders it mutable, both in adaptive and regressive directions. And since this aspect of the life history of the neuron has much potential impact on problems of aging, I shall devote the substance of my further account to it.

That the neuron as a whole is not a stable, but rather a stationary, unit, is a relatively recent discovery. There has been prior recognition of instability on the molecular level below and of plasticity on the supracellular level above. On the molecular level, the extensive work with isotopes has revealed the unsuspectedly rapid turnover of protoplasmic constituents. On the supracellular level, those theories at least that try to refer phenomena of association, memory and adaptive behavior to changes in the pattern of the neuronal network (or to modifications of . . .

now even this intermediate island of solidity has lost its former aspect of fixity. In this regard it falls in line with other protoplasmic systems in the body that are destined to last for life. In order that the . . .

their . . .

drain . . .

prodi . . .

To . . .

the basal layer . . .

the cells at the base can grow and reproduce. They turn food stuffs into . . .

(fig. 11-1, top) Normally only . . .

CHAPTER II

THE LIFE HISTORY OF THE NEURON

PAUL WEISS¹

There was a time not so long ago when the life history of a neuron could have been described about as follows: a primitive cell derived from the actively proliferating neural epithelium of the embryo wanders off into the mantle, ceases to divide, enlarges, sprouts an axon, then dendrites, forms synaptic connections with other cells, neural or non neural, enlarges further, receives end feet from other neurons, develops in quick succession a series of enzyme systems (7), enlarges still more, deposits Nissl bodies in its cytoplasm, and at last is turned over to its user—the finished body—like a machine come off the assembly line essentially in ready working condition, just to be run in, polished, and improved in its wiring. This description, as you note, grants to the neuron, as to the machine, only a strictly limited epoch for its construction—called development—which in a strand and mammal would occupy no more than about the first one per cent of the total life span. During the remaining ninety nine per cent, the owner would have to get along with the physical equipment previously received as a static and immutable apparatus. Once the embryonic assembly plant had been dismantled, no worn out parts could be replaced, no major repairs executed.

Evidently, this concept of a life history sharply divided into a formative and an operative phase has had a profound effect on our concept of aging. Machines, too, age, and principally from three causes: material decay—as when stone weathers or rubber rots; deposition of foreign matter—such as grit or sludge or slags; and ordinary wear and tear—which means the cumulative record of nicks and abrasions, accentuated by insults and negligence in use, all of these pointing to the scrap heap. Naturally, under the spell of this machine analogy, one has taken a similar fate of the neuron for granted. Partial to microscopic signs, one readily accepted certain insoluble pigment granules that pile up in ganglion cells with age as visible evidence of such functional impairment.

Yet more recent insight into the processes of development calls for a rather thorough reorientation of our thinking about such matters. De-

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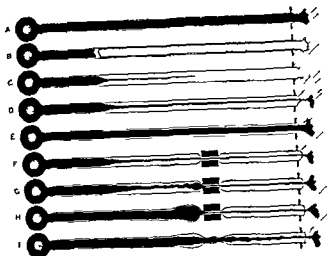


Fig 11.2 Diagrams of single nerve fibers in ordinary regeneration (B-E) and in regeneration combined with constriction (F-I) in the last case (I) followed by later release of the constriction (From Weiss and Hiscoe)

ing diagram (fig 11.2) summarizes the results of six years' study involving experiments and observations on more than one hundred thousand nerve fibers (24)

You see here nine mature neurons: a normal one on top; the others in various stages of ordinary or modified regeneration. The nucleated cell bodies are on the left; the periphery is on the right. Lines B to E recapitulate the regenerative events following the crushing of a fiber.

The proximal stump issues a thin filamentous outgrowth which advances through the distal degenerated portion into the periphery (as seen in C); here it makes connection with an old degenerated end organ (as in D). The new sprout is then still very thin. Only gradually does it enlarge in width, eventually approximating full caliber. To achieve this, it must grow in protoplasmic mass about a hundred fold.

It was this increase in girth which formed the subject of our investigations. By placing a constriction around a nerve we created a

labeled area. But as this filament keeps enlarging and attains the diameter of the constricted portion, a marked asymmetry begins to appear between the portions of the fiber proximally and distally. The proximal portion of the con-

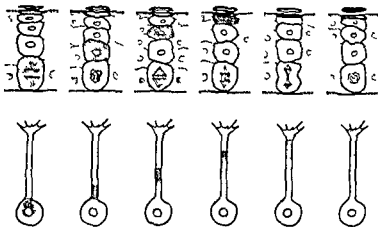


Fig 111 Diagrams of the mode of growth of a column of epidermis (top) and of a neuron (bottom) A newly produced mass of protoplasm (supplied) is followed through six progressive stages from left to right to its final disposal

more protoplasm of their own kind, and when they have grown to twice their size, they divide, one cell retaining its basal position and reproductive function while the other cell is pushed up one story. It soon is raised another story as the next cell is added from the base while it in turn crowds the more outer cells. The column thus would keep on rising save for the fact that in their rise the cells become progressively transformed into plain keratin in which form they flake off the free surface. As long as the loss at one end and the gain at the other are equal the column keeps a constant stationary height. Whenever production exceeds shedding the height will grow the skin becomes thicker conversely when shedding outstrips reproduction the column will shrink.

Now but for the facts that in the skin the growing basal cells also divide and that the discard at the other end is visible the column of skin cells is quite comparable to a single neuron with the nucleated cell body or perikaryon corresponding to the base of the epidermal column (fig 111 bottom). For in the neuron likewise it is at this pole that protoplasm is continuously reproduced then passed on to the rest of the neuron where it is dissipated albeit in molecular hence less tangible form. Just as in the skin column the size of the neuron at any one moment therefore will only be an expression of the momentarily prevailing ratio of production over dissipation and will remain constant only as long as the two opposing processes are in equilibrium.

Thus the difference between the steady state of skin blood and glands on the one hand and of neurons on the other is simply that in the former both loss and gain are grossly visible as cell destruction and cell division respectively whereas the neuron is less ostentatious. Just by a lucky accident did I discover microscopic signs of its perpetual growth. The follow

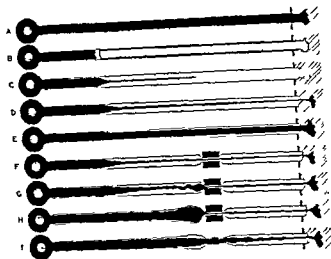


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It was this increase in girth which formed the subject of our investigations. By placing a constriction around a nerve, we can reduce the caliber of each constituent nerve fiber locally, as shown in lines F, G and H. If now we cut the fiber proximally to the constriction a thin filament again regenerates through the constriction (in F). But as this filament keeps enlarging and attains the diameter of the constricted portion a marked asymmetry begins to appear between the portions of the fiber lying proximally and those distally of the constriction as you can see in G and H. The distal portion remains thin and permanently so while at the proximal end of the constriction surplus neuroplasm piles up. Conclusion the growth of the axon evidently occurs solely from its base, and the constriction, acting

as a bottleneck, has throttled the supply of growing mass to the distal portion

Now, it is most important to understand precisely just how this growth occurs and what it implies. In the first place, this is not simply a matter of pumping the distal fiber full of axonal substance, like distending a hose. If it were the piece distal to the bottleneck would only take longer to attain full size but eventually it would attain it. However, in reality it never does: it stays forever nearly as thin as the bottleneck itself. It thus compares rather to a leaky hose being inflated. That is, its substance continues to suffer the drain of local metabolic consumption while the replacement stream from the remote central source is slower in compensating for the loss because of the narrowed passage.

Secondly while proximo-distal convection of some prerequisites for axonal maintenance had indeed been postulated by Cook and Gerard (5) and by Parker and Prine (16) this does not accurately express the situation. For what is conveyed from the cell to the axon is not a flux of essential supplements for local growth—for instance enzymes for distal protein synthesis—but is a coherent column of finished axonal substance. As has been conclusively demonstrated by the qualitative and quantitative analysis of the configurations and deformations assumed by the axons at the central side of the constriction the whole axon is in centrifugal motion.

The most dramatic evidence of this steady propulsion is obtained when the constriction is removed many months after the system has come to equilibrium. One can then directly follow the dammed up protoplasmic surplus on the near side of the constriction forcing its way down through the widened passage like a dammed up stream through opened flood gates on into the far portion of the fiber (see line I of diagram). The distal fiber segment of a constricted fiber may have been undersized for a year or more and still resume larger caliber upon release of the stricture as axoplasm can now enter with a broader front (22). Such a released axonal flood wave advances at the rate of several millimeters per day which may be taken to be the order of magnitude with which the axonal column normally grows forth from its central base. If the liberation of ammonium from nerve is taken as a measure of the complete break down of protein in the peripheral portions one would have to postulate a rate of replacement from the central supply source of the same order as that actually observed for the advance of the axonal column (21).

Finally this axonal movement is by no means confined to phases of regenerative regrowth of a fiber, but is a permanent feature of neuronal life. For instance if a partial constriction is placed in a full sized mature nerve fiber without disrupting it the distal part though still connected with the cell body, gradually shrinks in accordance with the reduced entry of new

axonal mass it returns to its former dimensions whenever the obstruction is again removed.

What happens, evidently, is that new neuroplasm, produced continually in the nucleated territory of the cell, enters from there a sort of conveyor belt that moves it down into the periphery. On its way, it is then progressively consumed in the replacement of degrading protoplasmic systems, and the size of the neuron at any one time is simply a measure of the then prevailing ratio between overall consumption and renewal from the central source. Thus, returning once more to the stationary column of epidermal cells (fig. 11.1), we find it truly illustrative of the neuron, except for the fact that the latter does not divide and dissipates its substance diffusely, rather than in one terminal package.

More indirect confirmation of the continuous growth of the neuron has come from the cytochemical investigations of Hydén (12) and collaborators, who deduced a high rate of protein synthesis in the cell from the elevated nucleic acid concentration in and around the nucleus. Equally pertinent is the report from Gerard's laboratory (17) that labelled phosphoprotein seems to shift peripherad in nerves at a rate of more than 2 millimeters per day which corresponds closely to the measured rate of axonal advance in our experiments.

After all this the neuron now appears in a wholly new light. Divested of its simulated structural fixity it assumes the wide latitude of adaptive expression open to a system in steady flux. Evidence for such adaptations, both of size and substance, is rapidly accumulating. Far from being set, size goes up or down with fluctuations in the rate of synthesis of a few

the existence of peripheral connections. Unconnected neurons do not attain their full mass (13). A mature neuron, whose connections have been severed secondarily in some

(14, 15) When reconnected with a peripheral organ the neuron gains again. If it is overloaded with additional branches and connections, its nucleus and cell body assume dimensions far in excess of normal (4, 6). The production center of the neuronal mass thus conforms to the demands of the peripheral innervation volume.

Similarly it adjusts itself to functional

relative mass in retinal cells presumably is also a functional effect of illumination for it does not take place in the dark (2). When the ganglion cells in the antidiuretic center in the hypothalamus are overactive in thirsting animals then hyperfunction likewise entails enlargement of the nuclei and nucleoli (15).

Our main point here is that because the neuron is not a settled fixture in the mechanical sense because not only its interstices and surfaces but the entire system is in a state of perpetual reorganization its size remains greatly variable throughout life.

One could hardly assume that such wide fluctuations would leave unaffected the subtle connections of other neurons that end on the expanding or shrinking surface of the variable cell body. Just how the density and distribution of end feet and other relevant synaptic features would change remains to be determined. But there can be little doubt that the relation between function and the size of the cell body is mutual: that not only does the cell body adapt its dimensions to the actual functional load but that the resulting change of size will reciprocally affect some functional parameters.

To these quantitative changes must then be added the possibility of major qualitative adaptations to extraneous conditions: developmental, trophic, functional or otherwise. Much of my early work, amply confirmed and expanded since by Sperry (20), has clearly revealed that a neuron switched to a different peripheral termination can adopt the specific character and central response relations of its new end organ. There is some evidence, though, that this adaptive faculty for qualitative modulation declines with advancing age (18), hence its scope during later life is quite uncertain.

The biochemical basis of these qualitative modulations is much too subtle to be detectable by methods of current cytochemistry. However, those qualitative cytochemical changes that are directly demonstrable are by their very grossness indicative of the profound variability in its chemistry of which the neuron is capable. The well known depletion of Nissl bodies during high activity has now been identified with a marked decrease of ribonucleic acids (11). At the same time a conspicuous increase has been noted in the lipids of hyperactive ganglion cells (Purkinje cells of rabbits following prolonged rotation, Hyden, 1951).

Whatever all these changes may mean functionally for us they signify that neuropilism is subject to ceaseless change of composition throughout life. Let us examine what this implies.

The mechanisms of protoplasmic reproduction are still very obscure but this much seems assured: 1) *Cene replication* is basically involved. 2) The complement of genes remains essentially the same in all cells of all

somatic types 3) Differentiation on the other hand connotes divergence of physical and chemical constitution in different cell types

To reconcile this last fact with the thesis of gene constancy, one must assume that the extragenic protoplasmic systems of nucleus and cytoplasm assume divergent patterns and that these latter then evoke different interactions from the same group of genes or as I have suggested convert common gene products into cell type specific compounds by serving as models or templates (19-21) In this way the cytoplasm can impose its pattern on substance newly procured from genic sources in the nucleus Accordingly if the relevant molecular pattern in the cytoplasm undergoes changes all subsequently reproduced mass would be cast into the changed mould as it were so that the changed configuration would be perpetuated

Thus as the neuron keeps renewing its substance the pattern of this renewal may undergo significant alterations during life Some of these changes may be of the slow autonomous kind often referred to as maturation but there might also be others that are induced from the outside comparable to the phenomenon of adaptive enzymes Many micro-organisms can learn to metabolize unusual substrates and to pass this acquired faculty on to their offspring as long as the changed substrates are present (11) If this kind of self-perpetuating modification were equally common in tissue cells for which there is as yet no proof then obviously the neuron being in continuous self-reproduction might perhaps be a case in point

Let us now summarize I have tried to show that the life history of the neuron cannot be written in static terms It is a history of flux What used to be viewed as stable products has revealed itself as a steady flow of production change in equipment as a change in the reproductive pattern Aging therefore would be not so much a matter of gain or loss of physical equipment as a matter of inadequacies and incongruities arising in the renewal process with the consequent decline of the adaptive features which that process sustains If one insists on using a machine analogy the machine that fails primarily in aging is not the physiologic machine which operates the nervous system but the developmental machine which keeps that physiologic machine in good repair The enormous complexity of the network of interactions essential for this process should caution us not to expect any one master reaction to hold the key to the understanding of neural aging To add to the complexity you must bear in mind that the life history of the neuron is moreover intimately connected with the life histories of the neuronal accessories especially glia and sheath cells which I have not even touched upon—largely because even less is known about them Now the resolution of complexity lies not in arbitrary simplification but in the patient disentangling of the complex fabric The threads I

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In any event one does have evidences of all sorts of a decreasing turnover a decreasing speed a decreasing activity with age and I completely subscribe to the point of view that Dr Weiss developed Aging is essentially a failure of the regenerative the reconstitutive or the rejuvenative processes and I would like to take my remaining minutes to ask first why is this failure inevitable and second what does it mean in the nervous system?

The answer to the first question I should say is that it is not inevitable If there were not some counter action organic life could not endure let alone build up by evolution This revitalizing event happens in endomixis or the sex exchange in organisms and suddenly renews completely the total vital potential—whatever I mean by that—so that the life processes get wound up over and over and over again One can duplicate this less mysteriously by other maneuvers such as repeated starvation of lower organisms which leads to repeated rejuvenation and prolongs life apparently indefinitely

In the case of the central nervous system it seems to me that this whole question is intimately tied up with that of regeneration and rejuvenation Most people are now at last convinced that regeneration of fibers in the central nervous system is possible It has been established many times The effectiveness of this falls off rapidly with aging but apparently regeneration can again be hastened or accelerated by a variety of techniques What is not yet generally realized is that adult neurons can divide can undergo mitosis and reproduce themselves and so in effect can regenerate In tissue culture this has been done with pyramidal cells of the human cortex and there is then potentially the possibility even of restoring whole neurons in the nervous system We merely must learn how to do it

The problem in neural regeneration is to find those processes devices or agents which will bring about a rejuvenation or anti-aging

down view of the world for the rest of its life A rejuvenating action on the nervous system might restore the ability to adapt and cause such a frog say to jump toward rather than from a fly The return towards normal of a reversed behavior would thus directly test how electro- or drug shock insulin hyperthermia cortisone or any other maneuver is able to bring about a regenerative reorganization of the nervous system

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DISCUSSION

DR RALPH GERARD [Ann Arbor Mich.] These two papers have presented a rich and broad lens picture of the whole problem of aging and as the Chairman has already said were a splendid opening to the meeting

It occurred to me that it would be of some interest to try to extend the thinking more particularly to the nervous system and to examine the changes of aging and the mechanisms for these and then to generalize in regard to the large question is aging necessary and what can be done about it at least in the case of the nervous system?

The phenomena of aging that relate particularly to the nervous system include slower learning a greater rigidity in what one knows with less willingness to shift views and a decrease of recent memory The loss of recent memory is an example of the steady process of slowing down and rigidification There is also a quantitative loss of functioning—of acuity on the sensory side of speed and power on the motor side

Now what kinds of mechanisms are responsible for these changes? First although the phenomena I have pointed to are primarily in the nervous system they exemplify more general changes involving the protoplasm of cells throughout the organism I shall never forget some sea anemones at the Marine Station in Naples They were protected and lived for beyond the normal life span of sea anemones They were much larger than any found in the open and were so rigid—from the actual deposit of stiff material the loss of water and all the other changes of aging—that their tentacles could barely be moved fast enough to ingest sufficient food for life The over all slowing process is very dramatic

Second what are the more particular mechanisms in the nervous system involved in these changes? Whatever they are there is less a breakdown of function than a limitation in span An octogenarian story makes the point well The old gentleman in some way insulted about his decreasing powers pulled himself up to full height and said

I'll have you know I'm just as good a man as I ever was thought a moment and then added For one hour a day The mechanisms involved in this decay are at the cellular and the subcellular levels as the speakers have brought out—a particular neuron decreasing in size decreasing in metabolic rate increasing in the slag it carries I would suggest incidentally Dr Lansing that even though the granular particles may be completely nontoxic they may still like a stalled automobile in the road obstruct traffic and seriously hamper the functioning of neurons The binding of calcium and the accumulation of metaplasma can certainly slow function and I hope that subsequent papers will deal with the falling metabolism of neurons with age There is also a loss of cells during aging many reports indicate a decrease in absolute number in the muscle receptor and neuron populations

Conversely a filling up of the dendritic field is noted If new processes progressively fill the total capacity so that further fiber or dendrite growth becomes difficult and finally impossible this may have something to do with the failure of recent learning The problem of the actual changes in the connections the patterns of the neurons is implied by several of the important facts that Dr Weiss presented Incidentally Dr Weiss if one makes the assumption that there is a balance between loss of material at the periphery of a fiber and inflow of material through the constriction and that loss depends on surface the radius of the peripheral fiber should vary as the square of the radius of the constriction I don't suppose that your data are sufficiently precise to say whether or not that is true That the whole axon grows continuously with a steady

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The answer to the first question, I should say, is that it is not inevitable. If there were not some counter action, organic life could not endure, let alone build up by evolution. This revitalizing event happens in endomixis or the sex exchange in organisms and suddenly renews completely the total vital potential—whatever I mean by that—so that the life processes get wound up, over and over and over again. One can duplicate this less mysteriously by other maneuvers such as repeated starvation of lower organisms, which leads to repeated rejuvenation and prolongs life apparently indefinitely.

In the case of the central nervous system it seems to me that this whole question is intimately tied up with that of regeneration and rejuvenation. Most people are now at last convinced that regeneration of fibers in the central nervous system is possible. It has been established many times. The effectiveness of this falls off rapidly with aging but apparently regeneration can again be hastened or accelerated by a variety of techniques. What is not yet generally realized is that adult neurons can divide, can undergo mitosis and reproduce themselves and so, in effect, can regenerate. In tissue culture, this has been done with pyramidal cells of the human cortex and there is then potentially the possibility even of restoring whole neurons in the nervous system. We merely in our laboratory

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AND LINDEN HOLZER. The composition of the nerve cell studied with new methods. Intern Rev Cytol 3: 455, 1964.

have tried to extricate here are but a modest few, yet they seem to lie close to the core of the perplexing problem of aging

DISCUSSION

DR. RALPH GERARD [Ann Arbor, Mich.] These two papers have presented a rich and broad lens picture of the whole problem of aging and as the Chairman has already said were a splendid opening to the meeting

It occurred to me that it would be of some interest to try to extend the thinking more particularly to the nervous system and to examine the changes of aging and the mechanisms for these and then to generalize in regard to the large question is aging necessary and what can be done about it at least in the case of the nervous system?

The phenomena of aging that relate particularly to the nervous system include slower learning a greater rigidity in what one knows with less willingness to shift views and a decrease of recent memory. The loss of recent memory is an example of the steady process of slowing down and rigidification. There is also a quantitative loss of functioning—of acuity on the sensory side of speed and power on the motor side.

Now what kinds of mechanisms are responsible for these changes? First although the phenomena I have pointed to are primarily in the nervous system they exemplify more general changes involving the protoplasm of cells throughout the organism. I shall never forget some sea anemones at the Marine Station in Naples. They were protected and lived for beyond the normal life span of sea anemones. They were much larger than any found in the open and were so rigid—from the actual deposit of stiff material the loss of water and all the other changes of aging—that their tentacles could barely be moved fast enough to ingest sufficient food for life. The over all slowing process is very dramatic.

Second what are the more particular mechanisms in the nervous system involved in these changes? Whatever they are there is less a breakdown of function than a limitation in span. An octogenarian story makes the point well. The old gentleman in some way insulted about his decreasing powers pulled himself up to full height and said

I'll have you know I'm just as good a man as I ever was thought a moment and then added For one hour a day. The mechanisms involved in this decay are at the cellular and the subcellular levels as the speakers have brought out—a particular neuron decreasing in size decreasing in metabolic rate increasing in the slug it carries. I would suggest incidentally Dr. Lansing that even though the granular particles may be completely nontoxic they may still like a stalled automobile in the road obstruct traffic and seriously hamper the functioning of neurons. The binding of calcium and the accumulation of metaplastin can certainly slow function and I hope that subsequent papers will deal with the falling metabolism of neurons with age. There is also a loss of cells during aging many reports indicate a decrease in absolute number in the muscle receptor and neuron populations.

Conversely a filling up of the dendritic field is noted. If new processes progressively fill the total capacity so that further fiber or dendrite growth becomes difficult and finally impossible this may have something to do with the failure of recent learning. The problem of the actual changes in the connections the patterns of the neurons is implied by several of the important facts that Dr. Weiss presented. Incidentally Dr. Weiss if one makes the assumption that there is a balance between loss of material at the periphery of a fiber and inflow of material through the constriction and that loss depends on surface the radius of the peripheral fiber should vary as the square of the radius of the constriction. I don't suppose that your data are sufficiently precise to say whether or not that is true. That the whole axon grows continuously with a steady

CHAPTER III

BRAIN METABOLISM IN RELATION TO AGING

HAROLD E. HIMWICH AND WILLIAMINA A. HIMWICH

There is a general agreement that an impairment in the efficiency of mental as well as of physical powers occurs in old age. In some, this impairment is delayed longer than in others and in any case the difference is less noticeable in individuals whose intellectual capacities are well developed. What are the underlying changes in the brain which may give rise to such deteriorating alterations? It will be the main purpose of this paper to ascertain the biochemical changes in the brain associated with old age in an effort to correlate them with the alterations in behavior which may occur in the last period of life.

One method of study is to measure the cerebral metabolic rate. Long years of experience have proved that basal metabolic rate, or the oxygen consumption of the entire body, gradually falls after 40 years of age and more swiftly so after 80 years. There is evidence that cerebral metabolic rate also declines in old age.

But for the sake of completeness and to set the background for further discussion we shall reiterate that cerebral metabolic rate is reduced in aged individuals (1). The decrease in metabolism is dependent chiefly upon a retardation of cerebral blood flow and the latter is associated with an augmented cerebral vascular resistance (2-3). Why is this retardation of blood flow not compensated for by a relaxation of cerebral vascular resistance? It has been shown that even in the aged cerebral blood flow can increase

and still not show cerebral blood flow by a compensatory relaxation of cerebral vascular resistance? There would seem to be another factor perhaps that of parenchymal damage and the diminished oxygen consumption of the brain would then be secondary to alterations in brain cells (4). Thus the cells may not only suffer injury as a result of the impaired blood supply but there may be a direct action on the cells by some toxic product so that parenchymal damage may accrue. In that case the low cerebral metabolic rate could be secondary to prior damage of the brain cells. It is of interest that there seems to be a similar decrease of metabolic rate whether or not the patients reveal abnormal behavior (5). Aged individuals who are apparently normal have cerebral metabolic rates like those of elderly persons with signs of psychotic or neurologic dis-

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TABLE III 1

Respiration of Rat Brain Homogenates in Relation to Age (from Reiner (11))

| | Age (Months) | | | | | | | |
|----------------------------|--------------|-----|-----|-----|------|-------|-------|--------------------|
| | Em bryos | 0-1 | 1-4 | 4-6 | 6-12 | 12-18 | 18-24 | Greater than 24 |
| QO ₂ dry weight | 10 | 14 | 15 | 16 | 15 | 16 | 15 | 10 |

metabolic rate has been reduced to such low values as are seen only in embryonic life (table III 1)

If we accept a reduction in cerebral metabolic rate as an accompaniment of old age we may regard it as another sign of altered biochemistry and seek other changes of the brain which can be correlated with such a decrease. Let us turn first to an examination of the water content of the brain. One of the most important constituents of the body in general, as well as of the brain is water. Certainly it is greatest from a quantitative viewpoint and all the reactions on which life depends take place in an aqueous medium. Donaldson (12) published observations on rats showing a decrease in the percentage of water content for the entire brain in the first year of life (table III 2). Other experiments made on rabbits up to 4 years of age approximately middle life are in agreement with such a decrease in moisture (13). In addition they disclose that the water content of the various parts of the brain examined reveal a phyletic order. The newer parts of the brain, cortical gray and caudate nucleus contain more moisture on a percentage basis than the superior colliculi and medulla oblongata. These results suggestive as they are fail to give us information on what happens in human beings.

At this point it may be well to emphasize that observations made on humans do not afford experimental conditions as satisfactory as those of animal experiments. Let alone the fact that these brains have been frequently obtained from diseased patients and have undergone premortal changes including those of a period of coma before death, in addition post mortem alterations must be considered for rarely is a brain taken immediately after death and the periods before autopsy are variable. Further

TABLE III 2

Water per cent of rat brain in relation to age (from Donaldson (12))

| | Age (Days) | | | | | | | |
|-------------------|------------|-------|-------|-------|-------|-------|-------|-------|
| | Birth | 10 | 21 | 31 | 41 | 100 | 250 | 365 |
| Per cent of water | 88.00 | 86.72 | 82.49 | 80.19 | 79.36 | 78.55 | 78.01 | 77.50 |

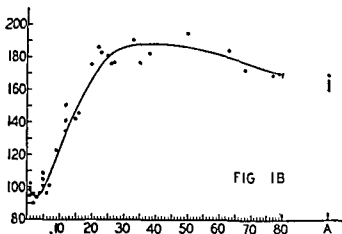


FIG 1B

Fig 1B Changes in oxygen consumption of rat brain from birth through maturity. Age in days (abscissa) plotted against oxygen uptake cu mm O_2 per 100 mg tissue per hr (ordinate). Brain metabolism rises rapidly to a maximum at 1 month of age after which there is a slight but significant decrease (Reproduced by permission from Tyler and van Harreveld (7))

orders. Whether or not the regressive changes are associated with clinical manifestations or are relatively silent depends upon the part of the brain where they are most prominent. In any event a decrease of brain metabolism is a sign of aging and further support for this conclusion comes from observations of excised cerebral tissues. The examination of brain metabolism in the various mammalian forms reveals a rapid postnatal rise followed by a slower and more gradual fall during the period of maturity. This applies to the rat (7, 8, 9) and the dog (10) (Fig 1B). Unfortunately, none of these data was extended far enough into the life span to include the old age and for an obvious reason it is more difficult to get aged laboratory animals than younger ones.

There is however one report in the literature (11) which estimates brain metabolism in the rat throughout a period of 3 years. This is a ripe old age for that animal. Unfortunately, in this paper the oxygen consumption was reported on a basis of dry weight. Although this method is frequently used it misses many of the variations of the early rapid rise of cerebral metabolic rate. This failure is easily understandable since the water content rapidly falls at this time so both dry weight and oxygen consumption rise together. Only on a moist weight basis can we observe the variations in the rates of metabolism per weight of brain tissue. When changes in water content are taken into consideration, cerebral metabolic rate is given in terms of the living tissue, i.e., in the terms of brain weight similar to those observed *in vivo*. Despite this drawback in the method of estimating the oxygen consumption on a dry weight basis a fall of metabolism after two years of age is evident in Reiner's (11) observations. In fact in the aged rats the cerebral

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Respiration of Rat Brain Homogenates in Relation to Age (from Reiner (11))

| | Age (Months) | | | | | | | |
|----------------------------|--------------|-----|-----|-----|------|-------|-------|-----------------|
| | Embryos | 0-1 | 2-4 | 4-6 | 6-12 | 12-18 | 18-24 | Greater than 24 |
| QO ₂ dry weight | 10 | 14 | 15 | 16 | 15 | 16 | 15 | 10 |

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If we accept a reduction in cerebral metabolic rate as an accompaniment of old age we may regard it as another sign of altered biochemistry and seek other changes of the brain which can be correlated with such a decrease. Let us turn first to an examination of the water content of the brain. One of the most important constituents of the body in general, as well as of the brain is water. Certainly it is greatest from a quantitative viewpoint and all the reactions on which life depends take place in an aqueous medium. Donaldson (12) published observations on rats showing a decrease in the percentage of water content for the entire brain in the first year of life (table III 2). Other experiments made on rabbits up to 4 years of age approximately middle life are in agreement with such a decrease in moisture (13). In addition they disclose that the water content of the various parts of the brain examined reveal a phyletic order. The newer parts of the brain, cortical gray and caudate nucleus contain more moisture on a percentage basis than the superior colliculi and medulla oblongata. These results suggestive as they are fail to give us information on what happens in human beings.

At this point it may be well to emphasize that observations made on humans do not afford experimental conditions as satisfactory as those of animal experiments. Let alone the fact that these brains have been frequently obtained from diseased patients and have undergone premortal changes including those of a period of coma before death, in addition post mortem alterations must be considered for rarely is a brain taken immediately after death and the periods before autopsy are variable. Further

TABLE III 2

Water per cent of rat brain in relation to age (from Donaldson (12))

| | Age (Days) | | | | | | | |
|-------------------|------------|-------|-------|-------|-------|-------|-------|-------|
| | Birth | 10 | 21 | 31 | 41 | 100 | 200 | 365 |
| Per cent of water | 89.00 | 86.72 | 82.49 | 80.19 | 79.36 | 83.35 | 78.01 | 77.50 |

TABLE III 3

Total nitrogen content (grains per cent) of four different areas of cerebral gray matter of patient B age 69 years and of hemispheres of four rabbits (personal data)

| Area | Patient | Rabbit Hemispheres |
|--------------------|---------|--------------------|
| Frontal pole | | |
| R | 1.56 | 1.70 |
| L | 1.44 | 1.74 |
| Other frontal area | | |
| R | 1.44 | 1.71 |
| L | 1.33 | 1.71 |
| Temporal pole | | |
| R | 1.39 | 1.0 |
| L | 1.54 | 1.72 |
| Occipital pole | | |
| R | 1.64 | 1.70 |
| L | 1.88 | 1.75 |

more in the presentation of these data mention was not made of which cortical areas were sampled. Yet observations performed in this laboratory (14) disclose that the chemical contents of the cerebral cortex are not uniform but may vary from part to part (table III 3). It may be seen that not only do the nitrogen contents of different cortical areas vary but also symmetrical parts taken from the right and left hemispheres differ. These results differ from those obtained on rabbit brain for in that animal the correspondence for the right and left sides is close. You will observe that the total nitrogen contents of the right and left hemispheres of four different rabbits are in much closer agreement than of the right and left sides of the human patients (table III 3). The data on human brains therefore must be evaluated in terms of the conditions in which they were obtained.

The work of Johnson, McNabb and Rossiter (15) shows that the human adult brain exhibits a smaller concentration of water than that of the infant and that the decrease in water concentration is associated with the growth of the total lipids of the brain. Unfortunately these results do not yield information on our particular subject of interest, old age. The data of MacArthur and Doisy (16) unlike the preceding includes exact ages but go only as far as 67 years. The observations of Brante (17) however extend to the brains of individuals up to 90 years of age. Brante presents the values for the dry weight as per cent of wet weight of cortical gray and white matter (table III 4 (17)). Subtracting these results of dry weight per cent from 100 we obtain the per cent moisture content of the brain. In table III 4 the results obtained from individuals of various age groups are averaged in an attempt to determine whether there is a trend in the changes of

TABLE III 4

Water content of cortical gray and white matter per cent fresh tissue in relation to age (from Brante (17))

| Cortical Matter | Years | | | | | |
|------------------|-------|-----|-------|-------|-------|------|
| | 1 | 2-5 | 10-16 | 19-25 | 65- 6 | 8-90 |
| Gray | | | | | | |
| No of brains | 2 | 3 | 4 | 2 | 4 | 3 |
| Water percentage | 89 | 87 | 84 | 84 | 86 | 87 |
| White | | | | | | |
| No of brains | 3 | 3 | 4 | 4 | 3 | 2 |
| Water percentage | 85 | 75 | 69 | 71 | 71 | 74 |

water content with age. A rapid fall in water content from the first year of life to about 10 to 16 years of age is followed by a stage of stabilization with however a rise in the percentage of water in the brains of the oldest individuals studied. We wish we had more data to test the idea as to whether the brain contains a greater concentration of water in extreme old age or stated differently suffers a decrease in solids. With additional information we might find that the water content of the brain remains practically unchanged. It is even possible that a fall in the water content of the brain occurs. But we must take the available observations into consideration and it is therefore necessary to determine whether any relationship exists between the lipids of the brain and water as the lipids form a quantitatively important fraction of the dry weight of the brain.

In order to compare Brante's (17) lipid data with those of water the former are also recalculated on a moist weight basis. Table III 5 (17) contains such a recalculation.

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usually rapid and then slower—to 65 to 6 years followed by a decrease from 78 to 90. In general the lipid contents of gray and white matter show the same order of alterations except that the

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TABLE III 5

Lipids of cortical gray and white matter per cent fresh tissue human brain in relation to age (recalculated from Brante (17))

| | Years | | | | | |
|---------------|-------|-------|-------|-------|-------|-------|
| | 1 | 2-5 | 10-16 | 19-55 | 65-76 | 75-90 |
| Gray matter | | | | | | |
| No. of brains | 2 | 3 | 4 | 2 | 4 | 3 |
| Total lipids | 3.47 | 4.62 | 4.98 | 5.31 | 5.60 | 4.65 |
| Phospholipids | 2.14 | 2.68 | 3.12 | 3.28 | 3.12 | 2.50 |
| Cerebrosides | 0.36 | 0.51 | 0.36 | 1.04* | 0.70 | 0.68 |
| Cholesterol | 0.54 | 0.70 | 0.75 | 0.80 | 0.81 | 0.68 |
| White matter | | | | | | |
| No. of brains | 3 | 3 | 4 | 4 | 3 | 2 |
| Total lipids | 7.54 | 13.66 | 18.72 | 18.00 | 18.10 | 15.10 |
| Phospholipids | 3.81 | 6.12 | 8.22 | 7.79 | 7.49 | 6.82 |
| Cerebrosides | 1.36 | 2.90 | 4.46 | 4.07 | 4.22 | 3.24 |
| Cholesterol | 1.50 | 3.04 | 4.50 | 4.93 | 5.01 | 3.67 |

* One value

tional data to determine whether or not the apparent hydration of the brain will be supported by further work.

Germane to our discussion is the division between extracellular and intracellular water. In 1916 Donaldson (18) wrote of the unique character of the nervous system to accumulate the greater fraction of its lipids outside the cell bodies in the form of myelin substances. Thus, while extracellular fluid decreases the relation between water and solids within the cell remains fairly constant. The data obtained by Yarnet and Darrow (19), on growing cuts, yield quantitative results in support of Donaldson's conclusions. They found that the reduction in concentration of water, which occurs with growth, is due to a decrease in extracellular fluids. Extracellular water decreases from 33.9 to 29.8 per cent while intracellular water remains unchanged within the error of the method, going from 50.7 to 51.0 per cent. Accordingly, they note that sodium and chloride, largely extracellular elements, decrease, while potassium, mainly an intracellular substance, remains unaltered (table III 6). Yarnet and Darrow (19) also note that the increase of nitrogen and phosphorus is chiefly dependent on the greater concentration of lipids.

If the suggested hydration in old age is accompanied by a reduction in the concentration of total lipids, and if the former is chiefly extracellular, then the inverse relationship between lipids and extracellular water observed during growth may also hold for old age and the extracellular fraction may increase at that time. However, without available data on the in-

TABLE III 6

Concentrations per kg of brain tissue of water nitrogen chloride sodium potassium, phosphorus and fat (from Lannel and Darrow (19))

| Group | No. of rats | Water | Nitrogen | Chloride | Sodium | Potassium | Phosphorus | Fat |
|-------|-------------|------------|------------|------------|------------|------------|------------|-----|
| | | gm | gm | mM | mM | mM | mM | gm |
| A | 9 | 84.6 ± 5.0 | 14.7 ± 0.3 | 43.2 ± 1.4 | 35.1 ± 1.4 | 87.0 ± 1.6 | 76.9 ± 3.7 | 30 |
| B | 8 | 80.8 ± 4.0 | 16.8 ± 0.2 | 39.0 ± 1.0 | 52.5 ± 1.2 | 88.0 ± 2.2 | 96.3 ± 0.5 | 66 |

Group A includes animals weighing up to 800 gm. Group B animals weighing from 800 to 2500 gm.

TABLE III 7

Rat brain protein (absolute weight in mg) in relation to age in days (from Donaldson (12))

| | Age (Days) | | | | | |
|--------------|------------|-------|--------|--------|--------|--------|
| | 1 | 10 | 20 | 40 | 120 | 210 |
| Protein (mg) | 15.14 | 60.45 | 119.40 | 136.00 | 165.20 | 177.00 |

organic metabolism of the aged brain and its water compartments, such a decision between extracellular and intracellular fluids cannot be made.

As the concentration of lipids becomes larger as the total fluids fall and this fall of fluids is due chiefly to a decrease in the extracellular component. Whether or not a reversal of this relationship takes place in the aged individual cannot be determined without additional data.

Another important factor in the total solids of the brain is its protein. The increase of total protein is seen in table III.

Changes in protein in old age and moreover they were obtained on rats. Observations of human brain are supplied from the results of MacArthur and Doisy (16). We see again a rise throughout the life period almost reaching maximal values in the 21 year old adult and decreasing somewhat in the 67 year-old individual (table III 8).

A source of information on the protein concentration of the cerebral cortex is found in Brante's work (17). Protein content can be estimated from the differences between the weight of total lipids and the total dry weight. Such values representing as they do all materials not soluble in fat

TABLE III 5

Lipids of cortical gray and white matter per cent fresh tissue in an brain in relation to age (recalculated from Brante (17))

| | Years | | | | | |
|---------------|-------|-------|-------|-------|-------|-------|
| | 1 | 2-5 | 10-16 | 19-35 | 65-76 | 78-90 |
| Gray matter | | | | | | |
| No. of brains | 2 | 3 | 4 | 2 | 4 | 3 |
| Total lipids | 3.47 | 4.62 | 4.98 | 5.31 | 5.60 | 4.65 |
| Phospholipids | 2.14 | 2.68 | 3.12 | 3.28 | 3.12 | 2.50 |
| Cerebrosides | 0.36 | 0.51 | 0.36 | 1.04* | 0.70 | 0.68 |
| Cholesterol | 0.54 | 0.70 | 0.75 | 0.80 | 0.81 | 0.68 |
| White matter | | | | | | |
| No. of brains | 3 | 3 | 4 | 4 | 3 | 2 |
| Total lipids | 7.54 | 13.66 | 18.72 | 18.00 | 18.10 | 15.10 |
| Phospholipids | 3.81 | 6.12 | 8.22 | 7.79 | 7.49 | 6.82 |
| Cerebrosides | 1.36 | 2.90 | 4.46 | 4.07 | 4.22 | 3.24 |
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* One value

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German to our discussion is the division between extracellular and intracellular water. In 1916 Donaldson (18) wrote of the unique character of the nervous system to accumulate the greater fraction of its lipids outside the cell bodies in the form of myelin substances. Thus, while extracellular fluid decreases the relation between water and solids within the cell remains fairly constant. The data obtained by Yarnet and Darrow (19) on growing cats yield quantitative results in support of Donaldson's conclusions. They found that the reduction in concentration of water which occurs with growth is due to a decrease in extracellular fluids. Intracellular water decreases from 33.9 to 29.8 per cent while intracellular water remains unchanged within the error of the method, going from 50.7 to 51.0 per cent. Accordingly, they note that sodium and chloride largely extracellular elements decrease, while potassium mainly in intracellular substance remains unaltered (table III 6). Yarnet and Darrow (19) also note that the increase of nitrogen and phosphorus is chiefly dependent on the greater concentration of lipids.

If the suggested hydration in old age is accompanied by a reduction in the concentration of total lipids and if the former is chiefly extracellular then the inverse relationship between lipids and extracellular water observed during growth may also hold for old age and the extracellular fraction may increase at that time. However, without available data on the in-

of its protein content, for PNA (pentose nucleic acid) forms a pentose nucleoprotein. The maintenance of normal neuronal function is associated with the production of pentose nucleoprotein and large amounts of that complex substance are found in the large nerve cell of the adult. What is significant for the present study is that the amount of PNA decreases with old age (22). X-ray microradiography reveals that the parts of the brain containing pentose nucleoprotein possesses much less dry matter than areas containing a yellow pigment, a substance which accumulates in old age. Thus the nucleoproteins are fading away at the time when the yellow pigment is increasing. These data are, of course, of an entirely different order than those obtained by the older methods and it is difficult to compare the two results. But taking all observations, chemical and histochemical, into consideration it may be said that the possibility of a decrease of protein in old age is suggestive. Probably cells do not diminish in size (23). On the other hand morphologic evidence discloses that all cortical layers show a diminution in cell numbers with age and such changes are in agreement with a decrease of protein (23-24). According to Brody and others, (23), neuronophagia is not limited to extreme old age but goes on throughout most of the life period. Not only cell bodies (23, 24) but also the myelinated processes disappear (24a). Thus both intracellular neuronal materials and extracellular myelinated substances are depleted. As previously discussed it seems logical that the extracellular water compartment enlarges in a compensatory manner. We have mentioned a yellow pigment as well as

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cells as well as the peripheral nervous system cells of dogs are stained by the periodic acid Schiff reagent three kinds of stained material are seen in the cytoplasm of the nerve cells: glycogen, granular and non-granular substances. Neither the granular nor the non-granular stained portions are observed in dogs under 10 years of age while all animals over 12 years of age reveal these two substances both of which increase with age. The non-granular material reveals the histochemical reactions of mucopolysaccharide attached to a protein. Thus it is assumed to be a mucoprotein. The granular substance on the other

throughout the central nerv

TABLE III 8

Total protein in percentage of fresh tissue human brain in relation to age (from MacArthur and Doisy (16))

| | Fetus | | Infant | | | Adult | | | |
|---------|-------|------|--------|------|------|-------|-------|-------|------|
| | 3 mo | 7 mo | 1 mo | 3 mo | 8 mo | 21 yr | 33 yr | 35 yr | 6 yr |
| Protein | 3.77 | 3.98 | 4.57 | 5.29 | 6.09 | 8.03 | 8.11 | 8.99 | 7.53 |

TABLE III 9

Estimated protein content of cerebral gray and white matter per cent fresh tissue human brain in relation to age (calculated from data of Brante (17))

| | Years | | | | | |
|---------------------------|-------|-------|-------|-------|-------|-------|
| | 1 | 2-5 | 10-16 | 19-55 | 65-76 | 78-90 |
| Gray matter | | | | | | |
| No. of brains | 2 | 3 | 4 | 2 | 4 | 3 |
| Estimated protein content | 7.08 | 8.34 | 10.89 | 12.00 | 8.51 | 8.39 |
| White matter | | | | | | |
| No. of brains | 3 | 3 | 4 | 4 | 3 | 2 |
| Estimated protein content | 7.62 | 11.57 | 10.96 | 11.00 | 10.90 | 10.40 |

solvents, will include total acid soluble substances but since these are relatively constant (16) we may assume differences are due to fluctuations in protein¹ (table III 9). A steady increase of protein from one year to the 19 to 55 year period is observed both in gray and white matter, followed by a regression which occurs during the last two periods indicated in the chart. Observations of proteins are of special interest since enzymes have protein moieties. A diminution in protein may be associated with a lesser concentration of enzymes and some may grow while others decay. For that reason the measurement of the protein does not give us information on direction of change in any specific enzyme. Yet it is suggestive that proteins do decrease in old age at the same time that cerebral metabolic rate undergoes impairment.²

Within recent years, new micromethods have been developed for the examination of protein within a single cell. The study of the absorption spectrum of a single nerve cell and containing nucleic acid is some measure

¹ The values for protein are free of contamination with lipid nitrogen because that substance was extracted by lipid solvents. They do, however, fail to include proteolipids which were extracted along with the lipids (20).

² Despite a decreased quantity of brain protein the quality remains relatively constant as indicated by the essentially unchanged amino acid composition (21).

of its protein content, for PNA (pentose nucleic acid) forms a pentose nucleoprotein. The maintenance of normal neuronal function is associated with the production of pentose nucleoprotein and large amounts of that complex substance are found in the large nerve cell of the adult. What is significant for the present study is that the amount of PNA decreases with old age (22). X-ray microradiography reveals that the parts of the brain containing pentose nucleoprotein possesses much less dry matter than areas containing a yellow pigment, a substance which accumulates in old age. Thus the nucleoproteins are fading away at the time when the yellow pigment is increasing. These data are of course, of an entirely different order than those obtained by the older methods and it is difficult to compare the two results. But taking all observations, chemical and histochemical, into consideration it may be said that the possibility of a decrease of protein in old age is a possibility.

Decrease of protein (23-24) According to Brody and others, (23), neuronophagia is not limited to extreme old age but goes on throughout

life. As unsaturated substances are depleted. As previously discussed it seems logical that the extracellular water compartment enlarges in a compensatory manner. We have mentioned a yellow pigment or yellowish brown pigment which appears in the human central nervous system in increased amounts as the individual grows older. Apparently its rate of destruction is slower than that of production. This interesting sign of aging has been studied systematically in dogs from 2 to 17 years of age (25). When the central nervous system cells as well as the peripheral nervous system cells of dogs are stained by the periodic acid Schiff reagent, three kinds of stained material are seen in the cytoplasm of the nerve cells: glycogen, granular and non granular substances. Neither the granular nor the non granular stained portions are observed in dogs under 10 years of age, while in animals over 12 years of age reveal these two substances both of which increase with age. The non granular material reveals the histochemical reactions of mucopolysaccharide attached to a protein. Thus it is assumed to be a mucoprotein. The granular substance is assumed to be a

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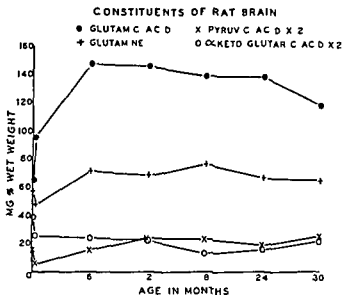


Fig III 2 Constituents (mg %) of rat brain from birth to $2\frac{1}{2}$ years of age

ous system as life proceeds (22). It appears in the motor nerves, motor root cells, the pyramidal cells and in the sensory and sympathetic ganglia cells. This yellow granular matter is present in large amounts in the cytoplasm of an increasing number of cells with aging. It is not associated with any pathologic changes for the function of the central nervous system does not seem to be altered by its presence. So far it appears to be simply one of the many signs of aging.

Finally, we should like to present some results obtained in our laboratory which have not been previously published (14). Values for glutamic acid, glutamine, pyruvic acid, and alpha ketoglutaric acid of the brain throughout the life span of the rat, including values up to two and a half years of age (fig III 2). We may observe in the first place that pyruvic acid and alpha ketoglutaric, two intermediaries of energy metabolism constantly occurring in the brain, reveal a fall in the first ten days of life after which there is a stabilization of their concentrations throughout the remainder of the life span. Such a relative constancy indicates the continuous necessity for these reactions to maintain life. Glutamine exhibits an early fall in contrast to glutamic acid which rises rapidly during postnatal life. These substances attain maximal values during maturity, glutamate earlier, glutamine later, and they reveal consistent decreases subsequently. The reduced concentrations of these substances in old age do not prove that the brain is hydrated at this time but such changes are compatible with that possibility.

In conclusion we may say that the biochemical data for the brain in old age is sparse. Additional studies should be made using not only the well known chemical methods for the various solid constituents of the central

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CHAPTER IV

HUMAN CEREBRAL BLOOD FLOW AND OXYGEN CONSUMPTION AS RELATED TO AGING¹

SEYMOUR S. KETY

The circulatory nutrition of the brain is one of the fundamental components upon which are built the more subtle, more complex and less accessible frameworks of function. Over the past decade, a number of investigators have elicited information on the over all circulation and oxygen consumption of the human brain at various ages over practically the entire life span. It seems appropriate to review these data, to observe whatever consistency or correlations appear, and to begin to form hypotheses to be tested in future work.

These investigators have used the nitrous oxide technique (1), which yields an average value of blood flow per minute for unit weight for the brain as a whole, from which are derived similar units of oxygen consumption.

They employed a later modification (3) of this technique in which

When data are not always available, an attempt at correction of such data can be made from a comparison of values obtained in the range from 20 to 30 years of age where the results of other groups have been quite consistent. A correction factor of 0.85 thus obtained has been applied to their data in figures IV 1 to IV 3. The precise value of this correction or, indeed, whether it is necessary at all is somewhat irrelevant to the present argument since the data in question show the same relative trend with age as do the others.

In an effort to restrict the relevance to the normal processes of maturation and aging, some primis have been taken to include all of the series where age rather than disease was the primary

¹ Notes of Mental Health and Neurological Diseases and Blindness, National Institutes of Health, Public Health Service, Department of Health, Education and Welfare, Bethesda, Md.

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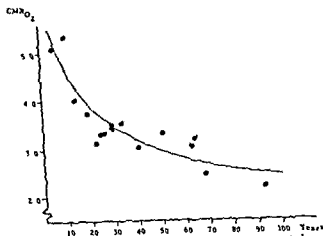


Fig 11.3 The relationship between cerebral oxygen consumption (ml per 100 g per min) and age in years

TABLE IV 1
Data and sources

| Authors | Ref | No Cases | Mean Age | CBF* | CMRO ₂ * | CVR* | (A V) _{O₂} * |
|-------------------------|--------|----------|----------|------|---------------------|------|----------------------------------|
| Kennedy <i>et al</i> | (6) | 6 | 5 | 104 | 5.1 | 0.8 | 4.8 |
| Kennedy <i>et al</i> | (7) | 7 | 10 | 90 | 5.3 | 0.9 | 5.2 |
| Kennedy <i>et al</i> | (1) | 7 | 13 | 68 | 4.0 | 1.1 | 6.0 |
| Sokoloff <i>et al</i> | (8, 9) | 4 | 19 | 60 | 3.70 | 1.5 | 6.2 |
| Sokoloff <i>et al</i> | (8, 9) | 7 | 23 | 52 | 3.12 | 1.8 | 6.3 |
| Kety and Schmitt | (1) | 11 | 25 | 54 | 3.3 | 1.6 | 6.3 |
| Scheinberg and Stead | (3) | 19 | 25 | 65† | 3.8† | 1.3† | 6.0 |
| Schieve and Wilson | (4) | 12 | 29 | 62† | 4.0† | | 6.6 |
| Shenkun <i>et al</i> | (10) | 12 | 30 | 53 | 3.4 | 1.8 | 6.6 |
| Fazekas <i>et al</i> | (11) | 9 | 34 | 51 | 3.5 | 2.1 | 6.5 |
| Schieve and Wilson | (4) | 10 | 40 | 57† | 3.5† | | 6.4 |
| Scheinberg <i>et al</i> | (2) | 16 | 50 | 59† | 3.8† | 1.6† | 6.4 |
| Scheinberg <i>et al</i> | (2) | 16 | 63 | 51† | 3.4† | 2.0† | 6.7 |
| Schieve and Wilson | (4) | 7 | 64 | 55† | 3.7† | | 6.7 |
| Fazekas <i>et al</i> | (11) | 15 | 68 | 43 | 2.4 | 2.9 | 5.5 |
| Fazekas <i>et al</i> | (12) | 18 | 93 | 39 | 2.3 | 2.3 | 5.8† |

* CBF represents cerebral blood flow (ml per 100 g per min). CMRO₂ represents cerebral oxygen consumption (ml per 100 g per min). CVR represents cerebrovascular resistance (mm Hg per ml per 100 g per min). (A V)_{O₂} represents cerebral arteriovenous oxygen difference (vol. per cent).

† Data may incorporate a systematic error which raises CBF and CMRO₂ and lowers CVR respectively all by about 15 per cent. The adjusted values have been used in figures 11.1 to 11.3.

‡ Calculated from CBF and CMRO₂.

approximation to the normal aging process since practically all represent hospitalized patients, even though their diseases were unlikely to affect the cerebral circulation. The sources of these data, the mean age and number of cases in each series, are given in table IV. Each report usually contained sufficient information to indicate that the values obtained would be representative of normal values. In five of the series the subjects were apparently normal, non-hospitalized individuals (1, 3, 6, 8, 9). Some consisted of alert and active patients with no cardiovascular disease, hospitalized for minor elective surgery (2) or for minor illness (1). Some groups recorded the absence of cardiovascular (2, 4, 10, 11) and neurologic disease (2, 4, 11). The

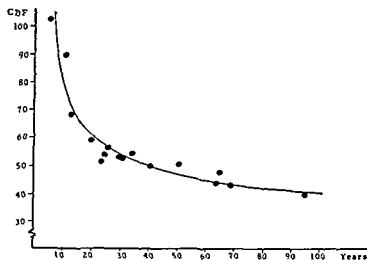


Fig 11-1 The relationship between cerebral blood flow (ml per 100 g per min) and age in years

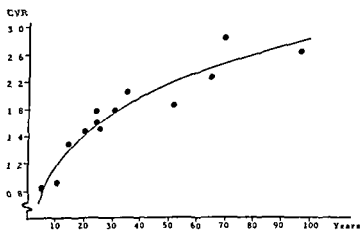


Fig 11-2 The relationship between cerebral vascular resistance (mm Hg per ml per 100 g per min) and age in years

mixing of cerebral venous drainage even if it occurred with advancing age would not be a systematic one but could reflect itself only in a greater scatter of the individual data. On the other hand a progressive increase in contamination of internal jugular blood with blood from the less well perfused and more slowly metabolizing extracerebral tissues could produce some of the changes observed. Since in the adult this contamination is less than 3 per cent it could certainly not account for the dramatic changes observed during adolescence. Furthermore the nitrous oxide technique as originally described offers a means of detecting the presence of at least gross contamination. In two studies on elderly individuals performed by the original technique (10, 18) there was no evidence to suggest the presence in such patients of a degree of contamination significantly greater than that which obtains in normal young men. All of these considerations although admittedly indirect speak against the possibility that the age correlation of cerebral blood flow and the other related functions is the result of an anatomic artifact.

Since there is evidence (19) to suggest that certain types of anxiety may be associated with significant increases in cerebral oxygen consumption and blood flow it is important to consider the possibility that the un-

usually recognized this possibility and took considerable pains to minimize it. These included the use of a plastic space dome instead of a face mask, projection of animated cartoons during the procedure and refusal to continue the studies at the first sign of uncooperativeness. In the opinion of this reviewer anxiety was held to a minimum by this group as well as the others and in any case was not likely to show an age dependent variability.

There is good reason to believe therefore that the values summarized for the various functions are a close approximation to

The technique yields results only in terms of unit volume or (since its specific gravity is quite constant) unit weight of brain. It does not distinguish between cellular and extracellular active or metabolically sluggish masses. The change

in the brain as a whole. Although a decrease in neuronal density is commonly regarded as a concomitant of advancing age the author is aware of only one quantitative

two older series of Kennedy (7) consisted almost entirely of children under study because of a previous history of mild convulsive seizures in no case had there been a seizure within several days of the procedure and none of the patients were receiving any drug therapy. Previous work in adults (13) had demonstrated no abnormalities in the functions under consideration in such patients. Schieve and Wilson (1) included a few schizophrenic patients in two of their series but again a previous study (11) had shown no change from normal in cerebral hemodynamics or metabolism in this disease. In the oldest group (12) only one third of the subjects were mentally alert the remainder showing various degrees of deterioration the mean values for the six mentally normal individuals however were the same as those for the series as a whole.

REVIEW OF RESULTS

The relationship between age and cerebral blood flow is presented in figure IV 1. Each point represents the mean of a series of determinations. During the first decade of life the values for both cerebral blood flow and cerebral oxygen consumption are quite high the latter representing 48 per cent of the total oxygen consumption of the child in the basal state. There appears to be a rapid fall in the over all cerebral circulation about the time of puberty which continues through adolescence. From the third decade onward there is a more gradual but continuous decline in this function through middle and old age. Figure IV 2 depicts a similar but reciprocal change in cerebrovascular resistance a function which represents the sum of all of the factors which hinder the flow of blood through the brain. The changes in cerebral oxygen consumption expressed as ml. of oxygen utilized per 100 g. of brain per minute are given in figure IV 3. This shows similarly a rapid and then more gradual fall with advancing years.

These findings for cerebral blood flow oxygen consumption and cerebrovascular resistance seem quite clear cut and considering the large number of individual investigators involved the data show relatively little scatter. Their interpretation however is not so definitive. One must first entertain the possibility that these changes are the result of some age dependent artifact. The values obtained by use of the nitrous oxide technique apply rigorously only to the tissues and regions which are represented in the blood at the superior bulb of one internal jugular vein. There is satisfactory evidence (1) that in most individuals such blood is representative of blood in both internal jugular veins and is only insignificantly (less than 3 per cent) contaminated with blood arising from extracerebral sources (17). There is practically no evidence however that these sources of error demonstrably negligible in a general sample of the population show no progressive increase with age. *The effect of progressively poorer*

mixing of cerebral venous drainage, even if it occurred with advancing age, would not be a systematic one but could reflect itself only in a greater scatter of the individual data. On the other hand, a progressive increase in contamination of internal jugular blood with blood from the less well perfused and more slowly metabolizing extracerebral tissues could produce some of the changes observed. Since in the adult this contamination is less than 3 per cent it could certainly not account for the dramatic changes observed during adolescence. Furthermore, the nitrous oxide technique, as originally described, offers a means of detecting the presence of at least gross contamination. In two studies on elderly individuals performed by the original technique (10-18), there was no evidence to suggest the presence in such patients of a degree of contamination significantly greater than that which obtains in normal young men. All of these considerations although admittedly indirect, speak against the possibility that the age correlation of cerebral blood flow and the other related functions is the result of an anatomic artifact.

Since there is evidence (19) to suggest that certain types of anxiety may be associated with significant increases in cerebral oxygen consumption and blood flow, it is important to consider the possibility that the unusually high values for these functions found by Kennedy and his colleagues in childhood were the result of a decreased acceptance of the procedure and a greater apprehensiveness on the part of these subjects. It is apparent that these investigators recognized this possibility and took considerable pains to minimize it. These included the use of a plastic 'space dome' instead of a face mask, projection of animated cartoons, and a relaxed

... in any case, was not likely to show an age dependent variability.

There is good reason to believe, therefore, that the values summarized for the various functions are a close approximation to those which exist in the normal resting state at various ages. One may formulate a number of hypotheses in an attempt to explain the changes which occur in association with age. The nitrous oxide technique yields results only in terms of unit volume or (since its specific gravity is quite constant) unit weight of brain. It does not distinguish between cellular and extracellular, active or metabolically sluggish masses. The changes therefore, could be a reflection of a generalized decrease in the circulation to and metabolism of the individual actively metabolizing units or equally well a progressive reduction in the ratio of their mass to that of the brain as a whole. Although a decrease in neuronal density is commonly regarded as a concomitant of advancing age, the author is aware of only one quantitative

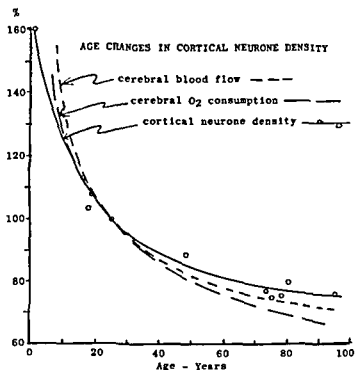


Fig IV 4 Mean values for neurone density in four areas of cortex at different ages from data of Brody (20). The mean age curves for cerebral blood flow and oxygen consumption are included for comparison. All values are expressed as per cent of the respective mean value at age 25.

study on the human brain (20). Although this study was restricted to neuronal density in certain areas of the cerebral cortex and may not have controlled completely such artifacts as shrinkage, it is hardly likely that these could have accounted for more than a small share of the marked decrease in the average neuronal population which was found to be associated with age. The striking similarity between this curve (fig IV 4) and the curves for cerebral blood flow and oxygen consumption suggests more than a chance relationship.

Regardless of whether one or the other or both processes are occurring in association with maturation and aging, some more fundamental and age related causes must be sought capable of affecting the metabolic activity or the survival of the active components. These findings are compatible with at least two hypotheses. The more obvious and, until recently, the generally accepted one would attribute to the circulation a primary role with metabolic and functional changes the result of alterations in the cerebral blood supply. There is no evidence that under normal circumstances an augmentation of the circulation to the brain will produce

an increase in its metabolism and, therefore, little reason to attribute the high cerebral oxygen consumption found by Kennedy in childhood merely to the increased circulatory rate. On the other hand, the undeniable postulate that oxygen consumption must at some point be limited by oxygen delivery makes at least tenable the hypothesis that the decreased functional acuity of the aging brain is dependent upon its slowing rate of metabolism which is, in turn, the result of the progressive diminution in circulation. The common, if not invariable, finding of some degree of cerebral arteriosclerosis associated with old age, perhaps reflected in the progressive increase in cerebral vascular resistance, supports this hypothesis and, in fact, provides a reasonable mechanism for the circulatory deficit.

Failure to find a significant correlation between loss of mental acuity and generalized cerebral circulatory or metabolic deficit (11) does not invalidate this hypothesis since cerebral as well as general arteriosclerosis is known to be unevenly distributed, while mental acuity is so poorly localized a function as to require the destruction of a large portion of the brain before an impairment is detectable. An observation less readily reconciled with the hypothesis is the fact that there is not found a significant decrease in cerebral oxygen consumption in acutely induced anoxia (21) or hypotension (22-23) of sufficient severity to affect the mental state quite perceptibly. It is possible to argue, of course, that the circulatory deficit in aging is hardly an acute process and that metabolic sequelae may occur after many years which are not apparent within a few minutes.

More important than the soundness of the arguments against the hypothesis of primary cerebral circulatory deficit, however, is the absence of crucial evidence in its favor and at least one alternative hypothesis must be entertained. This would postulate that a more fundamental cerebral derangement in aging lies in the diminished metabolic demands of the brain. This could be the result of simple loss of neurons which had fulfilled their life spans, a progressive deterioration in certain essential cellular components, a decrease in neuronal interconnections and interaction or a lessened functional demand as a result of the psychological and social changes which attend the aging process. Whatever the cause of such reduced requirements and those listed are hardly a complete tabulation, the restricted cerebral circulation and increased vascular resistance could follow rather than cause the decreased metabolism. Whether achieved by the vasodilator effects of the products of metabolism or by some local reflex, an adjustment of the circulation to meet metabolic demand is reasonably well established in the brain as well as in other tissues. Such an hypothesis of functional and metabolic aging of the brain, in

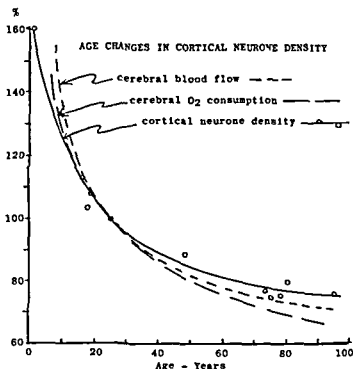


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dependent of circulatory supply, is supported by the occurrence of some type of age associated functional deterioration in certain species like the rat which do not develop arteriosclerosis

The distinction between a primary circulatory and a primary metabolic or functional deficiency in the aging brain, although of considerable pertinence to an understanding of senility and to rational attempts to retard or ameliorate it, cannot be made definitively on the basis of present data. A possibility is presented, however, of obtaining some indication of the real sequence of events by means of the cerebral arteriovenous oxygen difference. This quantity can readily be shown to vary inversely with the ratio of cerebral blood flow to cerebral oxygen consumption so that this measurement, although conveying no information with respect to either blood flow or metabolism separately, is an accurate measure of the relationship between them. In all cases where there is a primary reduction in cerebral circulation, such as hyperventilation (21) or an acute fall in blood pressure (22, 23) there is a significant increase in cerebral arteriovenous oxygen difference while this value is consistently low in those states such as anesthesia (24) diabetic (25) or insulin coma (14) where the primary depression is clearly in cerebral oxygen demand. Unfortunately, the behavior of the cerebral arteriovenous oxygen difference in senescence is equivocal (fig IV 5). Although the data as a whole shows a tendency toward a widening of this arteriovenous difference suggesting a greater circulatory than metabolic involvement two of the series are

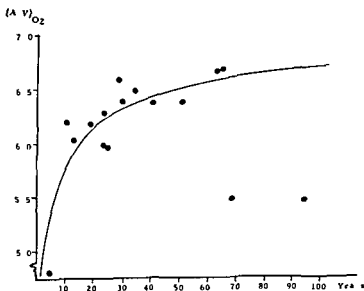


Fig IV 5 The relationship between cerebral arteriovenous oxygen difference (ml per 100 ml) and age in years

Barcroft in 1914 made a statement which was of such great significance that it has now become known as Barcroft's law—there is no instance in which it can be proved that an organ increases its activity under physiological conditions without also increasing its call for oxygen. The reverse of that would seem to apply in old age. With decreased physiological activity there is a decrease in the call for oxygen and a decrease in cerebral blood flow. If we accept this hypothesis it can be assumed that the reduced call for oxygen is related to reduction in the functional activity of the brain.

On that basis then we could say that probably, there are two causes for this reduced activity of the brain. One is a relative reduction in proportion of the functional units and the other a reduction of the metabolism of the functional units that persist. Dr. Himwich and Dr. Kety both show that there is a reduction in the cerebral functioning units with age. Dr. Kety's Figure IV-1 showed that the reduction in oxygen consumption and reduction in blood flow exactly paralleled the cell population. It should not be assumed however that the cell body is the sole seat of function of activity in the neuron and that a reduction in the cell population is the main factor in oxygen utilization. The myelin sheaths of the axon constitute a substantial portion of the mass of the nervous tissue and recently Lowry and his coworkers have suggested that on the basis of differences in enzymatic content of heavily myelinated and lightly myelinated layers of the cortex myelin itself has an active metabolism.

Changes in lipid may reflect the decrease in myelin with age. Presumably this decrease is a result of change in the protein moiety of the myelin sheath. Thus it may be that the reduction in myelin may be an important factor in the reduction of cerebral metabolism and may play a role independent of cell loss because myelin can degenerate without cell loss.

If it is granted that reduction in functioning metabolic units is a normal accompaniment of aging it is interesting to speculate why decrease in mental facilities is much more severe in some individuals than in others. Pathological processes may be the explanation of some cases. In others we can only postulate that there may be a variation in the rate of degeneration of specific cortical areas in different individuals. As both authors have pointed out a great deal more work needs to be done and studies should be made in connection with psychological studies of the individuals in order to reach some conclusion in this regard.

DR. NATHAN W. SROGA [Baltimore, Md.]: I had not anticipated being called upon to make any remarks at this time but I do think that the points made by Dr. Kety highlight the necessity for making some distinction between what is going on in the cells that remain in the aging organism and the effects of loss of functioning cells or units.

I do not know to what extent the reported reduction in the number of neurons and cellular elements in the nervous system with age determines changes in performance. However we do have a very large body of information indicating that as the organism ages there is a gradual diminution in the number of functional elements. To me this represents one of the major problems we must face in studying aging animals because after all one of our primary interests is to explain any age reduction in performance. To do this we must be able to distinguish between alterations in cellular functions and the loss of cells.

I think that the earlier papers which indicated a necessity for processes of repair for the cellular elements of the nervous system apply equally well to any tissue of the body. What we really want to know is what the physiological characteristics are that cause a particular cell in a particular organ to lose its ability to maintain its own integrity. Is this a question of a purely statistical chance affair? Is it cosmic radiations that cause the

dropping out of a specific cell at a specific time, or, as Dr Lansing has implied, is there some built in process that operates at varying rates within cells that causes them to lose this restorative capacity?

DR WILLIAM MALAMUD [Boston, Mass.] I have two questions I want to ask—that is, provided these questions are really going to be answered and will not be simply rhetorical questions

Dr Kety intrigued my curiosity very much in his preface when he spoke about the fact that in trying to understand the processes of aging, it is essential not to restrict oneself to the brain or the central nervous system, but also extend one's investigation to the other organs of the body, and even to social and psychological factors dependent upon interrelationships with other persons

Somehow he did not get around to talking about the second part of it, and I wonder whether he could elaborate a little on how social and psychological stress, aside from the one thing he mentioned, namely, anxiety, may have an effect (as I am sure they must have) upon brain circulation

The other question I wanted to ask is in relation to a previous paper. We were encouraged not to ask questions of the first two speakers because of the fact that it was suggested and quite justifiably, I think, that we didn't know very much about their subject. However, I think that that is really an argument for our asking questions rather

... a number of other people, it was shown that the spinal meningeal permeability, and also the blood brain barrier actually increase with vascular diseases such as arteriosclerosis and general paresis, etc. He referred particularly to vascular diseases

The other point is—and I see Dr Welch sitting right across from me here—that he spoke about the fact that the highest degree of permeability is found in embryos, and that the greatest decrease in permeability occurs very early in life rather than late. I may be misquoting Dr Welch. If I am, he may want to talk about it

DR RALPH GERARD [Ann Arbor, Mich.] Since visual impressions are so much more weighty than auditory ones, and a curve carries such weight, I would simply like to call to the attention of the audience explicitly what was clear

... was, as I estimated it, something like 20 per cent—say an average of 5 per cent per decade

It is also worth remembering that oxygen consumption at very early years of life the functioning of the way questioning them—it is health in these brain at of diminution often great, in function

PRESIDENT MOORE Dr Weiss do you care to discuss these papers? Perhaps, at the same time, you might answer Dr Malamud.

Dr PAUL WEISS [New York N Y] I can't answer these questions but I should like to call attention to the direction in which our biological thinking is turning in answering such questions

We used to think in terms of single causes. We asked whether the cause of this or that change lies in the nucleus or in the pigment or in metabolism or in oxygen consumption whether it acts from the outside or from within, whether it is genetic or hormonal or nutritional or social or what not. Now, one thing is becoming clear. We can no longer consider any one part of an organic system in isolation. All these parts are interrelated. As a mere generality such a statement has little practical meaning but we are beginning to learn about the precise manner in which they interdepend.

Now, if we remember that in a machine the different types of gears, the lubricants, the fuel supply, etc. are all interdependent and essential for its operation within a given latitude called tolerance, we realize that any group of events that will strain these interrelations beyond the limit of tolerance will cause its eventual disruption. What this means in terms of the questions that have been asked regarding the organism is this. All cells, all systems of the body are constantly bombarded, one might say by incidents by the variations, the unpredictable contingencies of the life they lead within a variable environment—the nucleus within the cellular environment, the cell in the tissue, the tissue in the community of organs, the organism in the community in which it lives. These variations are random in their directions and varied in their parameters. With regard to any single parameter, you will find that some of these variations have a positive sign and others have a negative sign so that statistically they will frequently cancel out.

However, if any number of these slight accidents, which we call variability, pile up in the same sense and build up residues, then you finally find a state where the normal coordinating and regulating capacities of that interrelated machinery will no longer work. It will exceed the limit of tolerance that has been built into the machine as the stress limit within which it can still operate, and once that is reached, then you are faced with a failure of the machine.

So what is happening then is a breakdown of *relations* of interrelations which have to be intergeared with one another, mutually supporting each other in order that the whole system can function. Just how this strain will build up in each case depends on a great many accidents which vary from place to place in the system, from particle to particle, all the way up to the particles that form communities.

In all those cases I maintain that it is quite possible to find the tangible instruments, the actual points at which the incongruities develop. Therefore, if social factors were introduced, I doubt whether there is any way in which they can become effective except through the agency of individuals and the individuals through the agency of their brains and their tissues, and these tissues through the agency of their cells, and the cells through their components. Therefore, they are open to analysis, and this is for the future to do, rather than to look for and enter into ideological warfare about single causes, whether it be in the case of cancer or in the case of aging.

This is a rather general answer, but I believe it bears on the question that has been asked.

PRESIDENT MOORE: Are there any further questions for Dr. Kety or Dr. Himwich from the members of the Commission? If not, there is a question submitted by Dr. R. I. Mackay, Chicago. Would Dr. Kety agree that the nonvascular nature of the histopathological changes in Alzheimer's disease—fibrillar intracellular changes and plaques—as well as in other cases of senility suggests that the processes of senility are basically intracellular and biochemical rather than vascular?

DR. SEYMOUR KETY I want to thank the discussers for their comments. In regard to Dr. Weiss's comment I do not always find it easy to accept *a priori* that every biological phenomenon is caused by a multitude of important factors. In fact one of the reasons for studying the cerebral circulation in aging is to rule out the possibility that a single factor is operating.

If an automobile manufacturer were to produce automobiles which for some reason had a poorly designed fuel line which after ten or twenty thousand miles became impacted with some kind of sediment it would be very easy to suppose that the machine could break down and age as a result of this very simple single phenomenon which could possibly be corrected. In the same way there has been the hypothesis that mental aging is the result of a sclerotic fuel line and some of these observations were made to test this rather simplified assumption. I think that the conclusions would bear out Dr. Weiss's postulate that things are not quite that simple.

With regard to Dr. Gerard's question I think that the explanation for that lies in the fact that I inherently dislike empty space and to have plotted these with zero appropriately placed upon the ordinate would have wasted an extravagant amount of space. As he mentioned however there was clearly indicated the relationship of these data to the zero on the Y axis.

"... will produce what functional change in the nerve cell

With regard to the question about Alzheimer's disease I would invoke my inherent faith that the relevant answers to most of these problems are probably going to be in the metabolism and in the biochemistry rather than in the plumbing of the brain and I would certainly agree with the individual who made that point.

In answering to Dr. Malamud's interesting question I might say that it was not my purpose nor did it lie in my field of competence to elaborate upon the mechanisms by which sociological and psychological changes may affect nervous and mental function. However I have no doubt that such mechanisms do exist and someone some day may be able to demonstrate them.

We do know that in the case of anesthesia for example where there is a profound reduction in the oxygen consumption of the brain this can not be attributed to a direct interference with oxidative metabolism by the anesthetic but according to more recent concepts it involves the interruption of synaptic transmission as the primary effect of the anesthetic following that interference with transmission.

Let
point

DR. HAROLD HINDWICH One of the questions still unresolved is the cause of the decreased cerebral metabolic rate of old age. Where vascular changes are predominant it is tempting to conclude that they are primary and

"... point concurred in by Dr. Merritt ... with a diminished oxygen re-
It is also worthy of note that in old age the cerebral metabolic rate is impaired

whether or not the patient is apparently normal or psychotic or exhibits neurological signs. As Dr Kety remarked, his method gives the oxygen consumption per 100 grams of brain per minute. It is an overall result and does not necessarily yield information on any particular part of the brain. The area of the brain damaged, however, will determine whether or not there are symptoms. If a so-called silent area is destroyed, alterations will not be observed. If a strategic structure is attacked, however, the patient will exhibit behavioral changes.

Our review of the literature indicates a decrease in the various constituents of the brain. The diminished cerebral metabolic rate of old age may be due either to an impaired oxygen consumption of all brain cells or to a decreased number of cells. The second of these two viewpoints, namely that cells have been destroyed by one process or another, suggests that all healthy cells retain a fairly constant metabolic rate not only in mature life but throughout the senium. The reduction in oxygen consumption would then be a reflexion of the decreased number of surviving cells.

Finally, I want to thank Dr Merritt for his fine discussion and especially for bringing out parts of my paper which time limitations did not permit me to present in my discussion.

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CHAPTER V

AGING AND INTELLIGENCE

IRVING LORGE

At the end of World War I the War Department released the Army Examination Alpha for use in the testing of intelligence of civilians. To date the most elaborate application of Alpha was made by Jones and Conrad in their appraisal of the mental ability of the total population in the age range of 10 to 60 years in nineteen New England villages. On the basis of about 1200 tests they concluded that the developmental curve for the total Alpha test may be summarized as involving a linear growth to about 16 years with a negative acceleration beyond 16 to a peak between the ages of 18 and 21. A decline follows which is much more gradual than the curve of growth but which by the age 55 involves a recession to the 14 year level (1).

This interpretation of their results is responsible for the generalization that intelligence declines from its maximum at or near early maturity *i.e.* in the twenties. It is my contention that their interpretation was an overgeneralization when it was made and that today the results would not demonstrate so dramatic a decline.

Let us look at the Army Alpha itself. Under the pressure of military need the Army Alpha was developed as a test to be given to groups of soldiers. In general it was based primarily upon the philosophy and methods involved in the origination of the Binet-Simon Scale and the Terman revision of it. It was fortunate indeed that Arthur S. Otis had progressed in the making of his Point Scale sufficiently so that it could be adapted quickly for the making of Alpha. The Binet-Simon Scale and all subsequent adaptations of it give us an estimate of a child's—or of an adult's—mental endowment through the presentation of a wide range of tasks differing in content, in processes, and in the demands for speed and/or accuracy. The criterion that Binet was trying to estimate was school adjustment: *the performances on the tasks should be related to success in learning the facts, skills, and generalizations taught in school.* In general Binet and Simon assumed that the brighter the child seemed to his teacher in school the greater would be the number of facts, skills, and ideas he would have acquired and hence the greater his capacity to learn more such facts, skills, and ideas in his subsequent education. Thus Binet and Simon estimated ability to learn in school via the amount of learning that had already been accomplished. The Binet-Simon Scale

thus included a wide range of tasks that required knowledge of the child's environment. The test questions are a broad mixture of items about word knowledge, arithmetic reasoning, and verbal comprehension, as well as tasks which required fine discriminations, immediate and delayed memory, and the ability to make inferences and generalizations.

The Army Alpha, based as it is upon the Binet-Simon Scale, was built in eight subtests representing a wide range of content and of process. The eight subtests were: Oral Directions, Arithmetic Problems, Practical Judgment, Synonym-Antonym, Disarranged Sentences, Number Series, Completions, Analogies, and General Information. Each subtest gave credit for speed in performing tasks. The time limits varied from five seconds for a single item in the Oral Directions subtest to five minutes for the entire subtest of Arithmetic Problems.

The evidence is clear that neither the Binet nor any of its successors (such as Army Alpha, the Otis Self-Administering Test of Mental Ability, the Army General Classification Test—AGCT—of World War II, or the recently published Wechsler Adult Intelligence Scale) do measure a single or unitary component of intellectuality. By design, each of these intelligence tests measures an undifferentiated mixture of content, process, and speed. The factor analysts have identified more or less adequately over forty different but correlated components in intelligence tests. Thurstone, for example, has identified at least seven major factors or components relating broadly to content, process, and speed. His content factors were named space, number, verbal comprehension, and word fluency; the process factors are called associative memory, perceptual speed, and reasoning. Of course, in a sense, the factor analyst finds in intelligence tests what the test maker had incorporated into the test. For the most part, current intelligence tests emphasize those processes and contents deemed significant for predicting the amount and kind of learning in the school subjects at all levels, from the primary grades to post-graduate university education. Some critics of current intelligence tests may assert that the school learnings that are favored are like those considered important in the curricula from the 1890's to the 1930's. The narrow scope of most intelligence tests therefore did not nor does not give enough credit to broader objectives of social competence or social intelligence. The ability to get along with people, to empathize or understand them, may be as intellectual as the ability to solve a puzzle or to interpret a printed passage. Certainly, no intelligence test designed for appraising the mental ability of adults has as its criterion the successful solution of the range of tasks adult life affords, such as meeting the intellectual requirements of vocation, family life, and economic and social adjustment. The criterion of mental ability needs to be extended to the successful handling of tasks

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must follow that the older members of the population necessarily are at some disadvantage not only because of their remoteness from formal schooling but also because they had less of it

Read Tuddenham has obtained some evidence about the value of formal schooling for intelligence test scores. During World War II, he administered a version of Army Alpha to a representative sample of white enlisted soldiers. The World War II soldiers made a median Alpha score of 104 whereas the median for the World War I draftee was 62. Dramatically the median for the 1940-45 soldiers was at the 88th centile of the 1917-18 distribution. Of course World War II soldiers were probably more familiar with objective testing, the tests were given by better trained examiners and examining conditions were controlled more adequately. The difference however was due primarily to the amount of education the two generations of soldiers had received. The World War II troops had a mean of 10.0 years of formal schooling whereas World War I soldiers averaged 8.0 years. Tuddenham interprets the results as indicating that the present population is superior in mental test performance to the population of a generation ago and that a large proportion of this superiority is a consequence of more and better education for more people (14).

How much education a person received depended on when he was born. The census returns for 1950 indicate that the median for years of schooling completed for all persons in the age range 20 to 29 years was 12.1; in the age range 30 to 34 years it was 11.6; in the age range 35 to 44 years it was 10.2; in the age range 45 to 54 years it was 8.8; in the age range 55 to 64 years it was 8.4; and —

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in an — about intellectual decline which has less to do with mental ability as such than with the diminished educational opportunities afforded the older people in their childhood and youth.

This possibility that schooling may be reflected in intelligence tests raises a major criticism of the kind of evidence utilized in so-called intellectual decline. Jones and Conrad tested in their villages all persons aged 10 to 60 years. They computed the average test performance by single years or by semi-decades on the assumption that all individuals in any one age group were just like those in any other age category. The reported data on years of schooling completed demonstrates that for one variable at least the assumption is not justified. Indeed it was less justifiable for the 1900s than for the 1920s — and —

other than those represented by school tasks. Intelligence tests in the last analysis represent the orientations of the test maker.

It is not surprising that out of school adults make uneven performances on the eight different subtests of Army Alpha. They are uneven because intelligence is not a single unitary factor; they are uneven because the tests themselves do not evaluate adequately the full range of competences needed for successful adult activities. Jones and Conrad found considerable differences among the eight subtests. They reported that in some tests the adolescents are superior to most of the adults; in others the adults on the average surpass the adolescents. In some tests the peak of development is reached around 18 years; in others a slight rise continues well into advanced maturity.

It is noteworthy that the tests of information (Test 4 opposites or vocabulary and Test 8 general information) fail to exhibit a post adolescent decline. Decline is most rapid in Subtests 7 (analogies), 3 (common sense) and 6 (numerical completions).

(4) In specifying the differential successes of adults on the various subtests Jones and Conrad add the interpretation that the three tests on which declines are greatest may perhaps be considered at least on a priori grounds to be the best in the Alpha for the measurement of basic intelligence or intellectual capacity.

(5) Jones at least has been steadfast in his belief that the two tests on which adults show no loss are the least valid for he said recently that the two tests (vocabulary and general information) can be shown to be less valid for age comparisons (9 p. 271). There can be little doubt that Tests 4 and 8 more than some of the other subtests are measures of stored knowledge which most adults constantly use. Stored knowledge of course does not remain static or unchanged in adults; it is always being added to and subtracted from.

Remoteness from schooling, disuse of content or process, shift in emphasis to accuracy, or even the adaptation of a way of working may influence test results. Disuse of arithmetic reasoning or an overemphasis upon correctness of numerical completions may be responsible in part for some of the lower scores in those tests by people in the later ages.

Basically the Army Alpha (and tests like it) must be and is correlated with the amount and quality of formal education. In World War I the correlation between Alpha and the highest school grade reached for the native born white draftee was .65. In World War II the correlation between AGCT and highest school grade reached also was about .65. Schooling makes for a difference in intelligence test scores. The greater the amount of schooling the higher the intelligence test performance. It is well known that the older adults in the population have had much less formal schooling than those persons born in the last 20 years. Since there is a positive correlation between schooling and intelligence test score it

made greater gains on the second test than their boyhood intellectual peers who had ceased their formal schooling sooner (7)

The most important follow up study however, was that made by Owens During 1949-50 he retested 127 men with the same form of the Army Alpha which they had taken as an entrance examination for Iowa State College in 1919 With great care he shows that the sample retested in 1919 could be considered representative of the entire 1919 applicant group Over the 30 year period the same individuals made a significant increment in the total Alpha score The over all gain was accomplished by significant increases in the subtests of Practical Judgment Synonym Antonym Disarranged Sentences Information and Analogies No subtest produced a

greater the probability of a gain on the retest Owens proposed a hypothesis that must ultimately be evaluated that individuals may have increased their scores on Army Alpha from the freshman to the senior year and that thereafter possible losses from their senior status occurred between age 20 and age 50 Even were the hypothesis to be verified it would suggest at the worst a very slow decrement in intelligence test score to the fifties

Bayley and Oden too retested a superior group over an extended period They readministered the Terman Concept Mastery Test (consisting of verbal items synonym antonym and verbal analogies) at or near age 41½ years to gifted adults who had taken the same test 12 years earlier They also obtained test and retest scores for the spouses of the gifted for the same time span For both groups scores were consistently higher at the second testing with somewhat larger gains on the synonym antonym subtest The Concept Mastery scores are positively related to the amount of education the respondents had had (1)

The Owens and the Bayley Oden follow up studies are based on select populations of former college students and of previously identified gifted persons (It is assumed that the spouses of the gifted are also select by assortative mating) The Lorge sample was just above the average of the population since the majority had achieved eighth-grade graduation in 1919 Pirren would argue that the rate of intellectual change with age in the elite 3 per cent of the population would be quite different from the rest of the population (2)

Unfortunately not much evidence is available

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Obviously, results from cross sectional testing can allow inferences only about age differences, not about age changes. Age changes can be appraised only by follow up retesting of the same population over time. When in succeeding generations the amount of formal schooling for instance, is the same for the entire age range from 25 to 65 years then generalization from age differences to age changes may be made somewhat more appropriately. Such equalization, however, is in the future; it was not true of the past.

The effect of schooling on test score, however, can be demonstrated in a cross sectional study. Tuddenham's study is one such study. Another comes from the Psychological Corporation's standardization of the new Wechsler Adult Intelligence Scale. About 15 years ago, David Wechsler published his now well known Wechsler Bellevue Intelligence Scale, a scale comprising eleven subtests. On the basis of the 1939 standardization, Wechsler interpreted the test results for different adult ages as evidence that intelligence was at its maximum at or near the twenties from which point it declines steadily. At the beginning of this year, the Psychological Corporation issued a restandardized Wechsler Adult Intelligence Scale based on tests given in the 1950's. The manual for the new test shows that the total scaled score increases steadily from the teens to about age 35 years and that the subsequent decline is very much less than Wechsler projected on the basis of the 1939 standardization (15). It must be emphasized that the 1950 cross sectional samples were chosen to be representative of the population of the United States for each specific age group tested. Thus even in the new standardization the younger age groups had much more schooling than did the older age groups. For example 65 per cent of the 55 to 64 year-olds had 8 or less years of schooling in contrast with 27 per cent of the 16 to 17 year-olds or 22 per cent of the 18 to 19 year-olds (16). Even for cross sectional samples the generalization that a sharp decrement in intelligence begins in the twenties is not true. The Jones and Conrad 1920 test results reflect the conditions then prevailing. Wechsler's results (which he does not interpret as I do) suggest how time bound the evidence was.

With the passage of time, follow up studies have become possible. Lorge, in the United States; Husen in Sweden; and Jarl in Denmark have each shown that intelligence test scores are related to the extent of formal education. For example Lorge retested with an intelligence test 75 adults about 31 years of age, a group of individuals who had been tested just 20 years before. He demonstrated that in the 20 years in general individuals maintained their ratings in intelligence test performances but that those who had completed a greater number of years of schooling

made greater gains on the second test than their boyhood intellectual peers who had ceased their formal schooling sooner (7)

The most important follow up study, however, was that made by Owens. During 1949-50 he retested 127 men with the same form of the Army Alpha which they had taken as an entrance examination for Iowa State College in 1919. With great care, he shows that the sample retested in 1949 could be considered representative of the entire 1919 applicant group. Over the 30 year period the same individuals made a significant increment in the total Alpha score. The over all gain was accomplished by significant increases in the subtests of Practical Judgment, Synonym-Antonym, Disarranged Sentences, Information and Analogies. No subtest produced a significant decrease (10). It is interesting that even for the select sample of college going youth the extent of education makes a difference in the extent of gains. The more formal education each individual had, the greater the probability of a gain on the retest. Owens proposed a hypothesis that must ultimately be evaluated, that individuals may have increased their scores on Army Alpha from the freshman to the senior year and that thereafter possible losses from their senior status occurred between age 20 and age 50. Even were the hypothesis to be verified, it would suggest at the worst a very slow decrement in intelligence test score to the fifties.

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Unfortunately not much evidence

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battery to eight adults who 18 years previously had been in a group of 40 boys diagnosed as feeble-minded. Seven of the eight gained on the re-administration of the 1916 revision of the Stanford Binet given at or near age 31 years. The average increase in the intelligence quotient was more than 15 points. All eight gained on Army Alpha with an average gain of 11 I Q points, all gained on the Porteus maze with an average gain of 21 points. All changes are statistically significant. While it is hazardous to generalize from eight cases, the direction seems to be for maintenance or increase in intelligence test scores.

Don C. Charles (3) retested 21 adults who as children had been classified as mentally deficient by two criteria: Binet intelligence quotients under 70 and the inability to perform school work satisfactorily. On the Wechsler Bellevue used as retest, 21 subjects gained on verbal I Q. Basically verbal performance and full scale I Q's were higher than the original Binet I Q's. The adults at the time of retest ranged in age from 36 to 49 years, averaging 42 years. Put on all of the gains may be a function of the different tests used. Charles, however, attributes the gain to underestimates of intellectual status in childhood.

The major emphasis of Charles' study was upon current social status of children who had been designated feeble-minded. From the evidence he concluded: "Social achievement and/or test scores suggest that about 65 per cent of the subjects who tested low originally now give evidence of being in the dull normal or average range. If ability to hold a job, to run a family, and not to get involved in lawbreaking is an indication of adult adjustment, then it may be inferred that even the so-called dull may keep or improve upon their childhood status. Neither Muench nor Charles suggests a decrement in intelligence over the age ranges they studied. Indeed, Charles in his summary of the literature about the adult status of children diagnosed as dull in childhood suggests a fairly bright picture for adults judged mentally deficient in childhood."

The evidence about the adult performances of the mentally dull is as has been indicated, inadequate. Nevertheless, when coupled with the reports of Owens and of Bayley and Oden, it allows the broad generalization that adults do not decline in intellectual status when changes in intelligence are appraised by retests of the same individuals. It is reasonable to conclude that intelligence is maintained within the same person without significant diminution through the twenties, the thirties, and the forties. Indeed, I would venture to say that for the same individuals there would be little if any loss on the average through the fifties and the sixties. Basically, I am suggesting that the cross-sectional method gives a biased estimate curve of intellectual growth, maintenance and decline (?) because

the cross-sectional approach does not correct adequately for the differential educational attainments of young and old adults

Of course intelligence tests give credits for the completion of tasks which are an undifferentiated mixture of content process and speed. Intelligence test scores in the late teens and in the sixties may be based on different kinds of tasks. For example Jones and Conrad concluded that in the sixth decade of life 40 per cent of total Alpha score is derived from two tests (Test 4 and Test 8) at age 10 these tests contribute only 22 per cent. Factor analysis of intelligence test scores at different ages indicates that the tests measure different amounts of the principal component at different ages. In Spearman's terms the principal component (which he calls *g*) is the factor that is involved in the measurements of ability of all kinds and which is throughout constant for any individual. (11) As estimated *g* accounts for the variance of test scores in the Bellevue Wechsler about as follows: at age 9 for 44 per cent at age 12 41 per cent at age 15 31 per cent at ages 25 to 29 27 per cent at ages 30 to 44 38 per cent and at ages 50 to 59 50 per cent. (6) This may be evidence that in the teens much of the intelligence score comes from credits for speed at least more than is true for test scores in the middle or later years. Spearman as a matter of fact on much less evidence concluded that "*g* increases from birth at first rapidly then more and more slowly—until some here not later than 15–16 years (and perhaps much earlier) its growth definitely ceases. Thereafter it normally retains this maximum level unaltered right up to the end of life (or at least to the onset of senility) (11)

Lorge has demonstrated that older adults were penalized by *speed* on intelligence tests that were an undifferentiated mixture of power and of speed. He estimated the penalty that speed places upon intellectual performance of adults. He applied a statistical correction for the speed penalty for each year following age 20 years to the data reported by Jones and Conrad. The speed factor apparently accounted for the observed decline in Alpha scores. Lorge's procedure does not prove that there is no decrement; it implies however that the inference of mental decline may be a function of the weights given to speed to process and to content in intelligence tests designed for school children. Much of the so-called decrement is attributable to the diminished speed of reaction or the slower work tempo of older adults. Certainly when intelligence is measured in terms of power to perform regardless of speed ability tends to remain stable for a long time span after age 20 (5)

In summary the so-called decline in intelligence does not seem to be evident when the same individuals are retested after 10 20 or 30 years

The few follow up studies allow the hypothesis that adults in their thirties forties or fifties will test at least as well as they did in the late teens or early twenties. Follow up evidence points to significant gains in middle maturity. The gains for the most part come from test items with very large components of verbal ability, or g or of stored knowledge. The follow up studies suggest moreover, that increments in test score in middle maturity are related to the recency and extent of formal education.

Cross sectional studies based on more recent testing in the 1940's and 1950's suggest that the so-called curve of intellectual decline was a function of the educational status of the individuals tested. The empirical decline is reported for cross sectional samples in the 1940's and 1950's is much less precipitous and is postponed at least a decade beyond the results for 1920 and 1930. It is reasonable to expect that if the observed results were corrected for the regression of intelligence test score upon the extent of formal education the empirical decline would be even smaller. The amount and quality of formal education is but one factor. Adults in middle and late maturity in our culture get many other intelligence training stimulations in their expanded leisure time. Adults today have the advantage of more and more transactions with one another and with the ideas and events of the modern world. Easier transportation and enhanced communication by radio television and print may postpone or prevent intellectual decline.

The pitfalls surrounding the estimation of the curve of intelligence from infancy to late maturity of course are also found in the estimation of learning ability in the years following age 20. No one so far has made a longitudinal follow up study of the amount or quality of the learning of adults. It would be interesting to discover if individuals who could have learned a skill or a language or methods of solving problems at age 20 could do related but different problems at age 40 or 50 or 60. It is my belief that anybody can learn at the middle or later years the same kinds of things he could have learned in the teens or early twenties. The difference in the amount and quality of learning will depend upon the amount of time devoted to learning it. This implies of course that a decrement in speed and in sensory acuity may be a concomitant of the physiologic process of aging.

Again the generalizations about learning ability in older adults are a function of the appraisal of learning performance and the kinds of cross sectional samples tested. Beginning with Edward L. Thorndike's book *Adult Learning* (13) the experimenters have measured learning in terms of rate the amount produced per unit time or the time required per unit amount. It is to be expected that decrements in rate necessarily are related to diminished reaction time or to adapted tempo. When learning

however is measured in terms of accuracy or just Can it be learned? the adult in or past the middle years can and does learn Indeed Welford has suggested that older adults frequently can compensate for deficiencies in sensory acuity and reaction time by working more cautiously, by greater effort or by deliberate slowing down In his experimental work on skill and age therefore Welford has deliberately minimized for his experiments the potential contribution of wisdom in compensating for the physiologic changes that age inevitably brings In complex tasks involving sensorimotor skills Welford found that the amount of learning depends upon the extent to which the adult can control his method and his timing He states (17) that "Where such control can be fully exercised compensation is likely to occur but where the performance is narrowly constrained in either the form or timing of the constituent reactions the compensation will be virtually impossible It can be readily seen that receptor functions insofar as they deal with events of external causation impinging upon the subject are essentially less under his control than effector processes initiated by his own central mechanisms, and therefore permit less compensation for deficiencies of ability and are likely to break down sooner Where rigid constraints are placed upon effector processes

effector functions are likely to break down just as rapidly as receptor Welford therefore emphasizes both time stress and speed of integrating information in measures of learning In his experiments however although time errors and trials are increased as a function of the age of the subjects tested basically the subjects could and did learn Of course Welford cannot be certain that his older subjects were equivalent to his younger subjects in power intelligence education motivation and the like Currently there is no way by which it is possible to demonstrate the equivalence of cross-sectional samples Nevertheless the generalization is reasonable that an older adult can learn at later ages what he could have learned at younger age if he is allowed to use his wisdom in reducing speed to increase accuracy or by transferring from earlier acquired skills to new skills Ray cautions however that the older adult may be at a disadvantage in learning a new skill when previous experience is inapplicable As he puts it "And the adult's deficiencies here primarily arise not because any retaining mechanism is functioning inadequately but rather the reverse it is holding on too indelibly to what it already holds on to is not sufficiently flexible to cope with new data (9) It is in the area of transfer of training that adult learning must be studied Can stored knowledge of skill fact attain

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community. As I see it, our greatest hope for future research is to gain the interest and active support of both industry and labor to sponsor research in the industrial situation.

Although there is some question about age changes in intellectual power, Dr. Lorge has shown that speed of performance diminishes with increasing age. Working in our laboratory, Dr. Birren demonstrated a significant reduction in speed of performance in a number of tasks, such as arithmetic operations, recalling words and writing words and numbers. This is in accord with other reports in increased reaction time. Mr. Norris in our laboratory has shown an age reduction in the velocity of conduction of the nerve impulse. This reduced speed of performance with increasing age is often ascribed to a general reduction in metabolism. While it is true that the basal oxygen uptake per square meter of surface area diminishes progressively with age, we have found that this total reduction can be explained on the basis of a gradual reduction in the amount of functioning protoplasm with age, since the basal oxygen uptake per liter of intracellular water does not change. Thus, we can scarcely attribute alterations in speed to a reduction in the rate of cellular metabolism. It seems more logical, especially in the light of studies carried out by Welford and his associates, to look for changes in organization and coordination as the underlying factor in changes in speed of performance.

In closing, I wish to point out that the psychologist can offer a more optimistic view

the relationship between behavior and physiologic status is not too close, so there is still a wide latitude of performance and behavior possible within a given framework of physiologic or health status. Even though there may be a gradual loss of functioning cells in the nervous system as age increases, we know that other areas of the brain can take over many of the behavior functions. As I see it, one of the most fruitful areas for future research is to explore techniques whereby the aging individual can learn to transfer functions that will enable him to compensate for his physiologic losses.

Dr. D. EWEN CAMERON (Montreal, Canada): I should like to ask two questions. One of them may sound rather unfair, perhaps, at least it concerns something that has not been discussed so far: that is, what is actually meant by *intelligence*?

Intelligence

The second question that I would like to put to the speaker is in conjecturing about the now rather doubtful decline in performance with age, has he thought about the role of anticipation?

In our culture we have the anticipation that the older person will be slow. We have the anticipation, and he has it too, that he will be slow.

not try to transfer from relevant learnings. These failures, however, are not serious in the age range from 20 to 50 years.

Intelligence and ability to learn, while not perfectly correlated, are sufficiently related to suggest that both intellect and performances using it are maintained without significant decrement through early and middle maturity. The imputed decline, to a large degree, is a consequent of thinking by analogy, constrained tests, and a limited concept of what is implied by learning ability.

DISCUSSION

DR NATHAN W. SHOCK [Baltimore Md.] In his presentation Dr Lorge has highlighted some of the limitations of currently available methods for evaluating intelligence in adults. Some of these limitations can be ascribed to our use of tests which were originally designed for the school situation. Hence it is not surprising that the amount of formal education possessed by the adult subject has a profound influence on the score he makes on an intelligence test.

It is also clear that all of our intelligence tests measure an undifferentiated mixture of content, process and speed. However, the methods of factor analyses as developed by Professor Thurstone and others seem to me to offer a possible solution to this dilemma. The use of factor analysis methods provides a method of bringing into a single score the identified component of a number of specific subtests. We already know that during growth and development there is a change in the factor loadings for a number of the abstract components of intelligence. What we do not know is how these factor loadings change over the adult life span. Unfortunately, the original test materials devised by Professor Thurstone to measure the primary mental abilities in school and college students cannot be applied in their present form to older adults with sensory impairments. Thus we need to adapt the test instruments to make them suitable for age studies. However, I am confident that this approach will provide valuable information.

Although I recognize the importance of social competence in adult adjustment, I cannot agree with Dr Lorge's proposal to include these variables under the category of intelligence. We have difficulty enough in defining intelligence—let us not further confuse our thinking with the concept of social intelligence. It is my belief that we had better devise new instruments designed especially to test this aspect of adaptive behavior rather than contaminate our concepts and testing devices for intelligence.

Dr Lorge has justifiably emphasized the importance of longitudinal studies of changes that occur with age in a given individual. As one who has been associated with longitudinal studies, I have first hand experience with the costs and difficulties of carrying out such studies. However, I believe they are essential. Unfortunately, the problems of data analysis are formidable since statisticians have not developed methods for the precise comparison of measurements made over a span of time. All too often longitudinal data must be analyzed by methods developed primarily for cross-sectional studies.

Dr Lorge has given primary consideration to studies on subjects up to the age of 40 or 50. This is not to say that tests have not been applied to individuals at more advanced age. However, I suspect that Dr Lorge has refrained from comment on these studies because they have been made for the most part on individuals who were residents of mental hospitals, general hospitals or homes for the aged. I agree that these subjects can scarcely be compared with individuals who are still residing in the community; this is a serious limitation to our knowledge and can be remedied only when investigators can have access to large numbers of middle aged and elderly people who are still living in the

i.e., statements culled from the literature about which evidence was lacking I exposed this schedule to a large group of experts in gerontology. The people who believed the largest number of stereotypes about aging were those in the medical professions. The people who believed the least number were college professors such as I. Now, this is reasonable. I do not see the infirm, the deteriorated aging. They don't come to my office or to my classrooms. But they do go to doctors and they do go to specialists. The consequence is that we tend to generalize from a segment of the population to all of it. I make the same mistake that you do except that mine is a more optimistic error than yours.

Can we do something to offset that? Yes. I think that we can be much more optimistic for more of the people who are now coming into the sixties, seventies, and eighties. I think you ought to study not only the infirm and the indigent and the needy but also those who are not.

who for one reason or another need support or help of one kind or another. My feeling is that the first thing doctors should do is to think of the entire range of adjustment in all the aged.

The second thing is that we ought to recognize that we may be doing a disservice to people who have learned an attitude toward the meaning of work to tell them they have got to get out. Certainly I doubt that many of you in this room would appreciate the generalization that you are through at 50 or at 60. We need some determinative tests to estimate how well people can perform so as to assure them that their performances are within the expected normal range of activity.

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DR IRVING LORCE I am a little embarrassed as a psychologist to be put on the spot again to try the difficult task of defining intelligence. I can tell you that the psychologists have spent literally thousands of printed pages arriving at a decision and for the moment I suspect that they are not yet all agreed.

One of the definitions of intelligence was facetiously but correctly made by my predecessor in office Professor Edward I. Thorndike. He defined intelligence as that which is measured by an intelligence test. While that definition is facetious, it is also correct. Psychologists put different items and tasks into intelligence tests.

Actually the basic rationale for an intelligence test was to discriminate between children who could not and children who would not learn in other words a school oriented concept.

What we would like to suggest is that intelligence is the ability to learn and to solve the tasks required by the particular environment. That implies a multiple approach to intelligence not a simple one. Hence on some factors there may be gains in aging and on others there may be losses. I may be begging the question but I recognize that I am.

The second question is what about the role of participant? In my paper to be published I make the generalization that because of the increased technologic gains in the last fifty years there may be a significant difference in the amount of participation that older people have in and with the community. The use of radio and television for instance on the one hand and the increased transportation facilities and the increased availability of print—all make it possible for adults to get larger and larger stimulation from one another in the transactions of daily life.

I am quite convinced that the concept of old age roles put upon us largely by the conceptualization of Shakespeare in the Seven Ages of Man may not be true at all for today. In Shakespeare's day longevity was not comparable to that of the western world today. In general now there is an expectation of 70 years of life at birth and much more for people who manage to survive. Compare it with the approximately 29 or 30 years when Mr. Shakespeare was producing his plays.

You should recognize therefore that there are a number of other elements involved in the comparison of older and younger people on a cross section basis. A person who is now reaching 65 may have entered his working career 10 years ago probably at the beginning of World War I when the work week was much longer and when fewer tools were available. The result is that a large part of our population may have worked much harder and exhausted itself much more rapidly than the group of youngsters who are now coming of age and who will we hope fulfill their 50 or 60 years of promise.

There is no question but that we react to the roles that are thrust upon us. If it is expected that we ought to be sedentary that we ought to be quiet and that we ought to be inactive we tend to behave that way.

I am convinced that Dr. Cameron knows the answer to the question of what people do act in accordance with the roles thrust upon them. This by the way may be one of the social variations that are interesting. Certainly Dr. Cameron did suggest that as people become older they adopt a tempo of work. I am convinced that they do more than adopt tempo. There is evidence that they adopt attitudes toward work. The recent findings of the Nuffield Laboratory in Cambridge, England suggest that older people actually adopt special attitudes toward work. The older they become the more they emphasize accuracy over speed in any particular task that they happen to do.

The last question was can the effects of anxiety in aging be offset? I suspect that they can be to a large degree provided we are willing to recognize two kinds of issues. First I should suggest that you for the most part are people connected with the medical profession to a large degree determine the attitude toward aging itself.

A number of years ago at Arden House I gave a schedule of stereotypes about aging

CHAPTER VI

EXPERIMENTAL PROLONGATION OF THE LIFE SPAN¹

FRANK POPE WANDA LUNSFORD and CLIVE M. MCCAY

Although Roger Bacon (1214-1292) stated clearly the advantage to man in having a longer potential span of life than most of his domestic animals little use has been made of this asset in research upon aging or in the study of the diseases of old age

Some of the reasons that man has failed to make much use of animals in the study of aging are the following 1) Research in this area is frustrating because the number of variables is large and the work is slow Hence graduate students cannot often be interested in such research and the interest in this field does not spread 2) There are no research institutes for the study of aging where workers can formulate long time programs and be assured of the same degree of permanency that one finds in other fields such as agricultural experiment station work 3) Due to variability among individuals as they grow older and are subjected to the hazards of diseases and the accidents of living research upon aging requires the use of substantial numbers of animals maintained for long periods Hence such research requires space which is always at a premium in medical centers and substantial funds devoted to basic research rather than toward specific diseases 4) Effective research upon aging requires cooperative work of groups of specialists if an adequate return is made upon the long time investment in animal maintenance Since agricultural colleges usually have the better and cheaper facilities for the maintenance of animals while medical schools have the better laboratories in pathology and physiology it is important that the two cooperate However, this is difficult because the physical plants are usually widely separated and the administrative staffs seldom appreciate the advantages of such cooperation

Finally after research results are obtained with animals in fields such as nutrition the obvious paths for confirmation by harmless studies with people are almost entirely blocked to researchers The place for such tests with people is in the homes for the aged the prisons and the mental

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At the same time there must be general laws that apply to many individuals and even to many species of animals.

The first of these general laws might seem to be that females outlive males. This has been known for human beings for about two centuries. It is evident in small animal laboratories in which rats or mice are kept for the whole of life. It is not well established for other species because farm animals are seldom kept for the whole of life and no one has bothered to assemble available data upon horses or registered dogs.

Occasionally however in research upon nutrition with white rats the rule is reversed and males outlive the females. After white rats are subjected to long periods of retarded growth by feeding them diets excellent in quality but inadequate in quantity there is no longer a difference between sexes. Hence such treatment has given the male the same favorable status as the opposite sex in combating the terminal diseases that determine the span of life. Few of us can imagine the changes in our own social structure that would result from equalizing the span of life of the sexes.

Most nutritionists would like to discover a substance, or a combination of substances that could be fed to an animal with the result that the individual would lead a long healthy life terminated by a sudden demise. Attempts have been made to discover such effective mixtures of vitamins, proteins, inorganic constituents and antibiotics but nothing has been found to be very effective above certain modest essential basic levels. There may be optimum dietary mixtures but no one has discovered them.

There are a number of papers in the literature which give the impression that the life span of some experimental animal such as the white rat is lengthened by feeding it some substances such as calcium salts, vitamin A or an increased allowance of milk. One needs to examine such data from at least two angles before they are applied in human nutrition. In the first place one needs to determine if the tests upon the animals such as the rats were valid. Long time studies with animals are subject to numerous pitfalls and statistical validity does not ensure that the results can be repeated. Repetition is essential. In the second place one must always ask if the studies with test animals were sufficiently similar to conditions found in man that application is justified.

If we examine the statistics of experimental animals in the studies, the following facts emerge:

First we know that animals such as the white rat grow old and die within a period of two years with many of the terminal diseases of man. Thus after two years of life the animals are usually diseased by a combination of lung disease, kidney disease, and atherosclerosis or disease of the arteries.

hospitals. There are hundreds of institutions in which active research programs should be in progress dealing with the long time use of specific foods such as butter or eggs or sugar or hydrogenated fats or salt but almost nothing is being done. Such studies would bring new interests into the lives of thousands of people because they would appreciate that they were sharing in attempts to develop ways of better nutrition.

We will continue to make almost no progress in such institutions until 1) we can finance such studies and induce young people to undertake them as their life work and 2) we can relieve institution administrators of enough of their burdens of management so that they can have time to familiarize themselves with methods of research and assume the important administrative roles that are required to make human studies progress.

It is evident that we have the knowledge and techniques in the physical sciences for making great advances in regard to aging but we lack these assets in social outlook and in institutional administration.

Europe is more backward than America in facilities for long time animal research but the Scandinavian countries have done better than we in making use of their institutions in research upon tooth decay and calcium metabolism in old age. At the time of the Physiological Congress in Russia twenty years ago there were already paper plans for research institutes upon aging but if any fruit has come from these it remains little known.

In animal research there has long been some belief that a form of suspended animation might prolong the span of life. In the old physiology texts such as that of H. Milne Edwards published in 1881 one finds attention given to the dehydration of rotifers as a means of preventing the completion and termination of a life cycle. The botanists have long concerned themselves with the length of time that life could be preserved in a dormant seed.

This in turn introduces the possible interrelationships between such phenomena as hibernation, the length of life and diseases of old age. The possibility that hibernating animals may have unique potentials in regard to life span has come to our attention recently. In the course of retarding the growth of hamsters by feeding them a low intake of a very high quality diet Miss Gladys Sperling has observed that the hamsters seem less adversely affected by such retardation than are white rats. Hamsters tend to hibernate during cold weather and rats do not. It is possible that a hibernating animal approaches a grain of wheat in the suspension of animation as a means of lengthening the span of life.

As one tries to analyze the problem of optimum performance of an animal body throughout life he readily appreciates that there are ideal combinations of such variables as dietary factors or ways of living that must vary for each individual animal in relation to the body it has inherited.

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If we examine the statistical data from experimental animals in the light of the facts that emerge

First, we know that animals such as the white rat grow old and die within a period of two years with many of the terminal diseases of man. Thus after two years old rats have fragile bones, decayed teeth, weakened lungs, diseased kidneys and tumors but seldom do they show much evidence of cardiovascular diseases.

Next we know that we can extend the life span and modify the terminal diseases of old age in the white rat by retardation of growth. This result is certain and has interest because it teaches us we need not face frustration in the field of animal research in longevity.

In the choice of research animals one needs to consider their specific diseases. Of species available today the dog seems the nearest to man. The rat has many assets but its chief liability is bronchiectasis. There is some hope that this disease may ultimately be brought under control and hence improve the usefulness of rats for old age research. The recent progress of Sims and Berg gives hope of prevention or control of this disease in rats. Today every long time study of rats, whether it concerns reproduction, pharmacology, toxicology, psychology or endocrinology, is faced with results subject to profound modification in the latter half of life because of the high incidence of pulmonary disease.

In contrast to the white rat the dog seems to have lungs that function quite well in old age. The lungs of hamsters also seem more resistant to disease during old age than are those of rats. Furthermore hamsters tend to live slightly longer than rats and to develop forms of arthritis that are worthy of intensive study.

In experimental animals the best way to extend the life span is through partial starvation. This is effective if started even in middle life. However it is most effective if started with very young rats so that the growth is retarded. The normal span of life for the white rat falls between 500 and 700 days but it is easy to produce substantial numbers of rats that are over a thousand days of age and a few that exceed 1100 days by keeping them underfed for much of life upon diets of very high quality. Such procedures of underfeeding profoundly modify terminal diseases. This was observed by Moreschi about 50 years ago when he found he could not transplant tumors into underfed mice (1). Too little attention has been given to this basic problem of why the internal environment becomes unfavorable for the development of tumors when rats or mice are fed diets rich in all essentials but inadequate for the body in terms of energy sources.

Under such conditions the body probably burns part of its essentials as fuel while the minimum amount of such materials as vitamins and essential amino acids is used to keep living cells functioning. Both the late C. M. Jackson of Minnesota and L. B. Mendel of Yale had some insight into these interrelationships between retarded growth and length of life in white rats. However they did not design extensive experiments to test their concepts.

When the life of an experimental animal is extended by means of retarded growth, part of the body seems to age at a regular rate. Thus the bones grow old and fragile although they increase in size after the re-

tardation is ended. Chronic diseases afflict the animals more slowly than normal. The hair and skin retain much of the appearance of youth.

When white rats are allowed to grow at normal rates but are fed diets that differ in type, it is possible to have the same incidence of diseases in old age and the same mean span of life but to have very different conditions of some organs. Thus, if rats are fed nothing but diets of mineralized fresh milk, the teeth remain free of decay until the end of life. When life ends in such animals the bones are also denser than in animals fed mixed diets. Thus, parts of the body may change but the over-all deterioration from diseases remains the same.

Most of the secrets of extended life from the retardation of growth remain to be unravelled.

During the past year we have made a new use of retarded growth to study aging of rats combined in parabiotic union (figure VII). Nearly a century ago French physiologists initiated studies of white rats united in parabiotic unions so that they shared most of the circulating fluid through capillary circulation. In exploratory studies we first prepared two pairs of rats with the help of Dr. B. F. Kamrin. One of these pairs survived for a period of 597 days, which is equal to about 53 years in the case of man. We killed the pair at this age because one had developed what seemed to be a chronic bladder infection. This indicated that such pairs could survive into relative old age.



Fig. VII. Parabiotic union between

During the past summer the following combinations of animals were united by Dr Frank Pope (1) litter mate rats age 2 to 3 months (2) non litter mate rats of the same age (3) litter mate hamsters (4) combinations of rats of widely different ages

Seventeen pairs of the last were prepared in July and early August 16 were alive in mid October

The mean age at the time of operation for the older members of the pairs was 261 days while that for the junior members was 13 days On November 11 1955 the seniors of the pairs had a mean age of one year The mean difference for members of the pairs is 218 days This age difference represents about one third of the span of life of a normal rat or about an equivalent of 22 years for man

To prepare these parabiotic unions special techniques were used in preparing the animals to reduce the surgical risks to a minimum In the first place a succession of litters was produced using the same dam and sire or a closely related sire Thus one had rats of different ages from the same parents In order to have rats of the same size at the time of operation the older rats were retarded in growth and hence were equal in size to infant rats while in age they were equivalent to adults If one compares these rats to men one can say that it is equivalent to uniting a 4 year-old boy and a 26 year-old man

Some observations in making these unions were first the severe loss of hair which lasted for two to four weeks One may ask if this is a reflection of emotional disturbance In the next place some of the older rats in the combination tended to attack their younger mates and inflict severe injuries upon the head The anesthesia provided special problems because these rats of two different ages had different requirements even though the bodies had the same weights

Both sexes have been used in these parabiotic unions between animals of different ages but not opposite sexes This needs to be attempted in time to determine if the female in a pair can exert her favorable influence upon the span of life

One problem that has been encountered in this work is a condition which has been much studied especially by the Germans This is called parabiosis disease One of a pair seems to drain the nutrients from the other with the tail and ears becoming very blanched in the donor while the other has deeply pigmented tail and ears

It has long been believed that rats retarded in growth accelerated their growth rates when allowed adequate food This was anticipated as a source of difficulty in making these parabiotic unions but no evidence has been found that the pair of very different ages grow at unequal rates

Parabiotic unions between young hamsters of the same age have proven

satisfactory. Since the hamster seems relatively easy to retard in growth it may prove more satisfactory than the rat for union of animals of different ages.

Man has it within his power to profoundly modify the life spans of animals and the diseases that accompany their aging.

DISCUSSION

DR. BENJAMIN N. BERG [New York, N. Y.]: Dr. McCay is a pioneer in the systematic study of nutrition in relation to the aging process and his observations on increased longevity in rats by retarding their growth provide a useful tool in studies on aging. Most important is his emphasis on the integration of the two processes and the profound effects of nutritional factors upon them.

Under the conditions of his experiments he has shown that retardation of growth appears to delay the development of disease and thus lengthens the life span of the rat. Particularly interesting is his observation that optimal growth is not necessarily conducive to greatest longevity, a concept which is at variance with that of many investigators who, however, have not been able to reproduce his results. In his experiments, the rats were kept in a controlled environment, with a constant temperature, humidity, and lighting, and were handled gently. The results of his experiments are in good agreement with those of other investigators who have shown that a high degree of nutrition is associated with a high degree of longevity. The results of his experiments are also in good agreement with those of other investigators who have shown that a high degree of nutrition is associated with a high degree of longevity. The results of his experiments are also in good agreement with those of other investigators who have shown that a high degree of nutrition is associated with a high degree of longevity.

As Dr. McCay points out, few workers are engaged in experimental studies on the relation of nutrition to longevity. Because of the nature of the problem, progress is slow even when using an experimental animal with a relatively short life span such as the rat. In order to arrive at statistically valid conclusions for a single experiment, large numbers of rats requiring considerable laboratory space, are necessary, and a period of three to four years must elapse before results are obtained, making this type of investigation very costly. Meticulous attention must be given to details such as constant temperature, humidity, and lighting, avoidance of crowding and gentle handling of animals. These environmental conditions can profoundly influence the outcome of an experiment. Also, the results in one laboratory cannot be compared with the findings in another.

Dr. McCay's work is of great importance in the study of the aging process. By retarding growth, he has shown that the life span of the rat can be extended. This is a significant finding, as it suggests that the aging process is not necessarily inevitable. In many cases, the aging process can be delayed or even prevented. This is a very important discovery, as it suggests that the aging process is not necessarily inevitable. In many cases, the aging process can be delayed or even prevented. This is a very important discovery, as it suggests that the aging process is not necessarily inevitable. In many cases, the aging process can be delayed or even prevented. This is a very important discovery, as it suggests that the aging process is not necessarily inevitable.

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Dr. McCay's retarded animals

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This I think should make us wonder whether the average age of death is not more a reflection of the incidence of disease than any characteristic of the animal species.

In conclusion it is fortunate that the many variables which make research so difficult in the study of nutrition in relation to longevity have not deterred Dr. McCay from making important contributions in this field.

Dr. RALPH GERARD (Ann Arbor, Mich.) I would be glad to have Dr. McCay tell us whether the connections between these parabiotic animals are still limited to the skin vessels or whether he has succeeded in getting a more intimate connection through livers or other internal organs and if not the later, whether he feels that the connection available through the skin is a sufficient communication to test fully all the problems in which he will be interested.

I am not certain that I entirely followed the point that Dr. Shock was making. Of course many organisms tend to die off not because they reach a prescribed termination of the biologic processes but because of intercurrent accidents. This is true at least in the case of the longest lived organisms, the sequoias, which are notoriously resistant to fungus and other infections. This has been suggested as the reason for their longevity. On the other hand, has it not been possible to breed pure strains in a variety of organisms—Paramecia and flies and even mammals—which without evidence of a difference in susceptibility to intercurrent disturbances have widely different survival curves?

Dr. ELMER M. GREENE (New York, N. Y.) I have a question that is closely related to a point that Dr. Shock made which I would like to raise more specifically.

I would like to know more about Dr. McCay's studies particularly what proportion of the deaths in these colonies have been due to diseases or to demonstrated senile changes in old organisms if the bulk of the deaths are due to diseases are not the data more relevant to the courses and causes of disease than they are to the life span of organisms?

CLYDE MCCAY I should like first to try to answer the question about the parabiotic animals. To date I think we are getting circulation only through skin union. However, it is surprising that we could get that between the young and middle aged animals.

thought a young animal and the old are in the work show that does not in such parabiotic unions. I see further.

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Growth is optimal according to Zucker's standard and reaches a peak at about 500 days in males and 600 days in females. Later there is a plateau and then a decline in the growth curve when disease sets in.

Because of our interest in the pathologic findings many old rats have been killed before they have completed their potential life span. Therefore no attempt has been made to determine whether individual animals attain the extreme longevity of some of Dr. McCay's rats. Such observations are part of a longevity study planned according to Dr. McCay's retardation method.

However, in terms of life expectancy the mean lifespan in our colony on an unrestricted food intake is of the same order as in his retarded animals. This discrepancy may be due to one or more of the following factors: 1) difference in rat strain; 2) difference in diet; 3) lowered incidence of pulmonary infection. This last is probably the most important factor in survival rate as Dr. McCay points out.

The same kinds of renal and cardiovascular degenerative diseases develop in both colonies but the types of tumor differ.

Evaluation of a nutritional technique for increasing longevity must include its effect on growth, reproduction, and maturity. Ideally these should not be impaired. However all three of these natural processes are altered with the onset of disease and it is difficult if not impossible to separate the effects of experimental technique from the effects of disease. The latter, though delayed in retarded rats, develop nevertheless and confuse observations on realimentation or other nutritional procedures.

The potential life span of a species can be determined only when disease is controlled or eliminated. This is illustrated by the increased longevity of the rats in our colony with controlled pulmonary infection.

Observations on our aging rats show that growth ceases with the development of disease. However examination of the bones of the fore and hind legs reveals that epiphyseal union has not taken place even in the oldest animals over 1200 days of age. Incomplete epiphyseal union in old rats has been described by Dawson, Evans, and others who tend to look upon the phenomenon as one which is peculiar to the adult rat. On the other hand persistence of epiphyseal cartilages may indicate that further growth is possible if the nutritional impairment from disease is corrected. Therefore rats which are now considered to be senescent may not yet have reached maturity and may be capable of further growth.

The greater longevity of female compared to male rats has been described by Dr. McCay and has been fully confirmed by us. This difference in the life span of the sexes which also exists in humans provides a fruitful area of research in the problem of aging.

Of interest to neurologists is the spontaneous occurrence of a form of muscular dystrophy in aging rats kept on a good stock diet. The condition, hitherto not described, is the chief terminal cause of death in our colony. The disease affects principally the hind legs and the histologic changes in the muscles closely resemble the findings in experimental vitamin E deficiency. Since the diet contains an amount of vitamin E which is adequate according to current bioassay standards the question arises as to whether the need for this vitamin increases with advancing age.

DR. NATHAN SHOCK (Baltimore, Md.) I am very much interested in Dr. Berg's report that in the rat colony nourished and nurtured by Dr. Simms over these many years the life span has approached or is equal to that reported by Dr. McCay for animals on a reduced caloric intake. This is because I have been impressed by the uniformity of the average age at death among rat colonies whether they are maintained in England, Switzerland, the United States, India, or in Scandinavia.

The 50 per cent mortality rate for most rat colonies has been at about 41 months.

adjustability and potentialities for a valuable contribution and anything that deviates from it is of necessity inferior, not to say pathological, so that the curve of adequacy of adjustment starts out at a low level at birth, rises to a peak in the young adult and begins to drop off at or about the involution and senescence. This attitude has become so firmly established in social organization that senescence has become entirely synonymous with decline disregarding any possibility of the search for and utilization of contributions that can be made by the aged person not *in spite of* the fact that he has become old but *because of* it. Our whole system of social organization and evaluation of potentialities as well as responsibilities inherent in the various stages of human life has been geared to this attitude which whatever its value and justification may have been in the past, has during the last half century because of the drastic shift in the age composition of the population become untenable and has led to the development of serious problems.

The fact that at the present time we have begun to be particularly alarmed at the growing magnitude of this problem has in part at least, been conditioned by the rapid increase of the proportion of older people in our population. The young adult in assuming the role of the most successfully adjusted has also accepted the fact that he has to carry the burden of responsibility for those members of society who are either younger or older than he is and do not possess the particular characteristics that are peculiar to his age. As long as this age group constituted a substantial majority of the proportion of the population this status could be maintained without any serious difficulties. But with the rapid rise in life expectancy and the growing complexity of life situations two complicating factors were introduced.

On the one hand we find that the period of childhood and adolescence has been considerably extended and the younger part of our population has come to maintain its dependency upon the adult population for support over a longer period of time. The other

factor is that we are not ready to assume responsibilities of their own until some time in the twenties. At the same time

as a case, was the population. Recent statistics show that the proportion of older people in the population has increased. This will

cannot take into account

CHAPTER VII

THE PSYCHOPATHOLOGY OF AGING

WILLIAM MALAMUD, M.D.

In undertaking the discussion of this topic I considered it important to define the scope and implications of the concept of aging (as it will be used in this presentation) and its relation to psychopathology, indicating specifically whether and to what extent the process of aging is to be regarded as pathological in itself and is contributing to the development of maladjustment.

I propose to start out with the thesis that aging is a normal development in the life of the individual and as such may be regarded in a manner similar to that of the other life stages namely infancy childhood adolescence early adulthood and middle age. Each one of these has its own specific characteristics in regard to adjustment and when compared with other stages presents both liabilities and assets which do not exist in the others. The dependency and need for protection in infancy and childhood the emotional crises of emancipation and consequent revolt in adolescence the stress of accepting responsibilities economic social and family obligations in early maturity present hurdles in adjustment which may be regarded as akin to those which are introduced by the deficits following the involuntional process and the onset of senescence. Running parallel to these are certain assets such as the flexibility and the high potentiality for learning in infancy and childhood the vigor and richness of perspective of the adolescent the strength and initiative of the young adult which present assets that offset the obstacles inherent in the concomitant liabilities. The question comes up whether comparable assets may not be found also in the process of aging. The richness of experience the tempering of the impetuosity and emotional impulsiveness of youth by a lifelong process of reality testing the wisdom that develops on the basis of greater self knowledge and the appreciation of reality demands has been given but little consideration both by society at large and those who are engaged in research in this field and consequently a rather distorted view of the contributions that can be made by the aged has resulted.

An important factor in the development of such a biased attitude towards senescence is the fact that in our appraisal of it we have utilized arbitrary standards which are geared to the characteristics primarily of young adult individuals. Both society and the research worker have taken it for granted that the life period between 25 and 35 represents the optimum of

would have died earlier, have continued to live and have added to the older population in hospitals. It is also true that with the great increase of people of 65 and over in the general population we would naturally expect a proportionate rise in the number of people developing psychoses characteristic of old age. Neither one of these, however, explains the marked increase in admissions of people over 65, which is entirely out of proportion with the increase of people of that age in the population in general. At present, the indications are that this trend will continue unless we can gain more insight into the causes of these diseases and develop more successful methods of treatment and prevention.

A study of this phase of the problem is therefore, of importance in two ways. In the first place, this marked increase of such psychoses in itself presents a burden to the economy of the nation and, unless it is dealt with adequately, this burden will continue to increase. At the same time, however, it offers a good opportunity to investigate the factors which not only in psychoses but in maladjustments in general in people over 65 lead to the problems that develop.

The bulk of these cases in mental hospitals consists of the various types of senile psychoses and the mental diseases associated with cerebral arteriosclerosis. A small fraction of these cases is contributed by the so-called pre senile psychoses (Alzheimer, Pick's, etc.) and, finally, there is the small but clinically important group of the involutional psychoses. If we proceed from this category of area of normal old p

to the more serious mental diseases. Quite a number of cases in these latter categories cannot really be regarded as specifically restricted to this age group and as Cameron points out, a large number of the psychoneuroses, for instance, are not essentially different in nature from those that occur in younger people, although certain social and psychologic problems which are particularly likely to be accentuated at this period of life tend to enhance the ease with which they affect the older people.

Clinically and pathologically we have seen

that with any degree of certainty about an increase in incidence of diseases in this age bracket we refer primarily to the psychoses and more specifically to patients who have actually been

the possibility that with the rapid progress that is being made in the field of medicine and particularly in the control of those pathologic conditions to which the older person is especially susceptible may and probably will result in an even greater increase in percentage of this age group. The young adult, therefore, finds himself squeezed between two age groups in the population which while they continue to rise in proportion as far as numbers are concerned, are not given the opportunity of participating in sharing the burdens of responsibility.

In the case of the older people this has led to the establishment of a vicious circle. On the one hand the emphasis on the deficit in certain personality functions has completely overshadowed the consideration of assets introduced by late maturity and has resulted in enforced retirement, the relegation of potentially useful members of society to an existence marked by inactivity, lack of participation, isolation and feelings of insecurity and dependence. On the other hand this form of existence has led to a sort of atrophy of disuse with a consequent accentuation of the deficits and a decrease in assets. This trend has been further enhanced by the great economic and social changes that have taken place in this country in the last few decades as a result of a shift from a rural agricultural society to an urban industrial society which failed to make provisions for older people. We are faced today with a paradoxical situation in which society is doing everything possible to increase the numbers of old people and at the same time not only neglecting to utilize the vast potentials of energy that they could contribute but actually creating conditions which inevitably lead to further breakdown of their physical and psychologic adjustment.

A survey of the present status of the psychopathology of aging clearly indicates the progressively increasing magnitude of the problem and at the same time brings to light some of the factors responsible for it and the steps that will have to be taken in dealing with it.

The most convincing evidence of the rapidly growing magnitude of this problem is clearly demonstrated in current reports of the increase in incidence of mental diseases in people over 65. A recent publication issued by the Council of State Governments which incidentally presents a very broad and comprehensive analysis of the factors responsible for this problem provides us with some truly alarming statistics. In 1950 8.1 per cent of the total population of the nation consisted of persons 65 and over but at the same time they comprised about 25 per cent of patients in mental hospitals. From 1904 to 1950 the number of persons 65 and older in the population increased about four times but the number of first admissions of patients 65 and over to mental hospitals soared approximately nine times. It is true, of course, that some of this increase is due to the fact that with better medical treatment a number of chronic patients who otherwise

would have died earlier have continued to live and have added to the older population in hospitals. It is also true that with the great increase of people of 65 and over in the general population, we would naturally expect a proportionate rise in the number of people developing psychoses characteristic of old age. Neither one of these, however, explains the marked increase in admissions of people over 65, which is entirely out of proportion with the increase of people of that age in the population in general. At present, the indications are that this trend will continue unless we can gain more insight into the causes of these diseases and develop more successful methods of treatment and prevention.

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we have not any degree of certainty about an increase in incidence of diseases in this age bracket we refer primarily to the psychoses and more specifically to patients who have actually been

admitted to hospitals for mental diseases. We do not at present possess any valid figures concerning the incidence of any of the other psychopathologic conditions that may develop in this age group as compared with either the incidence of psychoses in this group or with the incidence of such personality problems in the population at large. Indications are that they probably have been subject to a similar increase in incidence as the psychoses.

From a clinical point of view, the senile, arteriosclerotic and presenile psychoses show fairly characteristic changes consisting primarily of defects in memory and some of the other intellectual functions, emotional lability, irritability, suspiciousness, and in a fairly large number, the development of projections. We also know a good deal about the pathologic tissue changes that are found in each one of these diseases. These I take it will be reported in detail by other participants in this program. Since such pathologic tissue changes are found in the brains of persons who also manifest mental pathology, it would be tempting to assume that the personality disturbances are entirely due to the tissue pathology. It must be emphasized, however, that a number of studies have definitely shown that this is not the case. The fact is that such tissue changes are not at all restricted to old people who are mentally sick. A number of so-called normal old people who have certainly shown no psychotic manifestations have been found on autopsy to show similar changes qualitatively and in many instances even quantitatively. Actually, one may find the paradoxical phenomenon of marked histologic changes in people showing no psychoses and moderate to mild histologic changes in people with severe psychoses. This would lead us to conclude that there is no one-to-one relationship between tissue changes and clinical manifestations, although some relationship can be assumed in view of the fact that deficits in certain psychologic functions as compared with what we expect in a normal young adult do occur in normal old people. Such deficits have been reported in the functions of memory, reaction time, learning ability, flexibility, etc.

Two questions arise out of this consideration. In the first place, if psychotic and other serious personality disturbances occurring in some old people and not in others cannot be entirely explained on the basis of the histologic changes, then we must search for what other factors may be of importance in producing these maladjustments. Secondly, even if we assume that some of these personality changes are results of the histologic pathology, then the question would come up, what causes these histologic changes? Is it primarily due to inevitable physiologic involution, or are there some other physical factors that produce such changes—particularly since they differ in intensity in individual cases belonging to the same age group? The investigations in the last area could very well utilize the infrequently occurring instances of presenile psychoses which, by definition,

develop in people younger than the usual old age group and their progress is much more rapid and intensive. There are definite indications that disturbances in metabolism in the endocrine system and in enzyme function may play an important role in the development of such changes and if that is the case then obviously the question would come up as to whether some methods of preventing or treating such disturbances could be discovered.

We are still faced with the question however as to what other factors may be involved in the development of psychopathologic phenomena in old people in general and in those suffering from psychoses in particular for as was stated above the histologic changes in themselves cannot be regarded as being the sole etiologic factors. A series of studies of the involutional psychoses which in many respects are very closely related to the psychoses of old age affords useful leads in this direction. These psychoses originally were assumed to have developed on the basis of biochemical and endocrine disturbances which of course are always found in people at the involutional period particularly in women. Here too however further study has led to the conclusion that such is not the case. A number of observations point in that direction. In the first place the involutional psychoses in women have been found to occur not only at the time of the menopause but sometimes preceding it and even more frequently years after the menopause was established. In such cases it was found that during the process of the menopause itself no psychotic phenomena were observed. Secondly it is common experience now that the attempt to treat these psychoses with replacement therapy by a variety of endocrine substances has produced little or no effect on the psychosis itself although it has influenced some of the vasomotor and other physiologic disturbances incident to the menopause. At the same time the personality disturbances have responded very favorably both to shock therapy and various forms of psychotherapy.

A number of recent studies of personality organization and life experiences in both involutional psychoses and the psychiatric disturbances in old age but particularly in the former have provided us with good leads in our search for an answer to the above questions. In the first place we find in such people a massing of certain personality traits such as over conscientiousness rigidity sensitivity etc. which render transitions from one stage of life into another but particularly adjustment to the later years of life more difficult. Secondly and perhaps because of this type of personality organization these persons tend to restrict their life interests to narrow sectors and invest most of their emotional energy in a few settled ones.

later years. Finally, there was the impact of a series of catastrophic stress situations which they had to face at that period. These were represented by such occurrences as the sudden loss of love objects (husband, wife, children, the home, etc.), operations on or injuries to organs generally related to reproduction (pelvic or breast operations, prostatectomies, etc.) and settings in which the patient was faced with curtailment or loss of social or economic security.

The main etiologic factors, therefore, in the development of psychoses and other problems of adjustment in old people consist of the following:

First, physical changes in the organism as a whole, but particularly in the nervous system, the endocrine glands and metabolism. Secondly, the particular personality make-up of the individual, which renders him vulnerable to certain types of life problems and may be largely constitutionally determined. Thirdly, special social and psychologic stress situations which are particularly likely to develop at this time of life. The first of these, namely, the physical changes, undoubtedly play a role in reducing the ability of the individual to deal successfully with life problems that require flexibility of adjustment. The causes of these changes may be a combination of a) wear and tear that is bound to occur through time, b) damage due to infectious and toxic agents, c) disturbances in the body chemistry. A great deal more research is necessary to establish the actual importance of these factors in relation to the development of physical changes of age.

The second etiologic factor—namely, the specific personality structure—is undoubtedly of great importance and must be taken into consideration in any attempt to formulate plans for the prevention of psychopathologic disturbances at that age. Inasmuch as the personality structure of an individual may be regarded as the resultant of the interaction between inherited traits and early environmental factors which mold these traits, it follows that another area of research is represented by systematic genetic studies and studies of early development and the factors that influence it. Such studies of both the physical factors and personality characteristics will greatly broaden our knowledge and could serve as a foundation for future programs of prevention.

It is obvious, however, that at the present time and in our own generation we must accept the existence of these two factors as predisposing agents and that for an immediate program we must turn our attention to the third group of causes, namely, those social and psychologic stress situations which play such an important role in the development of these problems. We have already referred to some of the situations that must be considered in this area. Perhaps the most important and surely the most prevalent of these is the threatened loss of social and economic security, and here we find one of the major factors that may be responsible for the recent in-

crease in the incidence of adjustment difficulties at this age period. It is here, too, that we are presented with the most promising potentialities for dealing with the problem on a practical level. The nature of this aspect of the problem and the social mechanisms underlying it have been mentioned earlier in this presentation. Furthermore, an adequate analysis of it, and suggestions as to the manner in which society can and should deal with these problems have been presented in a number of recent publications, one of the most comprehensive of which is the report of the Council of State Governments. We have to consider here the effects of compulsory retirement resulting in abrupt exclusion of the older citizen from active participation in and independent contribution to community functions, the feelings of isolation, loneliness and uselessness that are engendered by inactivity and separation from a lifelong investment of emotional interests, the feelings of insecurity resulting from dependence upon support from the outside. Research on this phase of the problem and the attempt to develop a positive program of dealing with it, must reach out beyond the field of medicine and secure the cooperation of the whole community. Society must be made aware not only of the seriousness of these problems but also of the role it continues to play in creating them. Finally, research in this area which so far has concentrated almost exclusively on the negative aspects of aging, must identify the positive aspects of aging, and the benefit of the aged, not for society as a whole.

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DISCUSSION

DR. D. EWE CAMERON

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I refer to his penetrating criticism of our assessment of the capacities of the older individual on the basis of the abilities of the younger man. Very rightly, he claims that we prejudge the older man when we attempt to measure his abilities only on the basis of capacities possessed by the younger man. We entirely neglect

that effort are human characteristics estimable enough when there were horses to ride and cattle to round up, but less so in an age when the

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at the decline of his powers when he is about 60 or 70 and that all else, all subsequent years are an unhappy decline.

This, of course, is a finding which is in extraordinary contrast with the actualities of life where, by common consent, we hand our destinies to the surgeon and the statesman

the engine driver and the judge, the company director and the military leader of mature years years which we feel have added incomparably to the capacity of these people to be wise, far seeing to be most capable of that most central of all human concerns namely the solving of problems the extension of our mastery over ourselves and our world

May I now pass to Dr Malamud's three main categories of etiologic agents These are the agents which he has proposed is contributing as I would gather it both to normal old age and to pathologic aging

He refers to the physical changes in the organism as a whole involving particularly the nervous system the endocrine glands and metabolism

His second group is the particular basic personality make up of the individual which he sees as being largely constitutionally determined

I should like to direct my attention principally to his third category—namely the social and psychologic stress situations which are particularly likely to develop in the latter part of life

We are all governed greatly by implicitly held rarely formulated premises premises which are nonetheless (and perhaps for the very reason that they are implicit) extremely powerful in affecting our thinking

One of the premises with which we approach the individual is that the human organism is possessed of certain functions responsive to the demands of the environment and serving to adjust us to the environment and the environment to us We see those functions as breaking down primarily in response to internally arising factors or in response to overload from the environment

Our picture of the organism then is of a relatively fixed entity adjusting within given ranges to the demands made upon it as it passes from one environment to another

I would however like to suggest to you that we might get further if we saw ourselves not only as being sustained in our functional configuration by the forces which beat up on us but also as being greatly influenced by the absence of those forces In other words if our functional configuration may be distorted by an increase in the stresses to which we are exposed that configuration can be broken up no less certainly by a removal of those selfsame forces to which we have become adapted To illustrate this one might say that a fish well adjusted to living at the 2 mile level in the ocean will be destroyed if forced down into one of the great sea abysses at the 5 mile depth where the crushing weight of the water will break up its tissues It will be destroyed no less certainly if it is brought to the surface and exposed to the low pressures which are equally destructive to its functioning and its structure

This same idea I think is helpful in looking at the individual Dr Malamud has talked about the psychosociologic isolation consequent upon the rural urban shift of our times

I would like to form a bridge between this idea and those ideas put forward by Professor D O Hebb concerning the effects of sensory isolation As many of you must know he has isolated the individual from sound and light and as far as possible from touch and has found that when those sensory pressures are removed the individual begins to show increasing disturbances in his thinking distortions of his body image and very considerable anxiety within a matter of a few hours Very few people can withstand extensive sensory deprivation for more than at the most three to five days

Some 15 years ago examining senile nocturnal delirium I discovered that these patients had recent memories so defective that their span of attention was limited to a min

but interestingly enough they would report five minutes

beds where there was one and a multiplicity of dressing tables and windows where only one of each existed

In other words these old people who at least during the daylight hours could maintain a reasonable spatial image provided they could look around them and thereby refresh their rapidly fading retention showed a complete break up of their spatial image when one interfered with their vision

This illustrates the extent to which our functional integrity is maintained by continual pressure of incoming stimuli from the environment

Another example of the same thing is to be found in those patients who are seriously disturbed because their powers are failing—perhaps because they cannot write so clearly, or particularly because they cannot remember so accurately. These are people who need the constant reassurance of accomplishment. They get along well in life when every day brings them that assurance. But once their capacities go down beyond a certain critical level anxiety appears

We have many built in protective mechanisms the nature of which we are only now beginning to understand. And I am inclined to believe that this difficulty in relating tissue to breakdown to which Dr Malamud refers may actually be explained in part by interference with these mechanisms

I refer for instance to a screening mechanism which we have recently discovered as lying at the point of fusion between the air and tissue conduction of sound. This mechanism protects us against the recognition of disturbing unconscious significances in what we communicate. When you break down this mechanism as you do when you play back a recording the patient will suddenly begin to hear things in his communication which he had not hitherto recognized and may become quite disturbed

It can be well understood that under certain circumstances air conduction may be diminished in the aged individual and possibly again disturbance created

There undoubtedly exists still another screening apparatus which lies somewhere in the visual mechanism and which under most circumstances prevents us from seeing ourselves save in terms of a reasonably acceptable image. If we evade this screen by looking at ourselves in a three way mirror at the tailor's we are familiar with the somewhat unpleasant revelation with which we are presented

Finally I would point to still another mechanism which is long—
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and that this compensation breaks down as soon as their responses become intensified *i.e.* when they develop a chronic anxiety state hostilities or a tensional state. Under these circumstances quite serious disorganizations of behavior set in which fortunately however will again disappear once their intensification of response can be reduced

Is disorganization a more important step in breakdown in the aged than in the younger person? Yes because with increasing years there appear a growing number of latent defects which are covered up by substitutions. For in the

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the engine driver and the judge, the company director and the military leader, of mature years—years which we feel have added incomparably to the capacity of these people to be wise—far seeing to be most capable of that most central of all human concerns—namely the solving of problems—the extension of our mastery over ourselves and our world.

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Some 15 years ago—examining senile nocturnal delirium—I discovered that these patients had recent memories so defective that their span of attention was limited to a minute or two at the most. If during the daylight hours—one unfolded them and put them sitting in a chair in a room—within an hour they had become not only quite disoriented—but—interestingly enough—they would report five chairs where there was actually one—six

DR. KARL M. BOWMAN [San Francisco, Cal.] The first point I should like to raise is with regard to state hospital admissions for the aging. I think that those figures may be in many cases, highly inaccurate, because in some states there is encouragement to admit old persons into state hospitals, whereas other states—for example, my own state of California—have recently passed laws against admitting the so called "harmless simple case of senility," and thereby cut down the admission rate, and so figures with regard to this must be viewed with the greatest of caution.

I was glad to see the viewpoint taken of the multiple causation here of the disorders of old age with no attempt to base it on purely one single cause. I agree that it is primarily a concatenation of causes, and many of them stem back to earlier years of the individual's life.

There is one point I would like to raise, and I am not quite sure whether this is the place for it, but as far as I can see, it is that is the social attitude of society towards the age at which an individual should be excluded from activities, and the variations which we find, which are so horribly contradictory. In the armed forces you may retire very early and get a good job somewhere else in the universities, which supposedly represent a group of individuals with very highly developed intelligence, we see individuals commonly being retired at around 65 in some cases in spite of their protests. Then, we see, as regards our government positions in the elective offices in the Congress and in other places there are no restrictions on age, the same is true of our judges. I would raise a question about something being done in this regard.

DR. WILLIAM MUMFORD I wish to thank the discussants for the very kind manner in which they treated me. Their questions, as a matter of fact, were actually statements, and I agree with most of them, in fact all of them.

I might add a few points to what has been said already. Dr. Crampton says:—

One of the points he made, however, could I think, be dealt with somewhat differently. I refer to the doubts he expressed (if I understood him correctly) in regard to importance of structural changes as contributing to personality disturbances.

Neuropathologic changes occurring both in normal old people and those who develop psychoses undoubtedly play a part in the development of deficits in personality functions. These would include particularly the senile plaques, the fibrillary cell changes and the vascular lesions.

What I tried to say in my presentation was that in the process of growth, whether it be phylogenetic evolution or ontogenetic maturation—

or—

—as particularly well presented in the opening pages of Plato's *Republic*, in which Cephalus in his dialogue with Socrates, told of his experience with Sophocles. When Sophocles was asked whether he was not—

in turn leads to the disorganization of a widening range of all those functions and skills in which latent defects exist. Like a forest of pine trees in a high wind, the fall of any one starts the fall of the next.

In conclusion, I should like once again to leave with Dr. Malamud three general questions on which I should like to have his views. The first one is: to what extent does he find it useful to see functional configuration as a result of forces? Second, to what extent does he see the withdrawal of psychosociologic forces as being disruptive? And thirdly, to what extent does he see impairment of some of the built-in screens I have mentioned as being disturbing to the individual?

Dr. JOHN WHITEHORN [Baltimore, Md.]: Dr. Malamud and Dr. Cameron both have emphasized the term "stress" and the stresses characteristic of older life periods. For clinical working purposes, it has seemed to me very useful at times to try to find ways in which older people can keep up activities or engage in new activities which would put stresses on them. In other words, a stressful life with a feeling that something depends upon how one is functioning seems a very great asset in the health program.

I make this comment in part to avoid too great a use of the term "stress" in talking about the disadvantageous features of elderly life, and to ask if Dr. Malamud would not also agree, as it seemed at times in his presentation, that stress can be a very good thing if it is stress towards some personal significance in life, which I think is one of the great lacks in our older population.

Dr. JACK WEINBERG [Chicago, Ill.]: I would like to point out this fact, that while we interpret deficits as anxiety-producing matters, may it not also be possible, as I believe it to be, that deficits are produced as a way of handling anxieties? The deficits that we may encounter in individuals in later life may actually be a manner in which this aging organism is attempting to master anxiety.

If we look upon the ego as the adaptive mechanism, that which is to perceive stimuli both internal and external, integrate them, effect action, and function as a homeostatic control, may it not be possible, and I have the feeling that it is, on the part of this ego, to exclude stimuli at a time when the aging organism is unable to handle the enormous influx of these stimuli? This is certainly true of many sensory stimuli such as the auditory and visual ones. It is only within this context that one could explain why the aging organism does not see too well, but sees that which it should not see, or it does not hear but hears that which it should not hear or is not supposed to hear.

Thus, one may presuppose that this aging organism is capable of seeing or hearing more than it does. Is it not possible, therefore, that this is some sort of a defense that the organism sets up, a defense expressed in terms of a deficit, but which is really meant to handle the anxieties arising out of the inability to handle the enormous influx of stimuli?

Dr. MARIE ROSS [New York, N. Y.]: There is an additional point in Dr. Whitehorn's question. We have fairly well accepted the idea that extreme stress can bring about physiologic change. We have, however, largely evaluated that stress in relation to a meeting which we all would appreciate as being a very stressful situation, such as combat.

I wonder if we have very much information as to stress as perceived by the older person? This may sound a little vague, but the point that I am trying to make is that many older people with minor disabilities have a sense of being criticized and unaccepted by their social or family group. Others with greater disabilities have a sense within themselves of a noncritical acceptance on the part of the people whom they value in their environment. Do we know what relationship this type of stress has to the development of psychopathologic responses and malfunctioning?

CHAPTER VIII

DRUG EFFECTS AS MODIFIED BY AGING

LOUIS LASAGNA

It is not surprising that clinicians and pharmacologists have long been interested in possible relationships between age and drug effects. The passing of time is obvious—the change from infancy to old age is dramatic. It seems almost ridiculous to suggest that aging should not affect drug responses. Yet obvious facts (such as the earth is flat) have a nasty habit of turning into anachronistic nonsense so that it behooves us to examine critically the evidence on this relationship.

Before proceeding to some of the available data, however, it might be worthwhile to spend a few moments discussing the problem from an *a priori* standpoint. As I have already said, few people need to be convinced that the biologic unit which is the child differs from the biologic unit which is the adult.

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continual exposure to experiences of many types—physiologic, pathologic, and psychologic. One would not be astonished to detect different "average" responses to drugs at different age levels. It is not, however, apparent why we need necessarily expect differences and, as it were, demand that the data fit preconceived notions. Nor is it obvious why—when differences do occur—the differences need be in a given direction, no matter how reasonable the direction may seem. For example, if one postulates that

... required transformation to an intermediary compound before any effects were produced might be less potent in such a person. There is also no compelling reason to rule out in advance the possibility that the nature of the disease process for which the drug is to be administered, or some other factor, will be the prepotent independent variable and will override any possible differences, however real, introduced by aging.

the altar of Aphrodite but with regard to emotional factors in general, that the life of the old person is less subject to such emotional storms and leaves him free to utilize other mechanisms and other possibilities in adjustment which he did not have before.

This brings me to the question which Dr. Whitehorn raised, as to whether stress may not actually be useful. Stress can be very useful, if it is fair and takes into consideration the ability of the person to react to a particular type of stress. If you place the stress of playing a game of tennis on a person of 65 or 70, he just has not got what it takes, or what he could mobilize at the age of 20 or 30, to play it. If you placed that kind of a stress on him, obviously, it would not be fair nor wise in most cases.

On the other hand, the type of stress situation which requires experience, knowledge and wisdom is much more likely to be dealt with adequately by the older person. As a matter of fact, when I stated that forced retirement and the consequent lack of opportunity to participate in social activities is deleterious, I meant that it may actually be responsible for an increase of the deficits. If this is the type of stress Dr. Whitehorn referred to, I agree with him.

DR. WHITEHORN: I'll leave out the tennis.

DR. MALAMUD: All right. I was very much interested in Dr. Weinberg's comment. I take it that what he means is whether or not the older individual may use the deficits he has and some he may develop as a defense mechanism. I think so very definitely. Again, society very frequently forces the old person to develop such deficits in order to ease the burden of adjusting himself to the type of useless life to which he has been condemned.

Finally, as far as Dr. Bowman's comments go, in the first place, all my figures came from the report of the Council of State Governors, and they have taken into consideration all the States, and came to these figures on the basis of a composite picture. I feel justified in trusting them and their figures. Maybe California differs a little, but then there may be other States in the country that go to the other extreme, and this 2.5 per cent of admissions was given as an over-all figure.

Certainly, I agree with him that the social attitudes or the attitudes society takes today towards this whole problem are very definitely responsible for a great many of the problems that we have.

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Therefore age should modify the response to drugs: the hypothesis could be correct without defining age.

But required transformation to an intermediary compound before any effects were produced might be less potent in such a person. There is also no compelling reason to rule out in advance the possibility that the *nature of the disease process* for which the drug is to be administered, or some other factor, will be the prepotent independent variable and will override any possible differences, however real, introduced by aging.

Let us now turn to impressions obtained by scanning the medical texts. The simplest generalization is that it is not simple.

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principle one textbook will describe infants and children as more tolerant to sulfanilamide than adults (14) while another will differentiate infants children and younger adults from the aged (15) One can pair side by side statements that surgery is associated with a greater risk in the aged (16) with the statement that surgery is not as great a risk as it is commonly believed to be in the aged (17) It is sometimes possible to come up with apparently contradictory statements in the same article One author (18) making a case for an increased susceptibility to adverse cerebral effects after anesthesia in the aged undermines much of his argument by presenting data which lead to the conclusion (expressed by the author) that such episodes as are described must be extremely uncommon The supposed increased sensitivity of elderly patients to hypnotics and opiates will be countered by a claim that in the case of the belladonna group of drugs such patients are less likely to have adverse reactions than other adults and especially less likely than children (19) One could go on listing other similar statements but since the common denominator in most of the reports is a lack of data to buttress the conclusions of the authors we shall not suffer greatly from their omission

Instead let us examine some actual endeavors to correlate age with drug responses in human beings Unna (1) attempted to quantify the mean effective dose of atropine required to depress salivation in children of various age groups The approach was to find out how much drug was required to produce the same effect in different subjects The data are perhaps best represented by figure VIII 1 It is obvious that there is a great increase (six fold) in absolute dose with age This increase becomes less impressive

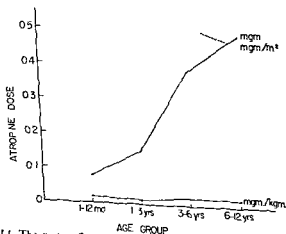


Fig VIII 1 The mean effective dose of atropine required to depress salivation of children of various age groups (After Unna (1))

ines experimental data on animals it is obvious that there is no comfort in store for those individuals who prefer color schemes of black and white rather than gray. For example, Unna (1) quotes four studies on the effects of atropine on animals: one investigator found that puppies were more resistant to atropine than adult dogs; another worker came up with opposite results in rabbits; the conclusions of a third worker, who used rats, tended to agree with those of the second individual; nevertheless a fourth investigator, also studying rats, could discern no age effects. Chen (2) found alcohol and digitals to be more toxic to mice and rabbits, respectively, as their age increased, but in studying morphine in rats he found greatest sensitivity at both ends of the age curve, with the greatest resistance being shown by rats of intermediate age. Harg and Corbell (3) in contrast to the experience of Chen, found digitals just as toxic to kittens as it was to adult cats. Strychine is said to be more poisonous for young rats than for old (4) and arsenic deadlier for young silkworms than for older worms (5). Whereas rabbits show a ten fold increase in susceptibility to carbon monoxide with increasing age, and rats a fifteen fold increase, guinea pigs show no change whatever as they get older (6). Pentobarbital has been claimed to be less toxic in the adult rat than in the newborn rat (7) and hexobarbital supposedly less toxic to old pigs (8). The authors of the latter paper point out that of six papers which they reviewed on various barbiturates in various species, only one agreed with their conclusions, the others claiming greater resistance for younger animals. A seventh paper found adult male animals more resistant than young male animals, with reverse findings in females. In the endocrinologic literature, one finds that thyroxine is more toxic to old rats (9) whereas parathyroid extract produces greater bone changes in young guinea pigs (10).

In regard to reports on human beings, the situation is even less satisfactory since it is harder to find. Much of the clinical literature on this point is in reality in the form of *ex cathedra* opinions rather than evidence. I suppose that one of the most frequent injunctions occurring in therapeutics is the one advising careful administration of drugs to people at the extreme ends of the age spectrum. The implication seems to be that one need not be careful in prescribing for anyone who is not very old or very young. This attitude has a certain amount of common sense applied to it: by analogy one might infer that since infants and the infirm are less able to fend for themselves in general in this world, they will similarly be less resistant to the onslaughts of the overenthusiastic therapist. As the literature is examined, however, it is again hard to come up with simple advice for the physician. One finds, for example, certain authors failing to report a difference in age sensitivity to sulfadiazine toxicity (11-13) while other workers claim that one exists (11-15). Even where people agree in

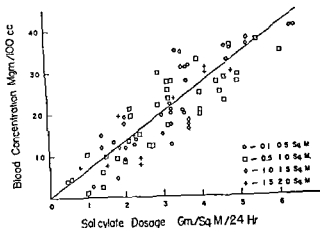


Fig VIII.3 Relation between blood concentration and salicylate dosage in patients of varying size. The relation of the surface area symbols to the age of the patients is the same as that described for fig VIII.2 (After Crawford Terry and Rourke (20))

examining the data however, it was found that a straight line could be obtained relating effective dose to what was essentially the square root of body weight. All differences in susceptibility previously attributed to age disappeared, although there remained a significant difference in sex response (For those of us who consider sex a more interesting and important variable than age these results seem particularly happy)

At this point it might be advisable to re-examine our problem. What is the question we are asking ourselves? Are we interested in the existence of a fundamental change in reactivity to the effects of a drug as a person gets older? If this is so the problem becomes enormously complex. It is relatively simple to examine the performance of patients of different age groups in response to a given quantity of drug. If one does this it is ridiculously easy to show that the same absolute quantity of drug given to a baby produces results quite different from those seen in an adult given the same

weight or body area. Differences due to aging may become minimized or

... differential susceptibility to the effects of the drug. Obtaining this sort of information is really rather difficult, although one can

(less than two fold) if one expresses dosage on the basis of body surface and essentially disappears if one uses body weight as basis. Unna (1) also observes that variations in individual susceptibility to atropine are large in all age groups.

Crawford, Terry and Rourke (20) also accumulated data bearing on the point in question. In patients ranging from infancy to adulthood they found that in the case of both sulfadiazine and aspirin, blood concentrations were directly related to dosage of drug if the drug was given on the basis of grams per square meter of body surface. In these experiments (figures VIII 2 and VIII 3) age seems to have been of no importance whatsoever in determining the response. It is true that blood concentrations of drug are not necessarily related to therapeutic effect or toxicity, but these data at least give no support to the notion that such things as absorption, distribution, etc. of drug are radically different in the age groups represented.

Another study of considerable interest is that by Bliss, Greiner and Gold (21). In an earlier paper it had been stated that infants and young children were found to be considerably less susceptible to the effects of digitalis than adults. This finding was in keeping with the clinical impressions of some clinicians but at variance with the experience of certain others. On re-

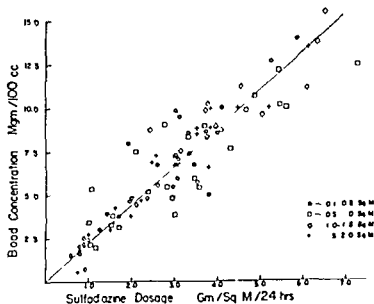


FIG. VIII 2. Relation between blood concentration and sulfadiazine dosage in patients of varying size. The symbols for surface area range in this figure comprise the following age groups: ○ (0.1-0.5 sq m) infants; □ (0.5-1.0 sq m) young children; (1.0-1.5 sq m) elder children and adolescents; + (1.5-2.0 sq m) adults. (After Crawford, Terry and Rourke (20).)

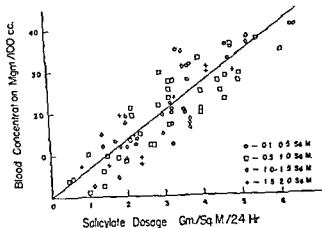


Fig VIII.3 Relation between blood concentration and salicylate dosage in patients of varying size. The relation of the surface area symbols to the age of the patients is the same as that described for fig VIII.2 (After Crawford Terry and Rourke (20))

examining the data, however, it was found that a straight line could be obtained relating effective dose to what was essentially the square root of body weight. All differences in susceptibility previously attributed to age disappeared, although there remained a significant difference in sex response (For those of us who consider sex a more interesting and important variable than age these results seem particularly happy.)

At this point it might be advisable to re-examine our problem. What is the question we are asking ourselves? Are we interested in the existence of a fundamental change in reactivity to the effects of a drug as a person gets older? If this is so the problem becomes enormously complex. It is relatively simple to examine the performance of patients of different age groups in response to a given quantity of drug. If one does this it is ridiculously easy to show that the same absolute quantity of drug given to a baby produces results quite different from those seen in an adult given the same quantity of drug. It should also be quite easy to show, in certain instances that people aged 20 differ in their average response from people aged 60.

If one shifts, however, to administration of drug on the basis of body weight or body area, differences due to aging may become minimized or drop out completely. I suppose a basic point of comparison should be effective receptor site concentration for the drug in question. If a person aged 60 requires twice as much (or half as much) drug concentration at the receptor site to get a given effect as a person aged 20, then a factor of 2 is involved.

(less than two fold) if one expresses dosage on the basis of body surface and essentially disappears if one uses body weight as a basis. Unna (1) also observes that variations in individual susceptibility to atropine are large in all age groups.

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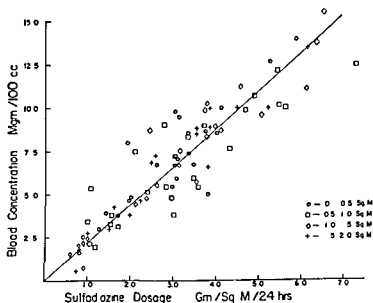


Fig 1 III 2 Relation between blood concentration and sulfadiazine dosage in patients of varying size. The symbols for surface area range in this figure to comprise the following age groups: \circ (0.1-0.5 sq m) infants; \square (0.5-1.0 sq m) young children; \diamond (1.0-1.5 sq m) older children and adolescents; $+$ (1.5-2.0 sq m) adults. (After Crawford, Terry and Rourke (20))

response to drugs increased with age. It is possible that the mean effective dose for a drug might not change particularly, but that the shape of the dose response curve might be relatively steep or relatively flat according to age group. Presence of a relatively flat curve would increase the difficulty of defining an optimal dose for an age group, since the extremes (those who do not react easily or who overreact) would cover a greater spread of dosage. Such a phenomenon might explain the development of a fallacious clinical impression that *all* aged patients showed increased (or decreased) susceptibility to the effects of a drug.

It might be worthwhile to repeat at this point that age might prove to be, in many situations, a very minor determinant of drug action. There is evidence for example that seasonal variations of the response of rats to a barbiturate may be greater than differences between rats of varying ages at a given time of year (23). The toxic cardiovascular effects of a drug in humans are probably more easily related to the state of the heart and blood vessels than to chronologic age. In some situations, as in the case of psychosis, it appears more important how long a patient has been ill rather than how old he is in predicting response to a drug like chlorpromazine or reserpine (24).

In closing I should like to plead that attempts to correlate age with response to drugs be considered only as an initial step in enlarging our under-

standing. At this point the question should then immediately be asked, What does this correlation mean? Such correlations might be of considerable importance in uncovering information about the distribution of a drug, its metabolism, excretion or mode of action.

A few words on this point of correlation investigation. It is possible, as you know, to demonstrate an inverse relationship between the number of letters in the name of a month and the ease of purchasing oysters in restaurants. Such a correlation implies nothing of cause and effect, but is of certain pragmatic usefulness in buying oysters. To someone usually concerned with the problems of oyster supply, such information would be only a starting point in uncovering more important correlations. Dr. Withering's discontent with the knowledge that a concoction of 20 or more herbs was associated with relief of the symptoms of dropsy is a classic example of the importance of asking "What does this correlation mean?" Medicine can provide many such examples and it is perhaps paradoxically due to the fact that correlations in medicine frequently turn out to be meaningful and not spurious that we are often tempted to accept a correlation as a basis for action and not probe it further. For a final reminder that such a habit can lead one into untenable positions, consider

make a good approximation by studying plasma (or plasma water) concentrations of some drugs

To illustrate the difficulties one can get into by neglecting problems of distribution and metabolism let us consider the case of a drug which is localized to a tremendous degree in body fat although the organ of interest may actually be the heart or the brain. On the average as people get older the percentage of body weight contributed by body fat increases. Therefore the same individual weighing 150 pounds at the age of 20 and at the age of 60 and given equal amounts of the same drug at these two ages would be receiving equal doses on the basis of body weight or body area. Yet the plasma concentrations of the drug achieved in the two instances would be quite different. A spurious differential reactivity might thus be established. Under these same circumstances it might also be possible to miss a real correlation with age. If the person at age 60 responded identically (functionally) as the person at age 20 the knowledge that the oral dose had been identical at both times would suggest that the reactivity of the individual had not altered with age. Yet the difference in blood (and brain) concentrations produced by changes in body composition with aging should have produced a lesser effect in the 60 year old. The fact that a lesser effect was not achieved would indicate that the reactivity of his central nervous system had actually *increased* over the years. If the above examples based on changes in distribution of drugs seem too complex one could describe similar difficulties in interpretation of drug responses caused by differences in drug absorption at 20 and 60 years of age.

What is obviously required is a good deal more work and a good deal less talk (in and out of print). On the basis of the data presented thus far it seems reasonable to expect that at least some of the commonly accepted notions about the effects of age on drug responses are incorrect. For example in studies by Kertz and Beecher (22) on patients with postoperative pain and in our own studies on patients with sleeping problems the incidence of untoward reactions to barbiturates in the aged has certainly been unimpressive. In the latter experiments dealing with several hundred patients of all ages the only reaction to barbiturates at all reminiscent of the type so frequently described is typical of the aged was seen after the administration of secobarbital to a 17 year old boy. If systematic attempts are made it should be possible to amass data which would at least allow the empiric formulation of clinically useful dicta in specific situations. Even studies based on administration of a set absolute dose to patients of different ages would provide the practicing physician with rules-of-thumb which should make for more effective therapeutics.

The basic problem remains however of importance both theoretically and practically. It would be of interest for example if the variability in re

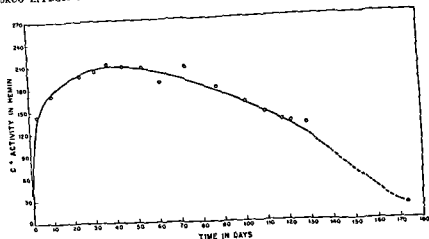


Fig 11114 Concentration of C^{14} labeled glycine in the hemoglobin isolated from the erythrocyte of sheep through the course of several months

With your permission, I should like to report an experiment on the question of the effect of the age of the erythrocyte on its susceptibility to hemolysis by various agents in this particular case immune hemolysis. This study was carried out in association with Miss Grace Vanderhoff and Dr Otto Plescia.

In this study the average life span of the sheep erythrocyte was determined by the administration of C^{14} labeled glycine to a sheep and in the first slide (figure VII 4) we have plotted the isotope concentrations in the hemoglobin isolated from the erythrocyte through the course of several months. The upward slope of the curve represents the introduction into the circulation of cells containing the labeled hemoglobin, their survival and their eventual disappearance from the circulation.

We can calculate from this curve the average life span of the red cell which is of the order of approximately 120 days and in this respect the sheep is like man or the dog. This curve not only tells us the average life span of the sheep erythrocyte, but it also tells us that the erythrocyte is not destroyed in a random fashion but is destroyed as a function of age.

In order to determine the question of the effect of the age of the erythrocyte on its susceptibility to immune hemolysis at various times during this experiment aliquots of erythrocytes were removed and were subjected to controlled hemolytic conditions so that 25 per cent of the cells would be destroyed.

The cells were incubated with rabbit antsheep erythrocyte serum and with guinea pig complement and in figure VIII 5 we have plotted the susceptibility of cells at various periods in their age to such destruction. If the destruction were a completely random phenomenon and the age of the cell did not have any effect on its susceptibility to immune lysis the points would actually fall all on the zero horizontal line. In effect however one can observe that after an initial period of what appears to be diminished resistance to immune lysis the young adults are then considerably more resistant to such destruction. But then as the cells begin to approach the end of their life span at the end of some three to four months, there is a marked increase in their susceptibility to immune hemolysis.

I think that this study does demonstrate that when a single cell—and we are not

this statement. It has been conclusively demonstrated by hundreds of experiments that the beating of tom toms will restore the sun after an eclipse (25)

SUMMARY

Although chronologic age has been shown to be correlated with response to drugs in certain circumstances, such correlation is not inevitable nor is its nature predictable. At times increasing age seems to predispose to hyperreactivity, at times to hyporeactivity, and at times seems not to affect the magnitude of response in any significant way. The problems involved in establishing and assessing such correlations are discussed. It is suggested that the systematic collection of data bearing on this point may provide leads for important contributions to our understanding of the fate and action of drugs.

DISCUSSION

DR IRVING LOMON (New York, N. Y.): I am grateful for the privilege of participating in this meeting and for the opportunity of discussing Dr. Irsagna's very thoughtful paper.

Dr. Irsagna has properly indicated the difficulties of drawing correlations between age and response to a drug and has pointed up the importance of considering the relations of the dosage of a drug to body weight and to physiological distribution and metabolism of the drug. Concentration of the drug at the receptor site may provide a basis of comparison in evaluating responses in different age groups and yet even where the concentration of the drug at the receptor site is known the evaluation of the effect of age on the response to a drug might be difficult. For example the effects of atropine which might be present in identical concentrations in the smooth muscle effector sites in individuals of different ages might be different because of differences in vagal and sympathetic tone and such differences in tone may or may not be correlated with age.

The problem is therefore certainly a very complex one and facile generalizations may readily prove erroneous.

In therapeutic ranges of drugs the differences may be very slight and even if significant may be difficult to define in the context of numerous other reactions which might influence a particular response. In order to pursue the question of the effect of age on the response to a drug it may be useful to consider the response to lethal and sublethal doses. We are repeatedly being reminded in our daily experience that the aging process does have an effect on the response to maximally stressful stimuli. For example Sugar Ray Robinson who is to compete tonight in the boxing ring is only five years older now than he was at what was considered the height of his fighting career. During these five years the aging process has probably taken some toll. The testing of any individual response to a drug or to some other single external stimulus may not elicit a response which is demonstrably different from that which would have occurred five years ago and yet the overall response to the maximal stress of a boxing match may succeed in eliciting a series of reactions the total effect of which would represent a definite change from that which was obtained some years back.

Experimental evidence for the effects of the aging process on responses to maximally stressful agents may be provided in the microcosm which the red blood cell represents

DR. IRVING LONDON [New York N Y] May I extend my remarks for just a moment, Dr Moore? I regret that there seems to be some misunderstanding and I should like to clarify one point if I may

When Dr Weiss says that the erythrocyte is a dead cell he is correct in the sense that the mature erythrocyte cannot reproduce itself. Within recent years however with the focus of interest on the metabolism of the erythrocyte one cannot help but be impressed with the extraordinary metabolic activity which this cell undergoes during the course of four months after its release into the peripheral blood

The erythrocyte offers an excellent opportunity for studying the cell during various aspects of its life and can serve as a kind of model system which may permit the study of the effects of drugs and of other agents in terms of the age of a specific cell

Even while observing the caution of not attempting to extrapolate from this particular cell to the whole organism one may uncover data of fundamental biological importance which may be relevant to the whole organism

DR. LOUIS LASIGNA There is nothing more delightful for a speaker than to give a paper and have the discussers fight among themselves. I feel a little like an urchin who managed to sneak into heaven on rather shaky grounds in the first place but God with a slingshot and then instead of getting spanked sat back and watched two of the arch angels fighting

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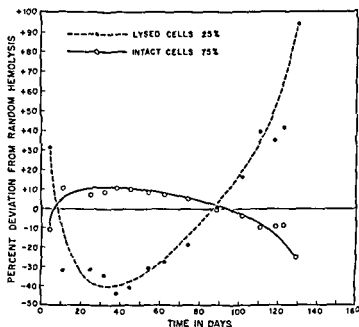


Fig 1115 Susceptibility of red blood cells from sheep at various periods in their age to destruction by incubation with rabbit antishcep erythrocyte serum and with guinea pig complement

trying to extrapolate from this to a whole organism—is exposed to a maximally stressful stimulus such as that described—the age of the cell does play an important role in terms of its susceptibility to such destruction.

With the development of finer and more extensive instruments and techniques it may be possible to demonstrate differences in response to drugs administered in therapeutic dosage. As Dr. Fagnola has said, what is needed is much more work, and with this view I am in complete agreement.

DR. PAUL WEISS [New York, N. Y.]: I feel a bit uncomfortable about what has just been presented as an apparent incompatibility between two statements.

I think that Dr. London has in a way retracted his initial opposition by saying that he does not want to extrapolate the experience from a single cell to an organism, for actually these are two different phenomena here and they only seem related because of the identity of the word "aging" that has been employed to describe both of them.

The use of a single cell, particularly a semi-dead cell, as the red cell is, as a model of survival, can only be compared with the decline of drug response in the whole or organism after death. Of course we know that even after death the heart can still respond, the muscle can still respond, but the brain cannot. I do not quite see that the change in response of a surviving cell, which is going through kinetics of decline, so to speak, can be at all used in answering the problem of drug response of the sum of the population, which is in a stationary stage.

I would like to raise this simply as an example of the caution one should observe in trying to expand from one system to another system, and I am delighted that Dr. London has really made that point clear in his last remark.

CHAPTER IX

THE GENETICS OF AGING

FRANZ J. KALLMANN

One of the notable achievements of modern medicine has been the gradual extension of the average human life span. At the same time, our problems and responsibilities in this area have necessarily multiplied. It is a remarkable fact that in little more than two decades, from 1930 to 1953, the equivalents of nine years—91 years, to be exact—were added to the total life expectancy in this country (4). This gain, accomplished chiefly by improved control over life-shortening factors, brought the general chance of survival appreciably closer to the potential length of life. However, the given increase has not changed the potential life span as such, nor has it sufficiently enhanced our knowledge of the nature and extent of intrinsic longevity potentials (22).

In general terms, aging has been defined as a gradual loss of ability to maintain a constant level of physiologic equilibrium. Hence, it is the ability to withstand the ensuing impairment of health that determines survival to an advanced age. This capacity is known to be so variable that the many observed differences from one person to another strongly indicate the operation of gene-controlled phenomena (14, 15). That the potentiality for a long life derived from a fortunate combination of health-conferring genes, can be modified by adverse life conditions in no way lessens the significance of this fundamental biologic hypothesis.

DIFFERENTIATION OF GENETIC VARIATIONS IN AGING

Genetically, a distinction is made between the effects of gene-specific processes causing premature or other pathologic disturbances in the last sector of the human life cycle and those of general genetic phenomena which produce differences in basically positive health and survival values (15). The symptoms observed in the first group of disorders are likely to be the result of one major mutant gene that follows the single factor type of inheritance.

Seen in the second group of variable patterns of adjustment are gradations in normal aging potentials which result from the interaction of several or many genes and are therefore ascribable to the multifactor or polygenic mode of inheritance. Extreme variations determined in this manner (through the accumulation of short life genes) are apt to produce deviations from the mean health status of an aging population, which

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tend to lead to painful experiences in interpersonal relationships, gradually overtaking the adaptive defense mechanisms

When a psychotic break with reality occurs its symptoms are characterized by the inability to find constructive avenues for releasing anxiety generated by involuntal changes. Etiologically however, it is obvious that the causes of such an involuntal psychosis are multiple and always include a long history of emotional instability determined genetically. Admittedly the biochemical correlates of this deficiency in adjustive plasticity are still very much in need of clarification.

SPECIFIC DISORDERS OF THE SENIUM

Similar uncertainties exist with respect to the genetic aspects of those pathologic conditions which are specific to the period of senescence. Sufficient information is not even available regarding the least common and most easily recognizable disorders known as Pick's, Alzheimer's and Jacob Creutzfeldt's diseases. Here gross and relatively circumscribed brain lesions develop so dramatically and prematurely that from a genetic standpoint it would be possible to think in terms of specific disturbances produced by the effect of single mutant genes (6, 10, 18).

Theories of simple dominance or recessiveness have been advanced *not only* for these three special types of presenile brain atrophy but also for essential hypertension and cerebral arteriosclerosis and the total group of disorders called senile dementia. However histologically verified family



Fig. IX.1 Generalized presenile brain atrophy (Alzheimer)

are classifiable as pathologic. Some of these deviations may be so clearly pathologic as to be indistinguishable from either a single factor type of disturbance or a so called phenocopy (a nonhereditary variation simulating the phenotype of a mutant gene)

Still another group of minus variations in adjustment to aging is due to gene controlled deficiency states physical or mental which arise before the senescent period but tend incidentally to alter the adaptive plasticity of aging persons. In this category are the major psychoses specific metabolic or endocrinopathic disorders and various types of intellectual subnormality and emotional instability including schizoid personality traits compulsive drinking patterns and the like

PRESBYSCENT TRAITS AFFECTING AGING

Exact genetic information about the manner in which presenescent maladjustment affects adaptability to aging has yet to be obtained. Longitudinal studies are needed to demonstrate how specific traits tend to complicate or be complicated by the ordinary phenomena of old age. Since the few studies made in this field have been related largely to chronologic rather than biologic age present appraisal of changes due to aging can be no more than a gross approximation

It would be difficult for instance to determine whether complications in the later stages of chronic pathologic conditions such as hypertension or hypothyroidism arise in the period of senescence by coincidence or as the result of a causal constitutional relationship. In the case of a gene specific underproduction of the thyroid the connecting link may be a disturbance in cholesterol metabolism which affects both physical and emotional equilibrium

On the whole the impact of the senium may be expected to intensify pre-existing maladjustment. Old schizophrenics and mental defectives tend to deteriorate and alcoholics almost always show a marked decline in tolerance and general resistance. Similarly pulmonary tuberculosis seems to have become more destructive in later life (2)

Regarding emotional maladjustment which phenomenologically falls into the involutional period the relationship between the effect of advancing biologic age and declining adaptability is demonstrated by persons distinguished by a schizoid type of behavior pattern. There is substantial evidence in support of the theory that the schizoid personality structure is that of a heterozygous carrier of the schizophrenic genotype with an inadequate degree of general constitutional resistance (12). The presenescent traits most commonly associated with this type are rigidity compulsiveness and oversensitivity. Along with the cumulative emotional strain arising from increasingly conspicuous signs of aging these traits

clearly substantiated in Pick's than in Alzheimer's disease. The observed morbidity rates for the parents and sibs of affected persons are 19 and 6.8 per cent in Pick's disease and 10 and 3.8 per cent in Alzheimer's disease.

Virtually nothing is known about the gene specific metabolic disturbances that may be at the root of these two types of early brain atrophy. Conjecturally it may be stated that the given disturbances are likely to differ as much in character as do the structural changes produced by them. It is regrettable that our search for verified twin cases of either type has not been successful but Dr Leon Roizin has been good enough to provide some of his material for a brief discussion of the histopathologic differences observed between the two conditions.

In Alzheimer's disease (fig IX.1) the atrophy of the brain although most pronounced in the temporal and frontal lobes is rather generalized

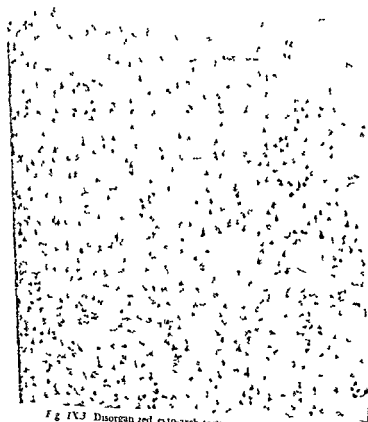


Fig IX.3 Disorganized cyto-architecture in Alzheimer's disease

data are still so scarce in this area that it would seem inadvisable to eliminate polygenic modes of inheritance, even in the fairly well studied groups of Alzheimer's and Pick's diseases. According to Sjogren and his co-workers (21), the theory of a simple dominant mode of inheritance has been more

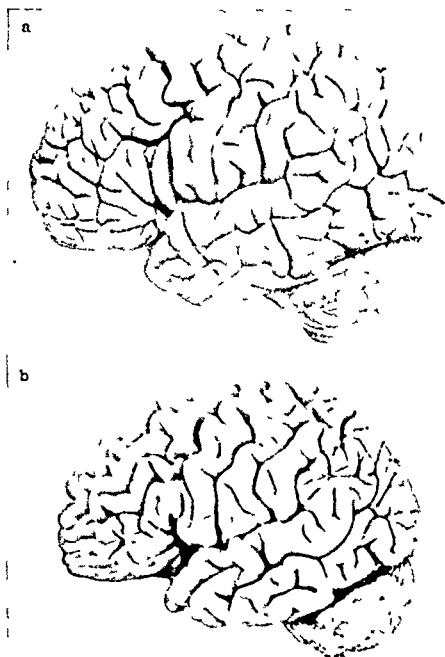


Fig 1A.2 Atrophy of the brain consistent with (a) senescence (age 75) and (b) senile dementia (age 65-75)



Fig IX 5 Circumscribed presenile brain atrophy (Pick)

the atrophy of the brain in Pick's disease (fig IX 5) is more circumscribed and usually most severe in the frontal lobes. The post-central and parietal regions tend to be better preserved. Microscopically the cytoarchitecture of the frontal lobe may show complete disorganization (fig IX 6). In this instance there are scarcely any nerve cells left and certainly fewer than in Alzheimer's disease.

Using Holzer's method for glia fibers (fig IX 7) one finds a marked cortical gliosis—a symptom which distinguishes Pick's from Alzheimer's disease. Greatly magnified a typical cell described by Pick (fig IX 8) is characterized by an eccentric nucleus and a ballooned appearance of the cellular body.

Within the cell body (fig IX 9) one sees a massive accumulation of lipid material which is highly argentophil in character. This finding and the absence of both senile plaques and neurofibrillary changes are usually sufficient to differentiate Pick's from Alzheimer's disease. In fact the



Fig IX 4 Senile plaques and neurofibrillary nerve cell degeneration (inset) in Alzheimer's disease

On the whole the narrowing of the gyri and the widening of the sulci are more severe than the common atrophic changes associated with the senium with or without a history of a senile psychosis (fig IX 2). Microscopically, it can be seen that the early degenerative changes in Alzheimer's disease are quite extreme (fig IX 3). The cytoarchitecture is so disorganized that some areas are entirely lacking in nerve cells.

Application of the silver impregnation method discloses an abundance of senile plaques, and typical neurofibrillary degeneration is seen in the greatly magnified cell inserted (fig IX 4). Parenthetically it may be noted that these degenerative changes are not argentophilic.

Compared with the atrophic changes observed in Alzheimer's disease,

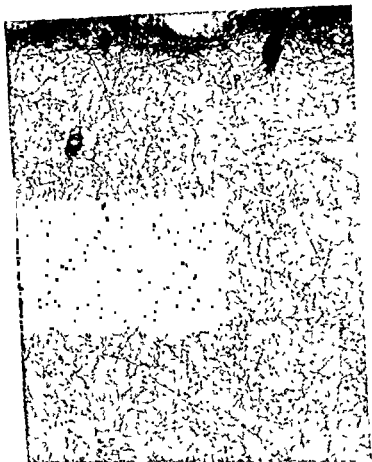


Fig IX.7 Cortical gliosis in Pick's disease



Fig IX.8 Ballooned Pick's cell



Fig 1A 6 Entirely disorganized cytoarchitectonics in Pick's disease (frontal lobe)

degenerative processes in Pick's disease are so peculiar that genetically they would seem to point to a very specific metabolic disorder which has yet to be identified.

Much additional genetic work is also needed in those disorders in later life in which cerebrovascular changes are the predominant feature. Obviously arteriosclerotic symptoms are developed much earlier and more severely by some persons than others and equally variable is the ability to withstand cerebral damage (1). Clinically it has also been established that atherosclerosis is a disease in itself and not an ordinary concomitant of aging. In fact it has been suggested by some investigators that atherosclerosis may actually be two distinct diseases: the first due to defects in cholesterol metabolism or circulatory functions; the second resulting from a breakdown of the structure of the elastic elements in the media of arteries accompanied by calcification. The increase in deposited calcium has been shown to be associated with an increase in some amino acids which in turn may be related to the action of elastase, a pancreatic hormone (9).

In any case it is particularly in the presence of a specific disturbance in lipid metabolism (known as primary hypercholesterolemia) that a fa-



Fig 1X.10 Dizygotic twins at the ages of 23 and 78

one-egg twins are consistently more pronounced, frequently in spite of very different environments. The observed similarities extend to physical and mental signs of aging, social adjustment, intellectual performance, and its rate of decline. The comparative histories of two typical twin pairs may help to illustrate this point.

The two brothers in figure 1X.10 belong in the series of two-egg pairs with very similar conditions. Reared on a farm, they attended a rural school together and then became prosperous farmers in the same district. When they were in their early thirties



Fig 1A.9 Accumulation of argentophilic lipid material in Pick's disease

mutual trend is found toward such pathologic conditions as coronary artery disease, essential hypertension, and cerebral arteriosclerosis (7, 25). Some investigators regard dominant genotypes as the cause not only of a specific predisposition to cerebral arteriosclerosis but also of its particular localization and frequent combination with nephrosclerosis (23). However, despite the present evidence for a gene-specific metabolic error being the basic cause of hypertensive disease, many of the etiologic aspects of this serious affliction of the aging are still unsolved.

Equally doubtful is the theory that the total group of senile dementias may be due to the effect of a dominant genotype of low penetrance (3, 19). Either one or two dominant genes have been assumed to be involved, one controlling longevity and one producing the pathologic changes associated with senile dementia. According to the results of our study of aging twin family units, senile psychoses seem more adequately explained by an age-specific intensification of long-existing but minor deficiencies in general emotional adjustment than by a single genetic factor causing a specific type of psychopathology. This theory implies that the genetic components in the etiology of a senile psychosis consist of polygenically determined variations in age-susceptible personality traits, a generally reduced level of adaptive plasticity, and those gene-specific biochemical phenomena controlling growth and decline.

GENERAL HEALTH AND LONGEVITY POTENTIALS

Graded differences in general aging and longevity potentials have been demonstrated by family statistics (5, 8, 11, 20) as well as by twin studies (16, 17, 21) and are most certainly polygenic in origin. Longitudinal twin data show that compared to the limited degrees of similarity between two-egg twins or ordinary sibs, all measurable similarities between aging

TABLE IX 1

Biennial mean intra pair life span differences in same sexed twin pairs over 60

| | Year of Analysis | Number of Same-Sexed Pairs | | Intra Pair Life Span Differences Expressed in Months | | |
|-------------|------------------|----------------------------|---------------|--|--------|--------|
| | | All pairs | Both deceased | Male | Female | Total |
| Monozygotic | 1948 | 237 | 32 | 47.6 | 29.4 | 36.9 |
| | 1950 | 415 | 68 | 42.9 | 31.2 | 36.7 |
| | 1952 | 513 | 76 | 40.7* | 30.7* | 35.7* |
| | 1954 | 513 | 78 | 40.7* | 31.6* | 36.0* |
| Dizygotic | 1948 | 696 | 36 | 89.1 | 61.3 | 78.3 |
| | 1950 | 1071 | 70 | 79.1 | 63.2 | 71.8 |
| | 1952 | 1226 | 86 | 79.1* | 69.5* | 73.7* |
| | 1954 | 1226 | 102 | 69.5* | 79.1* | 74.6*† |

* Significant at 1% level

† All opposite sexed pairs over 60 106.0 months

one is maintaining a stable home life in a suburban community where he is still active as a cemetery worker. The other neither works any longer nor does he have a home of his own. For 40 years he has been separated from his wife for whose sake he had changed his religion.

Nevertheless both twins are in good physical health and blissfully unaware of their equally advanced state of senile deterioration. In the last seven years their digit symbol scores have declined at a similar rate from 13 to 2 and from 11 to 1. Interestingly enough even the mistakes they make in substituting symbols for digits are precisely the same.

In line with clinical and psychologic observations, it is shown by comparative data on the length of life of senescent twins (table IX 1) that basic variations in the human life span depend on gene specific health and longevity potentials. In those pairs of our sample where both twins died after age 60 the mean intra pair life span difference continues to be much smaller in one-egg than in two-egg pairs. The present total mean difference varies from 36.0 months in the one-egg group to 74.6 months in the two-egg group of the same sex, and to 106.0 months in dizygotic pairs of opposite sex. In same sexed pairs, this difference is about the same for both sexes despite the shorter life span of the male. It may also be mentioned that one-egg twin partners are more than twice as similar in causes of death as two-egg pairs of the same or opposite sex.

The gene-specific basis of longevity and general health potentials in old age is confirmed by a comparison of the life spans of the sibs of senescent twin index cases with those of their parents (table IX 2). The most striking result of this analysis is the evidence for a direct relationship between parental age and the life span of the offspring. The effect of

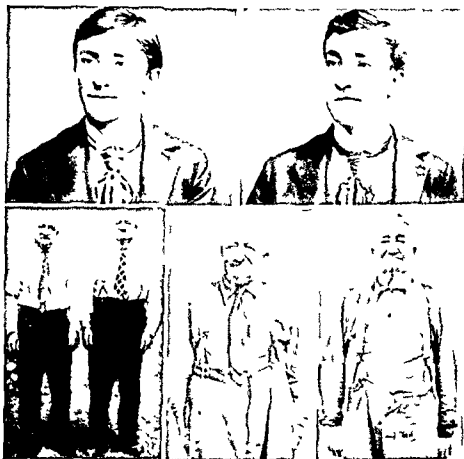


Fig IX 11 Monozygotic twins at the ages of 20, 70 and 78

each married a local girl and each had one daughter. However, despite the similarity of their lives, they developed rather disparate personalities and patterns of aging.

The younger looking twin on the left, described as prankish, excitable and somewhat extravagant, complained of ill health for thirty years before retiring at age 70. The other twin, who still runs his farm on his own, has always been phlegmatic, frugal and self-sufficient. He takes pride in never having seen a doctor, except for an appendectomy.

As to general intelligence, this twin has maintained a two point advantage over his brother with vocabulary scores of 23 and 22 at the ages of 71 and 78. But in this period of seven years, he has deteriorated from a score of 63 to 30 on the tapping test, and from 25 to 17 on the digit symbol test.¹ The corresponding decline in the twin with the slower aging patterns has been only from 78 to 67, and from 27 to 21, respectively.

The one egg twins in Figure IX 11, on the other hand, are still remarkably alike, both physically and mentally, although their fortunes have differed considerably. Both were employed in factories, married at a young age and fathered six children each. At 78

¹ The psychometric data of this longitudinal test series have been obtained by a team consisting of Dr. Feingold Jursik, Mr. Arthur Galek and Mr. Michael Klaber. The detailed results will soon be published elsewhere.

prooking I am no geneticist either by education or by inclination and I feel that Dr Kallmann's paper should be discussed from two points of view or rather two aspects the theoretical and the practical

From the first point of view I find that Dr Kallmann has presented a comprehensive and scientifically well documented statement of the scope of present day knowledge concerning the role played by genetics in the process of aging His review of the literature and the results of his own important contributions lead him to conclusions which insofar as they relate to the science of genetics appear to me to be both highly valid and logical and I can find nothing with which I disagree or anything that I can add to that aspect of his contribution

If we evaluate Dr Kallmann's paper in the light of the present conference however the question arises as to what bearing his findings have on the problems that are encountered in this period of life and to what extent genetic factors interact with life situations in the development of the disorders of old age It seems to me that we are faced here with three main issues first the factors that determine the span of life both in the species as a whole and in individuals second, the factors that determine the survival potentiality of each individual within his specific span of life and finally the factors that determine the relative adequacy of adjustment within the period of survival each individual

I think that we can quite readily agree with Dr Kallmann that insofar as the span of life is concerned it is probably primarily determined by genetic factors but I venture to believe that the questions we are particularly concerned with at this conference are particularly concerned with that question unless some of us have the ambition to do so over an elixir of life that could render us immortal or at any rate increase our span of life which I take from what I find in the literature has not been increased since the days of Cicerone when he wrote his *De Senectute*

We are much more interested in the chances of survival and the possibilities of increasing the span of life I would say that we would also increase the complexity of the problem that we are concerned with today

In some cases accidents infect or influence the chances of survival and reaching as near the ceiling of the span of life as is possible

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TABLE IX 2

Effect of parental age on mean life spans of senescent twins and their siblings

| | Mother Died | | | Father Died | | | Both Parents Died | | |
|--------|-------------|-------|-------------|-------------|-------|-------------|-------------------|-------|-------------|
| | Below 55 | 55-69 | 70 and over | Below 55 | 55-69 | 70 and over | Below 55 | 55-69 | 70 and over |
| Male | 55.8 | 58.8 | 59.6 | 56.3 | 57.2 | 60.2 | 51.8 | 61.2 | 61.4* |
| Female | 64.8 | 64.0 | 66.4 | 63.0 | 64.3 | 65.3 | 62.1 | 61.7 | 66.8* |
| Total | 58.5 | 59.8 | 62.1 | 58.5 | 60.0 | 61.5 | 55.9 | 59.4 | 60.9 |

* Life expectancy of the general population born in 1900: males 48.2 years, females 51.1 years

parental age is independent of sex differences and expresses itself in the life spans of both sons and daughters irrespective of whether the ages reached at death by the parents are considered individually or together. The comparatively highest mean age levels were attained by twin index sibships when an age of 70 years and over was reached by the mother alone or by the father alone, or by both parents. There is no evidence for the operation of a sex-linked factor in the inheritance of general longevity potentials.

CONCLUSIONS

Based on these findings, the effects of genetic factors in aging may be summarized as follows:

Genetic variations in adjustment to aging may arise from gene-specific traits manifested before senescence but susceptible to its overstraining effect, or from specific metabolic dysfunctions peculiar to the senium, or from graded differences in general health and survival values. Much additional research is needed in all three categories.

Since genetic phenomena are the cause of many individual differences in the degree of aging, it is inadvisable to approach geriatric problems with the preconceived notion that the adjustive difficulties of the aged are more or less the same for all persons and thus conducive to management by stereotyped methods.

Biologic factors advantageous to adjustment to aging include healthy and longevous parents, the efficacious use of genetic potentialities for physical and mental health throughout life, and the establishment of adequate emotional adjustment before senescence.

DISCUSSION

DR. WILLIAM MALAMUD [Boston, Mass.]: The kind invitation to me to open the discussion of this paper was highly flattering but at the same time also somewhat anxiety

- [illegible]

I have studied both in the literature and in our own material showed that in most of the Alzheimer cases there were no indications of hereditary influences and none of them incidentally, occurred in monozygotic twins

At the same time it would be important to consider how we can systematically prevent the occurrence of adverse life situations. What to my mind is even more important from a practical point of view is the consideration of the third issue namely given a certain length of time of actual survival what are the chances of a well adjusted life and one which can be rendered more satisfactory both to the individual and to society?

These as I have pointed out in my own presentation earlier today are much more dependent upon social organization and life experiences than they are upon genetic factors and again I would like to state that when we consider the present problem that is posed by the gradually increasing number of older citizens it is here that we must look for a program that will enable people not only to survive but also within those limits, to lead a comfortable and useful life

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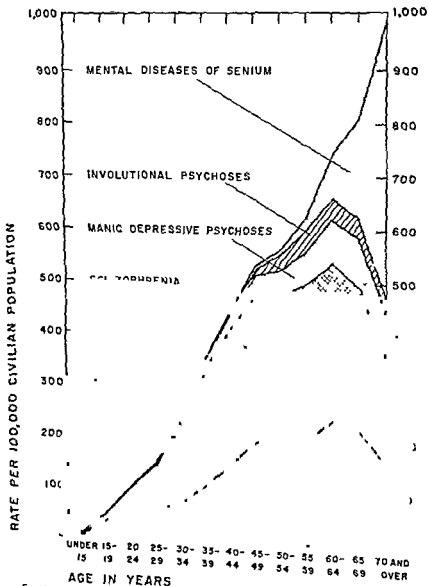


Fig. 1. Resident patient rates for selected diagnoses by age state hospitals for mental disease California Louisiana Michigan Nebraska Ohio Pennsylvania Virginia 1940

AVAILABLE DATA

o x x shows the rate of resident

CHAPTER X

EPIDEMIOLOGY OF MENTAL DISORDERS AND AGING

ERNEST M. CRUENBERG

THE PROBLEMS

Efforts to understand the relation between the occurrence of mental disorders and aging lead us to seek answers to certain questions: 1) What kinds of mental disorders occur more frequently among older people than among younger people? 2) What kinds of mental disorders occur most frequently among older people? 3) What is the duration of each? What is the course of each? 4) Under what conditions does each occur more frequently and under what conditions less frequently? Such information would give us a sound basis for evaluating hypotheses regarding causes derived from clinical, social and psychological theory and for planning preventive programs.

At your Commission's request I have reviewed the literature relevant to these questions. This review has led me to three conclusions. I will state these conclusions and then briefly review the evidence on which they are based.

1. Answers to these questions can be obtained by systematic applications of established techniques for sampling populations, for locating cases and for analyzing data.

2. For data to provide answers to these questions three assumptions must be avoided in planning and conducting investigations. First, patients who come to medical attention cannot be regarded as completely representative of those who are ill. This is obviously true where medical facilities are primitive but it is also true where medical facilities are advanced. Second, no assumption can be made regarding the duration of any personality disorders since this is one of the characteristics which is unknown. Third, patterns of occurrence by age of various personality disorders cannot be assumed since we wish to gain information on this question.

3. Despite the simplicity of these three statements, no studies done to date on the epidemiology of mental disorders and aging have been limited by these considerations. They have been directed at other questions and their data do not answer the questions we are asking.

patients in mental hospitals for each age. The prevalence of hospitalization so to speak rises until age 60 for each diagnostic group except the diseases of the senium and the decline after that age as indicated by the tapering is mainly due to other psychoses. Dr Kramer's second chart (fig \ 2) shows that in the United States as a whole first admission rates to mental hospitals for all diagnostic groups falls after age 50 except for mental diseases of the senium where the rise is sharp after 60.

However if we examine the first admission rates for persons over 65 by states (fig \ 3) we see an enormous variation. Regional chauvinists might claim that high admission rates are a sign of high civilization as reflected in the provision of hospital facilities. Although such an argument would be open to question I shall not attempt to refute it as it supports my

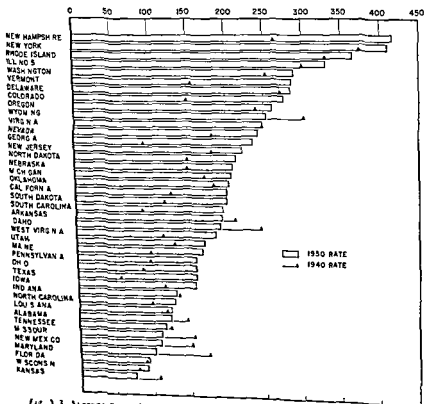


Fig \ 3 Average first admission rates per 100,000 civilian population to state mental hospitals for persons 6 years of age and over selected states 1940 and 1950. Based on yearly admission rates for 1910 and 1911 and for 1919-1921. Source: Patients in Mental Institutions 1910 1911 1919 and 1950 and 1951. States not reporting for their entire hospital system or not reporting data by age in any of these years were excluded.

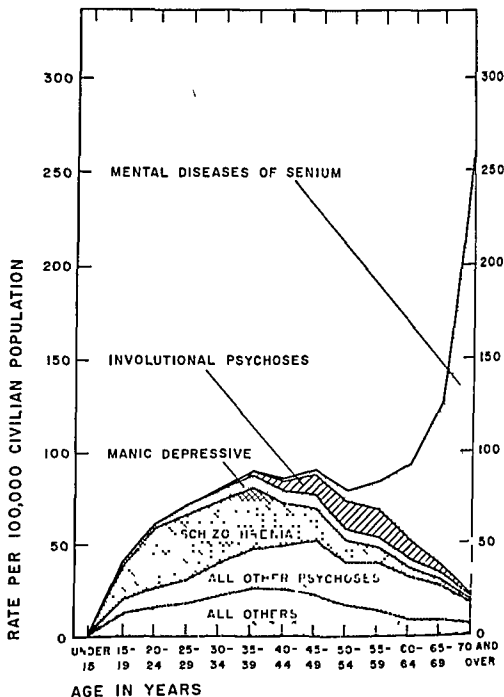


Fig. 1.2 First admission rates for selected diagnoses by age to state hospitals for mental disease, United States 1950

FIRST MENTAL HOSPITAL ADMISSION RATE

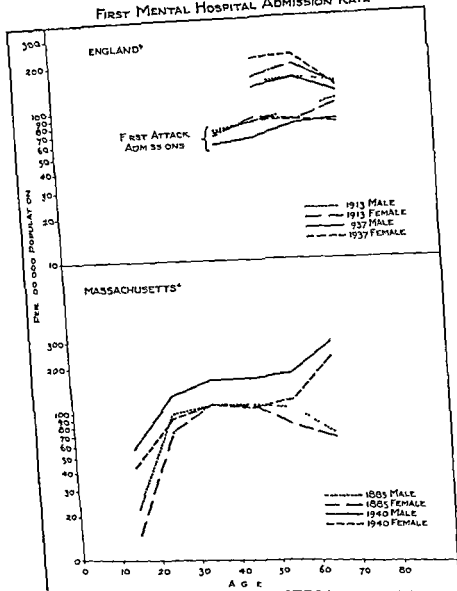


Fig. 5 First Mental Hospital Admission Rate

years when first admission rates for older people were less than for middle aged people. However, in recent years the first attack first admission rates in England have declined more in older people than in younger people.

Such phenomena suggest strongly that mental hospital admission rates

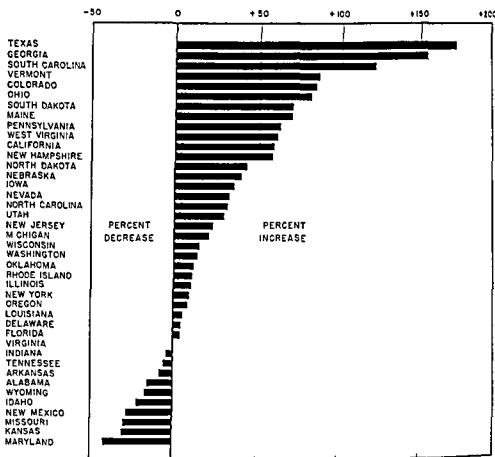


Fig X4 Per cent change in first admission rates per 100 000 civilian population to state mental hospitals for patients 65 years of age and over selected states 1910 and 1950. Based on yearly admissions for 1910 and 1911, and for 1919-1951. Source: Patients in Mental Institutions 1910, 1911, 1919 and 1950 and 1951. States not reporting for their entire hospital system or not reporting data by age in any of these years were excluded.

thesis that mental hospitals are used differently in different times and places. It may be seen that, while most states showed an increase in first admission rates for elderly persons, the amount of increase varied greatly and some states had decreases in first admission rates. This is shown more clearly on figure X4.

Further evidence is available on this point. Figure X5 shows several age curves for mental hospital admissions. They are not strictly comparable, but I wish to show you the difference in shape of the curves and the different ways they move as age increases. To facilitate this, I have put the vertical axis on a logarithmic scale so that the slopes in the curves between any two ages represent the same proportional change in rates. It is to be noted in the lower graph that aging is associated with rising first admission rates in Massachusetts in recent years in contrast to earlier

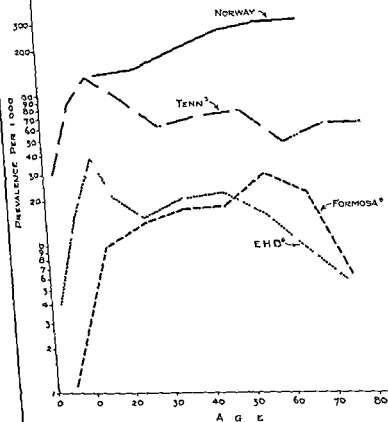


Fig. 1.6 All mental disorders prevalence

If we now look at some of the data which are available regarding the neuroses we find three surveys which report neuroses by age so that age specific prevalence curves may be prepared. These are shown in figure 1.8. The Eastern Health District studies show an interesting bimodal curve. Dr. Bremer's study, based on five years of intimate experience as

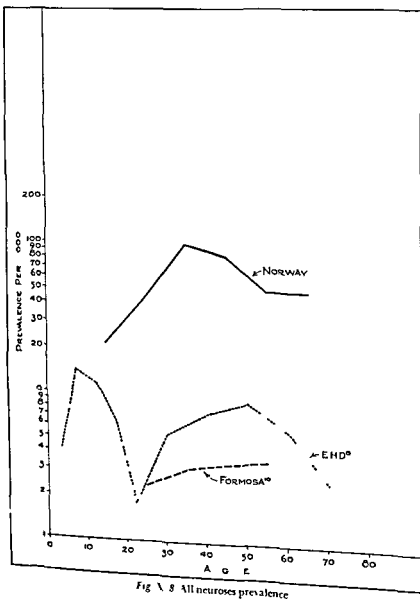
reflect not only the distribution of disease but also changing patterns of use of medical facilities as well. There is other evidence that the distribution of mental disorders in a population is reflected in a peculiarly distorted way by hospital experience. Hence to determine what kind of mental health problems occur more commonly with age we will have to look at other sources of data in addition to hospital records.

A number of studies have been done which bring together the knowledge of all the service agencies in a community and take account of all professional sources of information about mental disorders in a population. Outstanding among these have been the pioneering Baltimore Eastern Health District studies by Lemkau, Tietze and Cooper and the Roth and Luton studies in Tennessee. Their findings for all mental disorders are shown in figure \ 6. It may be seen that in Tennessee prevalence counts level off with age while the Eastern Health District counts drop sharply with age. How is it possible to account for this difference? Many possible explanations spring to mind but there is no way I can think of to exclude the possibility that agencies in Baltimore and agencies in rural Tennessee differ in the way they relate to different age groups. Another example of studies which rely on the reports of agencies and professional persons in the community are three which searched for mental deficiency by this method. Although they differ in locale and in details of method they share in common case findings through reports of professional agencies. Figure \ 7 shows these three curves in the Eastern Health District, in a district of England (The Woods report) and in Syracuse New York. The only one which is complete as far as age groups is concerned is the Eastern Health District study. I want to draw your attention to it particularly because it shows the sharp peak at around 11 years of age. It drops about 80 per cent in the next ten years. How can this precipitous drop in the prevalence of mental retardation in a population be accounted for? Again many possibilities spring to mind but one cannot exclude the possibility that our agencies are not as aware of retardation in adults as they are in children.¹ Hence if we wish to know what effect age has on the occurrence of something like mental retardation we cannot rely on agencies any more than we can on medical facilities to give a picture equally good for all age groups.

It is considerations such as these which lead to my first precaution. *We cannot assume that the patients who come to medical attention (or to the attention of other social agencies) are completely representative of those who are ill.*

¹ This does not exclude the possibility if intellectual ability may rise with age as is indicated by Lorge's data possibly this is more responsible for the decline than agency inconsistency.

ing the same phenomenon would lead us to lend some credence to this observation. It should be noted that Dr Lin's study did not define a case of psychoneurosis as one which occurred for the first time during the period of observation but made use of the data available from all sources of information regardless of date of onset. Hence as with mental deficiency



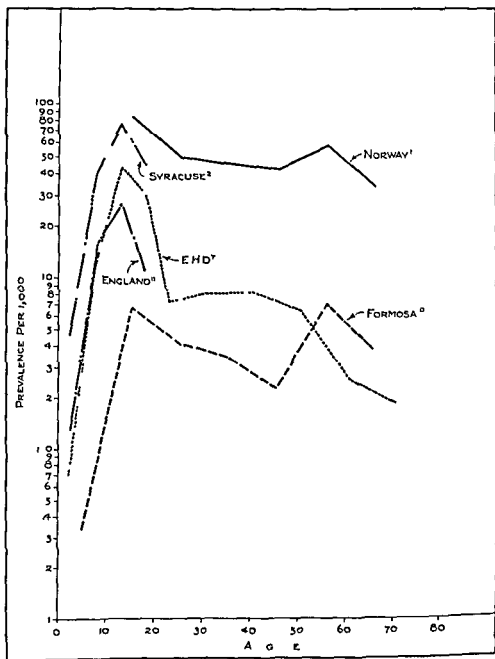
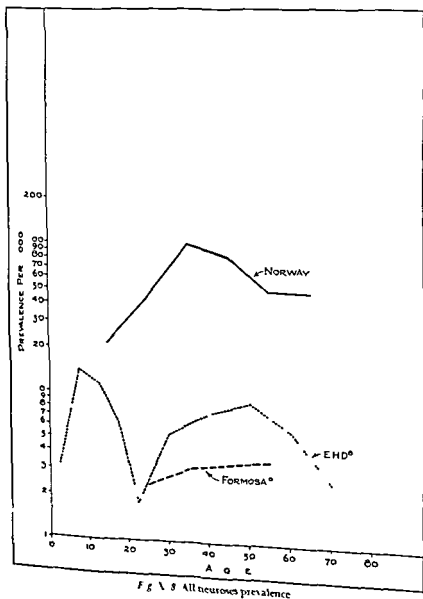


Fig. 17 Mental deficiency prevalence

the only physician in a northern Norwegian fishing village during the German occupation did not identify any children as neurotic. Dr. Im's very interesting study in Formosa found a lower level of neuroses than did the other two. In all three the prevalence of neuroses after 50 declined as compared to earlier years of life. Three independent studies show

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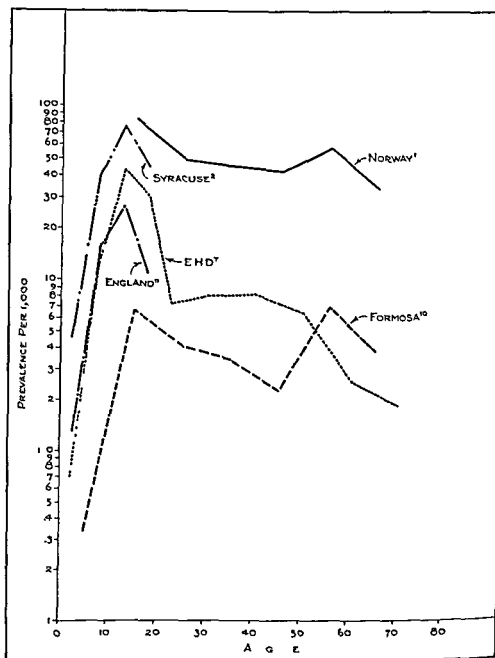


Fig A 7 Mental deficiency prevalence

the only physician in a northern Norwegian fishing village during the German occupation, did not identify any children as neurotic. Dr Im's very interesting study in Formosa found a lower level of neuroses than did the other two. In all three the prevalence of neuroses after 50 declined as compared to earlier years of life. Three independent studies show

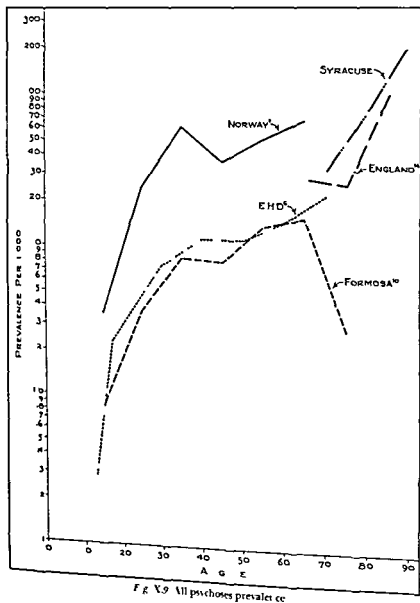


Fig. 1.9 All psychoses prevalence

sclerosis or senile brain damage (12). To answer this question it is necessary to define syndromes and case finding methods.

one would have expected an accumulating prevalence rate with age as a person spends more and more of his life in a community and is more and more likely to come under observation. This curve in fact represents a sort of cumulative incidence regardless more or less of whether recovery had occurred or not theoretically attenuated only by mortality and emigration. From the shape of these curves and from the shape of the previous curves on mental deficiency it is clear that we are not justified in making any assumptions regarding duration. There is a decline with age which strongly suggests spontaneous recovery in a large proportion of cases.

Figure \ 9 places five surveys in juxtaposition in a manner similar to the earlier figures. Here we are attempting to portray all psychoses by age group. The Eastern Health District shows a steadily rising line with age. The Norwegian and Formosan studies show irregularities in spite of the fact that they are attempts at cumulative incidence throughout the person's lifetime. The next to the top curve shows the results of a survey (by Sheldon in England) of elderly persons with definite mental failure. The top line shows the preliminary results of a survey in a part of Syracuse by the New York State Department of Mental Hygiene's Community Mental Health Research Unit showing the prevalence of those who were thought to be at the time of interview as disordered as the least disordered persons in the mental hospitals.

While all of the lines on these curves represent kinds of prevalence they represent different kinds. The English study and the Syracuse study were based on the prevalence of personality disorder present at the time of the study. The other three investigations asked whether the persons who were in the roster were psychotic persons with less precise specification of the time of prevalence. Obviously if we assume that everyone who has a psychosis will be a psychotic person the rest of his life and we count people as psychotic whether they have recovered or not we cannot find out what proportion have recovered in fact. Nor will we ever learn anything definite about the duration of the illnesses we are studying. *Hence when identifying cases assumptions regarding the duration of particular mental disorders must be avoided.*

The data on arteriosclerotic and senile psychoses present a special additional difficulty. This has to do with assumptions regarding the age of onset of these syndromes. Some investigators actually include age as a criterion in making a diagnosis. Another difficulty arises from our confusion of acute and chronic brain syndromes. The data available indicate that such states as neurosis manic-depressive psychosis and mental deficiency decline with advancing age. Is this the case or have we simply failed to recognize them in older people who may have some signs of arterio

must be evaluated more critically to see if they apply equally to people of different ages. The presence of some arteriosclerotic changes must not be taken to preclude the possible existence of drug psychoses, reactive depressions or conversion hysterias. If change of memory or grasp or emotional lability with age are to be studied such descriptions as "normal for age" must be forgotten.

To avoid making assumptions regarding the duration of disorders information from the past must be carefully separated. In such studies it is not reasonable to look more closely at the mental status of a person who is known to have been in a mental hospital thirty years ago than at the mental status of a person who is known to have run a business successfully all through his adult life. More evidence of personality disorder can always be found by looking more intensely, but we will never find out whether in fact those who were in hospital thirty years ago are more disturbed than those who were not, unless we bar the use of such information in gathering our data.

What kinds of mental disorder occur more frequently among older people? To answer this question studies are needed which observe the three precautions outlined. We wish to know what disorders are more likely to have their onset in old age. To find this out we would like to know the rate of occurrence of new cases per year for each age group. Except for the hospital statistics, none of the data cited relates to this question. The surveys which have been done all ask what proportion of the population at each age now has this condition; this is called prevalence. Prevalence figures, particularly for chronic illnesses, are useful in planning treatment and rehabilitation services, but they are of little value in understanding which conditions favor the onset of mental illnesses and which conditions favor their prevention. For that purpose we must have incidence data. The data cited indicate that the prevalence of psychoses rises markedly with age. Some of the surveys indicate the prevalence of persons psychotic at the time of the survey or psychotic any time prior to the survey. However, the two most recent ones, yielding similar rising curves, listed only those who were mentally ill at the time of the survey.

In spite of the fact that none of the studies described is satisfactory for providing answers to the questions asked, each criterion is met by at least one study (Table 1). Hence it is proper to conclude that it is practically possible to obtain reliable information on the incidence of various mental disorders in relationship to the process of aging. The basic techniques for field study have been worked out and many of the technicalities have already been worked out. The information is desired.

COMMENTS

Since the population under treatment for a given disorder cannot be taken as representative of the total population with that disorder conclusions regarding the distribution of illness in the population cannot be made from such data. This applies particularly to conclusions regarding the relative rates at which new cases occur in the population and to conclusions regarding the duration of illness in those who do not come to treatment. Of course much of benefit can be learned by studying persons under treatment. For example Redlich and Hollingshead's studies have brought out some interesting facts about patients under treatment. Pollock and Malzberg have shown some important time trends and other characteristics in patients in mental hospitals. Goldhamer and Marshall have shown that first admissions to mental hospitals with psychosis have not risen for younger people in Massachusetts during the last hundred years but that there has been a marked rise in the first admission rates for older people. Meanwhile Aubrey Lewis has shown that first attack first admission rates for older people fell in England from around 1910 to the mid 1930's. All these studies are of definite value but they cannot tell us whether our elderly population actually is suffering from neuroses less frequently than people in the middle years of life.

The early field studies in mental disease have left us a timeless heritage. The earliest field studies were based on theories regarding the genetic origin of various mental disorders. Quite properly populations were selected by family relationships to determine whether a given individual had ever manifested the disorders being studied. While recognizing ages of most likely manifestation the investigation was concerned basically with whether each particular configuration of gene makeup was associated with manifestations of disorders at any age. It was therefore reasonable to report the proportion of the populations which had at any time manifested the disorders under study. However the viewpoint of these earlier studies seems to some extent to have become fixed. Surveys asking other questions contain too little information about age of onset duration remissions and so forth. By treating mental disorders as lifetime characteristics of the individual sight is lost of the fluidity of mental life of the acute short lived disorders the remitting patterns and so forth. This heritage of timelessness must be given up in order to learn more about the epidemiology of mental disorders and aging. If illnesses are to be defined without reference to age certain criteria properly used in clinical work must be abandoned in field research. For example we cannot include progress in school work as a criterion for defining mental deficiency since school work is not expected of people of all ages. Tests for intelligence

were designed to answer. We forget that when we attempt to answer these questions from these data.

The merits of Dr. Gruenberg's paper, I think, lie in several other areas as well. The first and the most important is this: the examination of the implicit assumptions and the pointing out of the implicit questions which are buried in the design of our studies in this area. This is very seldom done, and it particularly is very seldom done prior to embarking upon such studies.

I think that he also brought to the fore, perhaps by implication, a series of other important areas which in actuality have been commented on by several of the speakers and discussants in the earlier part of this afternoon's session. I had also thought that some of these points had been made so excellently earlier, I would indeed trespass on your time if I did other than merely acknowledge their importance.

Our studies of epidemiology have not dealt with the question of what the conditions are which encourage a state of mental health and what the conditions are which tend to develop this disorder. We have picked, generally speaking, the end product, the specific syndrome, and related that to certain other gross characteristics of the same population.

We have been unable, as Dr. Gruenberg has pointed out, to interpret the findings of our studies. I am not now raising the question of the stability of the statistics of this particular study. Regardless of the stability of those statistics, our interpretations are not based upon the important issue of the context within which the disease developed and the context within which the diagnosis is made.

It is possible, for example, that one of the differences between the findings of the Eastern Health Study and others has something to do with issues like this. Is it possible perhaps that in these instances where lower incidences were found, we would also find an attendant phenomenon: the development of larger families, more extended kin, and acceptance of the idea of age among the population, and potentially of course also among the scientific population? The former would set the environment context for development of this disorder, and the second, the professional, would set the context for collecting the behavior which would be treated as diagnostic clues.

I would like to add to the pleas which are implicit in Dr. Gruenberg's precaution, two additional ones: one for studies of mental health and mental disorder as they occur in their natural habitat. Only in this fashion will we be able to describe the incidence as opposed to the prevalence, using the distinction that Dr. Gruenberg has made, as well as to secure data on the conditions, both personal and environmental, which facilitate mental health or which, if I may use the same word in this context, facilitate mental disorder.

It is on this point that the studies Dr. Gruenberg has referred to in his paper are perhaps going to be helpful as they concern the return to the community of recovered cases from a mental hospital. Follow-up data are important in that they tell us how previously diagnosed persons relate to the environment. We may then begin to gather some context determines the development of symptoms.

I might summarize two of my own remarks made in this connection. The first is in the area of the social and cultural context of mental disorder. We must not forget that the social and cultural context determines the development of symptoms. With respect to the prevalence of mental disorder, I merely

TABLE X 1

Relationship of selected studies to certain weaknesses

| | Confined To Service Cases | Assumes Indefinite Duration | Assumes Age of Onset | Excludes Some Ages | P = Prevalence I = Incidence |
|-------------------------------------|---------------------------------|-----------------------------------|----------------------------|--------------------------|------------------------------------|
| 1 Lemkau <i>et al</i> (6-8) | Yes | No | No | No | P |
| 2 Bremer (1) | No | ? | ? | No | P |
| 3 Syracuse Ment Ret (2) | Yes | No | No | Yes | P |
| 4 Syracuse Aged | No | No | No | Yes | P |
| 5 Kramer's Hospital Admission Rates | Yes | No | No | No | I |
| 6 Hollingshead and Redlich (5) | Yes | No | No | Yes | P |
| 7 Eaton and Weil (3) | No | Yes | ? | No | Cumulative |
| 8 Sheldon (14) | No | No | No | Yes | P |
| 9 Lewis (9) | Yes | No | No | Yes | I |
| 10 Goldhamer and Marshall (4) | Yes | No | No | No | I |
| 11 Desired study | No | No | No | No | I |

is willing to pay the price for this information. We must learn to ask the right questions and have the patience and fortitude to use the right techniques in seeking answers.

Today we can only express opinions regarding the mechanisms by which mental disorders occur and might be prevented. Tomorrow, if we do proper field studies and forego our heritage of timelessness, we may be able to give more definite answers to such questions.

DISCUSSION

DR WILLIAM F. HENRY [Chicago Ill.] It is indeed a special privilege not merely to be able to discuss Dr. Gruenberg's paper but also to discover that my bigoted or over-exaggerated remarks that I choose to make may not be contradicted by my audience since I have discovered that I shall not only open but close the discussion.

It is always a temptation for a psychologist to attempt to diagnose the personality of an author from his published or in this case spoken word. In reading the paper of Dr. Gruenberg before this presentation I came to the conclusion that Dr. Gruenberg was in spite of his pleasant appearance a pessimist that he had reviewed a world of important work and concluded that one could draw no conclusions from it. He drew attention to the inadequacy of medical facilities into which a great deal of time and money had gone and similarly called into question the adequacy of other social agencies into which similar amounts of extensive effort had been put.

Upon more prolonged reflection, however, I changed my mind. I think Dr. Gruenberg is not examining our problems as we are asking about them. The questions which Dr. Gruenberg summarized at the beginning of his paper are not the questions which these studies

CHAPTER XI

STRUCTURAL ALTERATIONS WITH AGING IN THE NERVOUS SYSTEM¹

WARREN ANDREW

INTRODUCTION

The nervous system of man while it occupies such a high position in the scale of biologic organization, seeming even to connect the physical and the psychic or spiritual, in its structural features shows fundamental similarities to other systems. This system, like the others, is composed of cells and of the products of these cells. Its amazing complexity is due both to the complexity of the individual cells of which it is composed and to the complicated arrangement of the many groups of these cells within the system. Both the high degree of differentiation of the cells and of the pattern in which they are developed are results, according to our present concept of a process of evolution of many millions of years—from a time in the distant past when nerve cells were not so much different from other cells but perhaps slightly specialized for the reception and transmission of impulses.

Although nerve cells are somewhat larger than the average cell of the human body they are still small enough to be present in tremendous numbers. In the cerebral cortex of man there are estimated to be over *nine billion* individual neurons a population somewhat over four times that of the earth. Investigators who have been interested in following all of the *groups* of cells within individual brains have had to make serial sections of these brains and even with fairly thick sections the accumulation from an individual brain runs to twenty five thousand or more large tissue sections.

The high degree of specialization of the nerve cells is seen particularly in the development of their processes, which reach out, ramify, and make contact sometimes with *neighboring* cells and sometimes with other nerve cells or with end organs such as sensory receptors muscle, or glands even several feet away from the cell body of the neuron. These processes travel so far that it is necessary for them also to be specialized, and particularly

¹Presented at the
thirty-first
Professor C.
in May.

of each age group and that these images frequently do not necessarily match up with the fact. Secondly, on the professional stereotype, we need to examine our own viewpoints as to the assumptions underlying some of our own theoretical preoccupations. Would time permit, I would like to restate these issues that were discussed earlier around the concept of stress. They are extremely relevant to this problem. When it comes to the use of the concept of stress, particularly as it applies to the meaning of stress in interpersonal relationships, we at best have no information, and at worst we are handicapped by a tendency not to notice the signs that are around us, and hence tend to see that which we think we ought to see.

In brief summary, may I merely say that in pointing out the implicit assumptions behind epidemiological studies, Dr. Gruenberg has done an immense service.

DR. ERNEST GRUENBERG: Since the remarks have mostly underlined some of my points, I thank Dr. Henry for them, and thank you for the opportunity of presenting this paper.

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¹Investigation supported by a grant from the National
Institute of Mental Health, and in part by the J. P. Morgan
Foundation.

in the matter of receiving differentiated coverings such as the sheath of Schwann and the myelin sheath. The processes also have many ways of terminating. They may end freely or they may end in complex bundles or in special relations with the organs which they are to innervate. Here however, we shall be somewhat more concerned with the body of the cell itself. This body also is a highly specialized structure. While all of the features of a typical cell can be recognized in the body of the neuron the cytoplasm appears to be particularly crowded with formed elements and some of these are seen to be of a type not found in any other cells. This fact has been known for many years through studies with the light microscope and in recent times has been verified by further work using the extremely high magnifications possible with the electron microscope (40).

It is not uncommon to say that aging and eventual natural death are the result in higher organisms of the high degree of specialization which the various parts of these organisms have to undergo. When we regard the nerve cell however we find that we are looking at the most highly specialized of cells yet that we are looking also at a type of cell which enjoys the longest life of any cell in the human body. For whether or not the old adage is true that a man is as old as his arteries it surely is in general true that the nerve cells of a man are as old as he is. Multiplication by mitotic division ceases to occur among the nerve cells some time late in embryonic life and thereafter the cell population remains constant except for the possible loss of such cells.

The nerve cells are placed in the category of fixed postmitotic cells which have undergone their last division and which are fixed in their condition of inability to divide for the remainder of their lives (21).

Why is it that such highly specialized cells should be far longer lived than many of the less specialized cells? While we cannot point to the specific organization within the nerve cell which makes such long life possible we surely can see the need of continued existence of such cells throughout the life of an animal for they are the cells which retain the individuality of the organism and which receive and conserve the patterns of behavior in the developing animal or human being. It is along the pathway of the nerve cell processes then that the impulses flow which repeated over and over again and in complicated manners eventually make us what we are. Were these cells to undergo the great turnover which occurs in cells such as those for instance of the epidermis we surely could not retain the individuality of mind and spirit in the control of our material bodies.

Do nerve cells die a natural death or do they continue to exist as long as the organism of which they are a part? For over half a century studies have been made which indicate that there are changes in these cells which tend to lead toward a decrease in their functional ability and eventually toward

the death and disappearance of many of them *before* the death of the organism. In other words just as in an area which for some reason is becoming slowly depopulated the community may remain for a long period as such and with its own identity while people leave or drop out one by one from the census of its inhabitants so nerve cells may be removed from the cellular census of the body.

The study of the death of nerve cells in the aging nervous system and their decrease in number from a quantitative standpoint is not at all an easy one. Indeed there have been conflicting results in relation to the numbers of nerve cells present at various stages in several parts of the nervous system. Nevertheless the mass of evidence at the present time does show that this loss not only is present but apparently is considerable in many regions. In certain groups of cells as in the Purkinje cells of the cerebellum it is seen readily (fig. XI 1).

Some of the difficulties encountered in such quantitative studies are due to the problems of counting nerve cells from section to section and others are due to the great variation from individual to individual which necessarily exists in a biologic phenomenon such as the aging process. Naturally, it is impossible to compare the nervous system of any one individual at different ages.

va

of
at different ages. He finds a patchy appearance due to areas deficient in cells in the older cortex. These areas were scattered throughout the regions studied and were particularly evident in

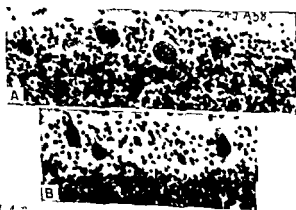


Fig. XI.1. A. 100
year old.

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lack of
approximately

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Fig. 112 Gross section of a brain of a subject with senile dementia showing atrophy of the cerebral cortex with corresponding expansion of the lateral ventricles. Lesser degrees of cerebral atrophy are common in the normal senile brain.

DEGENERATIVE CHANGES IN THE NERVE CELLS—A GENERAL SURVEY

We here shall speak at first only of the changes which appear to be or which very obviously are degenerative in nature for there are changes of quite another type which we shall discuss later. There appear to be few if any parts of the nervous system of man which if studied carefully do not reveal degenerative changes in the nerve cells with age. Studies beginning with an early investigation by Hodge (30) and followed by a large number of other workers have shown such degeneration of nerve cells both in man and in lower animals. Muhlmann (38) described the age changes of ganglion cells of senile guinea pigs. Dolley (25) described changes in the Purkinje cells of senile dogs. Harms (28) studied the cerebral

the superior temporal gyrus. Actual counts show a considerable decrease in number of cells—is high in some areas is one third or more of the original number. The cells in general are spaced more widely in senile than in young adult brains. The picture, then, in many areas of the cortex is such that low power microscopic examination permits a differentiation of sections from senile and young persons; the preparation and staining of both having been as similar as possible.

The observation, however, of the *changes* which lead toward the loss of nerve cells is not as difficult. Cells undergoing these changes are present in all older individuals and indeed can be seen to arise in some cases at a fairly early age. Again, if we use the comparison of a community, we may think of one in which there is no longer any production of new individuals. In such a community viewed from time to time there will be an increasingly larger number of old individuals and individuals showing the infirmities of age. Gradually various members of the community will begin to drop out. In the same way with nerve cells, we see an increasing number of cells undergoing the changes of age and an increasing number which are reaching the stage of final degeneration.

Up to now we have been speaking in very general terms concerning these age changes. It is the problem in the present paper to discuss in detail the nature of the structural changes with age in the nervous system.

CROSS CHANGES

Gross changes which have been described include a certain amount of loss of weight of the brain. How much of this loss of weight is due to a loss of the functional elements of the brain and how much to loss of water is not known. Also it is not known how much of the reduction in weight may be due to actual degenerative change and how much to a physiologic process.

Other changes which may in some cases be quite striking are visible atrophy, particularly of the cerebrum, which may lead to a widening and a deepening of the sulci and a corresponding reduction in the size of the gyri. The color of the gray matter is said to be deeper in many older brains than in young ones.

On the gross side, also, the meninges, particularly the leptomeninges, may be seen to be thickened, sometimes adherent to the brain and to one another and difficult to pick up from the cortex. An hypertrophy of the Pechomian granulations may also occur with old age. Patches of calcification are frequently seen in the meninges of older brains. These latter may be either senile phenomena or pathologic ones.

Atrophic changes in the cerebrum, particularly in senile dementia frequently lead to an increase in the size of the lateral ventricles (fig. VI 2).

cell of the cerebellum with its pear shaped body, shrinkage often leads to a great change from the original appearance. Likewise, in the pyramidal cells of the cerebral cortex the process of shrinkage often leads to a clearly evident change in form.

In many types of nerve cells the question of whether there is a decrease in size during the process of aging is a difficult one to settle and few really quantitative studies have been done. The complicated shape of the cells, as in the case of the large motor cells of the spinal cord, makes it difficult to measure the size of the cell body and the processes. In some cases the shrinkage is not uniform, but is more pronounced in certain parts of the cell.

Shrinkage often appears to be a more or less acute process, or even to be brought about by the actions of the satellite neuroglia cells.

In many places change in size of the cells in the nervous system may be evidenced by an increase in the size of the pericellular lymphatic space, the cell which was once a part of the tissue. In some cases the shrinkage is so pronounced that the cell body is reduced to a small white spot, and the processes are reduced to a few fine lines.

For example, in the inferior olive of the medulla oblongata and in the dentate nucleus of the cerebellum, the pericellular spaces in old individuals particularly are so large and constant as to be a characteristic feature.

Changes in the size of the cell body and processes

Decrease in size is not the only size change which may be observed in the nervous system.

In some cases, during the degenerative process, they may cause an actual increase in the size of the cell body or of parts of it (fig. XI 4). Such is true in the case of the accumulation and increase in size of droplets of fat in certain ganglion cells. Such also seems to be the case in some instances of accumulation of pigment. This, of course, is no more an increase in the actual living substance of the cell than is the increase in size of an undifferentiated mesenchyme cell as it develops fat droplets, as they coalesce, and as the huge single droplet of fat gradually is developed within the substance of the cell.

Shrinkage of the cell, then, which generally is more clearly evident in the case of the nerve cells, is a more or less regularity which is seen in many cases, and is often to a jagged

It is natural that a number of investigators should have given attention to the question as to whether or not age changes are seen in the nerve cells in man. Indeed several papers had described changes as of a disintegrative and atrophic nature before the beginning of the present century. Among these were Hodge (30) and Robertson and Orr (13). In more recent times Andrew (3) and Andrew and Cardwell (13) have described degenerative changes of Purkinje cells and cells of the cerebral cortex in old age in the human subject. Truev (53) has shown a very definite process of degeneration and loss of cells in the human trigeminal ganglion with advancing age.

Riese (41) found considerable destruction in a group of brains from 18 subjects ranging from 77 to 107 years of age, but these were mental cases. Kuhlénbeck (33) has found degenerative changes throughout the cerebral cortex of the rat, the changes being very similar to those which have been described for man. Rothschild (11) described his findings in 21 cases of individuals 66 years to 100 years old at the time of death. Again he dealt with subjects with senile psychoses. He did find widespread alterations in nerve cells in the cerebral cortex in all cases and some decrease in number.

Kuntz (31) described changes in the autonomic ganglia which are primarily degenerative in nature and include in their end stages actual loss of individual cells together with some increase in the amount of interstitial tissue in the ganglion. He pointed out that the same types of change which he describes are seen also in many pathologic conditions. Kuntz and Sulkin (35-36) stressed the role which the satellite cells play in some of the degenerative processes in the autonomic ganglia.

Of great interest are the findings on degenerative changes in the nerve cells of various parts of the human brain by Oskar and Cecile Vogt (55). These workers and their disciples in more recent years have contributed a large amount of entirely new information in this field of which we shall have more to say later in this paper. It has been my privilege to spend some time in the laboratory of the Vogts and to see at first hand some of the evidence for their conclusions.

Having listed here the names of some of the major contributors of the studies on degenerative changes of nerve cells in lower animals and in man we may turn now to the details of the actual changes themselves.

CHANGE IN SIZE, CELL OUTLINE AND RELATIONSHIP TO SATELLITE CELLS

The term commonly used in referring to the change in size which comes about in the nerve cell body with advancing age in many parts of the nervous system is shrinkage. Frequently this is manifested in a conspicuous and striking way. Thus where the normal or young form of the cell is well known and characteristic, as for example in the case of the Purkinje



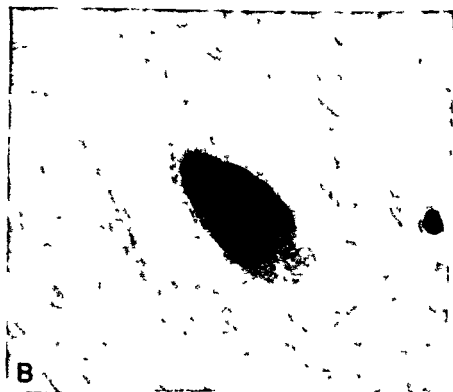
Fig. VI. Large
human subject in
of pigment which
Professor Oskar

(Courtesy of

DEGENERATIVE CHANGES IN THE NUCLEUS OF THE NEURON

We may now consider the nucleus of the nerve cell during the aging process. Our own studies (see above) had shown nuclear differences between young and old animals which while they were not universally present

A



B

Fig. 113 *A* cell from the dentate nucleus of a 29 year-old man. The cell body is large nucleus and nucleolus clearly seen. At this age pigment is present in moderate amounts in some of the cells *B* cell from the dentate nucleus of a 78 year old woman. The cell body is small the nucleus obscured and a large accumulation of pigment appearing here as a mass of vacuoles is present at one end of the cell. Cresyl violet $\times 140$.



Fig. XI-4-1
human subject
of pigment
Professor Dyk

DEGENERATIVE CHANGES IN THE NUCLEUS OF THE NEURON

We may now consider the nucleus of the nerve cell during the aging process. Our own studies (see above) had shown nuclear differences between young and old animals which, while they were not universally pres

ent still were criteria of aging in a good general sense. Thus it was noted in the Purkinje cells and in the pyramidal cells of the cerebral cortex and also in the ganglion cells from the trigeminal ganglion that whereas the differentiation of the nucleus from the cytoplasm seen at low power is very sharp in the young animals it becomes increasingly less so with advancing age and in senile animals the borderline is often difficult to make out at lesser magnifications. Extension of these studies to the tissues of human subjects showed a similar difference but the exceptions were more marked for instance in the cerebellum and to some extent in the cerebral cortex of individual aged human subjects we have seen many cells with very clear vesicular nuclei standing out well from the general cytoplasm. Nevertheless as a generalization concerning change of the nucleus with age the statement of this decrease in differentiation between nucleus and cytoplasm holds true. It is due actually to two factors: 1) the general decrease in the amount of *Nissl material* in the cytoplasm and 2) a tendency to a greater basophilia of the nuclear sap in the nucleus in the senile nerve cell. The nucleolus in the nucleus of the nerve cell generally is paler in the old animal and sometimes shows interesting changes some of which may be degenerative or signs of exhaustion and others which may indicate as we shall mention later *reactive* defensive changes.

Since many nerve cells are in process of degeneration we may expect to find nuclei in various stages of actual death and dissolution. As long ago as 1911 Dolley described in senile dogs cells which seem to lack a nucleolus or even an entire nucleus such cells being extremely distorted and staining atypically. In regard to size there are no consistent figures for the relationship of the nucleus to the cell body with advancing age. General observations would indicate that in many cells it is smaller in the old animal than in younger animals for any given group of cell. In others again perhaps as a reactive process it may be unusually large.

The shape of the nucleus in the senile nerve cell is more frequently atypical in the sense of being elongated somewhat irregular or angular in outline and occasionally lobated. Again there are many cells in which the well rounded shape of the nucleus is retained.

In old age the position of the nucleus within the cell may be greatly altered. This seems to be due however not to any intrinsic tendency of the nucleus to shift position but rather to the accumulation of the inert materials in the cytoplasm. Thus accumulations of pigment in the apical portion of the cell may force its nucleus farther toward the basal portion while accumulations in the basal portion will force a centrally located nucleus apically. This is true especially in the case of the pyramidal cells. In the case of the accumulation of vacuoles of fat as shown clearly in the trigeminal ganglion the nucleus is gradually pushed off far to one side just as in the

case of the development of a fat cell. Here, of course, the nucleus also is distorted in shape and may be last seen as a crescent shaped body.

CHANGES IN THE CYTOPLASM AND ITS CONSTITUENTS

Turning now to the cytoplasm of the senile nerve cell, one of the cytoplasmic components which long has been recognized as of great importance in the nerve cell is the Nissl material. The striking changes which this material undergoes under various physiologic and pathologic conditions has made it serve as a good criterion of the condition of the neuron. As we all know, in conditions such as poliomyelitis and other neurologic diseases, the Nissl substance may almost or entirely disappear from the body of the nerve cell. On section of the nerve, cutting the axones of a particular group of nerve cells, there often is clear-cut chromatolysis or dissolution of this material.

Just as in many of the neurons of older animals. This is the type of change which does not seem to be specific for a particular group of cells but which appears to be widespread or even universal in the nervous system, both central and peripheral.

It is a striking change, since in many of the cells in youth, as in the large motor cells of the spinal cord, in the Purkinje cells, or in the pyramidal cells of the cerebral cortex, the Nissl flakes are so conspicuous (fig. XI 5). In senile animals, cells of this type may be almost completely lacking in Nissl substance. On the other hand, there will always be some which have retained a fairly large amount of Nissl material. According to our observations, Nissl flakes may be retained in approximately the same size and architectural pattern as in youth in some cells.

decreased as though there might

we have felt to be of particular

recent findings with the electron microscope on the fine structure of the neuron. Palay and Palade are of the opinion and show convincing evidence for their view that the Nissl substance is composed of at least two

one structure of the flakes could be maintained while the basophilic component, the extremely minute granules scattered in the second component, a system of fine canals, were decreased in amount.

The relationship between the amount of Nissl material and the presence of inert substances—for we cannot think of the Nissl material as being inert—is of interest. In general, the amount of Nissl material seems to decrease as the pigment increases. On the other hand, cells may be seen in

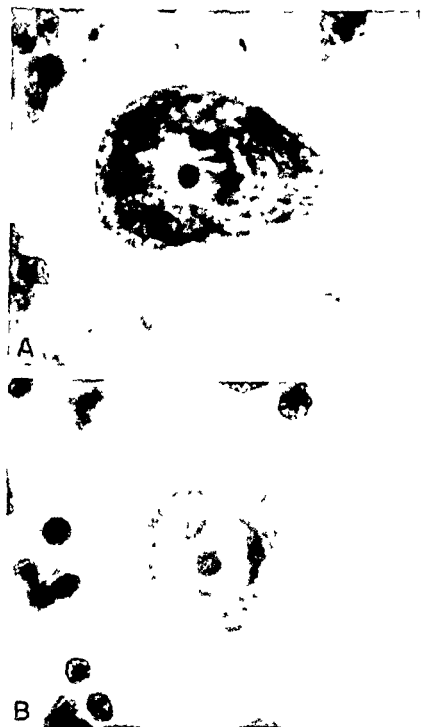


Fig. VI-5 A Purkinje cell of a 53 year old man showing a great abundance of deeply staining Nissl substance. B Purkinje cell of a 78 year old woman with well rounded outline but scanty Nissl substance. Note that the small nuclei in the vicinity are as deeply stained in B as in A. Cresyl violet $\times 1195$.

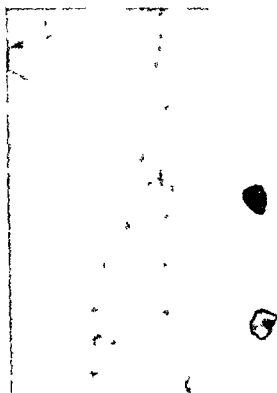


Fig X
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which there is a large accumulation of pigment in one portion with well preserved Nissl granules in the other portion. In such cells however Nissl granules are decreased in total number.

The second group

The pigment
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the way from a relatively light golden brown through various shades of brown toward dark brown and indeed sometimes almost black in appearance. As is well known there are certain groups of nerve cells which contain a pigment throughout all or almost all of the postnatal period.

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1-

Fig. 117 Cell of the substantia nigra of a 41 year old man. In these cells where melanin pigment is present in large quantities in young subjects and does not represent a phenomenon of age the Nissl bodies appear as abundant, long and deeply staining flakes (Cresyl violet $\times 119$).

Histochemical studies on the human autonomic ganglion cells by Dr Norman Sulkin in our department tend to confirm earlier observations on the difference in the nature of the aging pigment from the pigment found in these localized groupings of cells. The pigment of senescence does not give the reactions which are typical of melanin, such for instance, as the bleaching by strong oxidizing agents such as potassium permanganate, hydrogen peroxide and sodium hydroxide. Rather, the age pigment is resistant to this type of change. A number of other histochemical tests also serve to distinguish the two pigments. It may be pointed out, however, that Levi (37) states that lipofuscin, like all lipochrome pigments, consists of a nucleus of melanin surrounded by a lipid component, so that some genetic relationship between these pigments is at least conceivable.

What, then, is the nature of the pigment which appears in increasing amounts in the cells of many parts of the nervous system with advancing age? The best evidence at present is that it is of the nature of a ceroid, similar to the insoluble pigment which is found in cirrhotic livers. While this pigment generally appears in the form of granules, it has been our experience to see it also in what appear to be vacuolar formations. In fact, as we shall see later, the amount, appearance, and distribution of the pigment

vary according to the specific type of nerve cell at any given age, at least in man

The accumulation of lipofuscin pigment in nerve cells like many of the other changes which we are describing as occurring with advancing age, are not to be thought of as *specific* changes. In other words pigment accumulation can and probably does occur in a variety of conditions other than that of old age and in fact such accumulation is one of the common non-specific changes in pathologic states. To my mind however, this does not make the gradual accumulation during the aging process any less important for the study of that process particularly as the time of its appearance and the degree of its accumulation seem to be rather constant for special groups of nerve cells even when pathologic conditions do not complicate the picture.

I have mentioned the finding of the accumulation of fat in the trigeminal ganglion both in lower mammals and in man. Vogt (59) believes that fat can make its appearance in the nucleus and even in the nucleolus of the nerve cell. This may of course have to do with its later accumulation in the cytoplasm or such accumulation may be independent of the development of fat within the nucleus. In any event nerve cells from a number of localities in man may show large vacuoles of fatty material accumulating with advancing age. Thus among the large pyramidal cells there are always some of these cells degenerating through fatty change in individuals of advanced age. While the picture of fatty change with the presence of large frequently coalescing vacuoles is quite different from that of the accumulation of the lipofuscin pigment there may well be some definite connection between the two which has not yet been elucidated. In fact accumulation of fat in the nerve cell is one of the more conspicuous of the degenerative changes. According to Vogt and his disciples (see particularly Buttler Brentano (21)) certain groups of cells in the hypothalamus never show true fatty degeneration but do show lipofuscin accumulation. (Nucleolus + fat)

Another material like the Nissl material is specific for the nerve cell is the neurofibrillar complex. Many of the early investigators on nerve cells had described fine fibrils coursing through the cytoplasm of the cell. They have been described in cells of many invertebrates of vertebrates and of man. Nevertheless in recent times

it is seen that Palay and Palade (40) believe that they have seen with very high resolution with this type of instrument struc-

tures in the cytoplasm of the neuron which probably represent the neurofibrils of classical cytology

The type of neurofibrillary change known as Alzheimer's disease originally was supposed to be related only to presenile dementia but later indications are that it is fairly widespread in senile brains of human beings in general. It consists in an hypertrophy and complication of the pattern of the neurofibrillae often with formation of whorls and baskets of these elements. Sosr (18) recently has made a study of the deposition of lipochrome (lipofuscin) pigment and correlated it with a peculiar change in the neurofibrillae which he describes as neurofibrillar degeneration. This degenerative process involves a dissociation and agglutination of neurofibrils and ends with a total dissolution of these elements. The course of the change runs parallel with the accumulation of the lipofuscin pigment.

Turning to some of the elements which are common to all or almost all cells we find that studies have been made upon the Golgi apparatus and mitochondria of the nerve cell with advancing age but that such studies are up to the present very few in number. Andrew (4) found the Golgi apparatus in the Purkinje cells of young mice to occur as a large well developed reticular structure composed of coarse threads while in senile animals it was represented by a mass of argentophilic granules of varying shapes and sizes distributed irregularly in the cell. The susceptibility of the Golgi apparatus to change was shown in experimental studies by Sulkin and Kuntz (52) in which the reticular structure was altered to a granular one as a result of long electrical stimulation and by induced hypertension. This work was done on the cells of autonomic ganglia. Not only did the apparatus become granular in many cells but in many others it seemed to vanish entirely.

A great deal of attention has been paid recently to the mitochondria in various types of cells particularly since the discovery that associated with these tiny elements are many of the enzymes important in the economy of the cell. Mitochondria of the nerve cell however have been a somewhat vexed problem partly on account of the difficulty of the technical procedures to demonstrate them. In fact for a number of years during the beginning of the present century there was considerable doubt in the minds of many investigators as to whether or not the nerve cells in adult animals possessed such elements. Recently several papers have appeared concerning the mitochondria of the nerve cell in relation to age. Hess and Lansing (29) studied the spinal ganglion cells in a group of guinea pigs ranging from new born to senile. Their work was done with the electron microscope. They found some mitochondria which seemed to be degenerating at all ages examined and concluded that the condition of the mitochondria does not serve as a good criterion of the stage of the aging process in nerve cells. Andrew (9-11) has studied the nerve cells from several regions of the cen-

tral nervous system, including the Purkinje cells of the cerebellum, the pyramidal cells of the cerebral cortex and the large motor cells of the ventral horn of the spinal cord in the mouse, using pedigreed animals of the C57 Black stock. Our findings include a fairly consistent type of change from a predominance in the younger animals of the long filamentous or long rod like type of mitochondrion in these three types of cells toward a short rod and granular type, frequently even spheroidal or bead like form, in the cells of the senile mice (figs. XI 8 and XI 9). These studies are continuing.

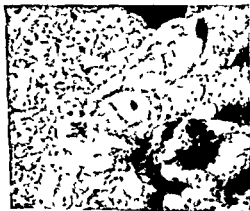


Fig. XI 8 Purkinje cell of a young male mouse of the C57 Black strain age 6-10 months. The cytoplasm shows long filamentous mitochondria together with some thicker rods. The molecular layer is above and to the left the granular layer with its mitochondria rich glomeruli below and to the right. Regaud's fixation, acid fuchsin stain $\times 1600$.

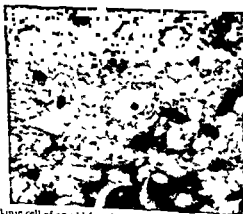


Fig. XI 9 Purkinje cell of an old female mouse.

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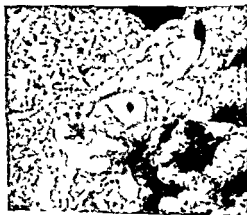


Fig XI 8 Purkinje cell of a young male mouse of the C57 Black strain age 10 months.

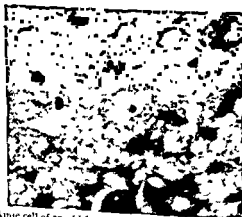


Fig XI 9 Purkinje cell of an old female mouse of the C57 Black strain age 19 months. The mitochondria appear chiefly as short rods and granules although some filaments are present. Preparation of specimen and orientation of layers as in preceding figure $\times 1260$.

We have discussed the salient changes which have been seen by various investigators in relation to the size shape and outline of the nerve cell body in relation to the characteristics of the nucleus and nucleolus and in relation to the cytoplasm and its various components including Nissl material pigment fat neurofibrillae Golgi apparatus and mitochondria. We turn now to a consideration of the processes of the nerve cell the elongate extensions of its cytoplasm which we know as the dendrites and the axons. It will be recalled that of these the axon is the more specialized and that the dendrites partake more of the characteristics of the cell body. Nissl material and even Golgi apparatus extend part way out into the dendrites. Mitochondria on the other hand are found both in the axon and dendrites.

In regard to quantitative studies on the numbers of axons or actually the number of nerve fibers in given nerves or nerve roots some of the same difficulties occur as in relation to the quantitative studies on the number of nerve cells at different ages. Corbin and Gardner (23) have shown a decrease in the number of dorsal root fibers with advancing age after the third decade in man.

There appears to be an increasing complexity of form with the appearance of new processes, *i.e.* short dendrites scattered over many parts of the surface of the cell with increasing age. This to begin with is not a senile phenomenon since it may begin fairly early in life. Conti (22) has shown that in the sympathetic ganglion cells of the heart this complication in form occurs in early adult life while after fifty years it seems to cease and in fact there appears to be a slight regression in volume of the cell and in richness of the processes in old age. A morphologic complication of the nerve cells is reported in the submucous and intermuscular plexuses of Meissner and Auerbach in the gastro intestinal tract of man the process apparently continuing up through middle age according to Borsello and Cavazzani (18). Levi (37) cites a number of Italian workers who have found increasing complexity with age in the processes of cells of the autonomic system. In some cases this increasing complexity seems to be correlated with the degree of accumulation of pigment. Thus for example in the ciliary ganglion where no pigment accumulates even at a late age the number of prolongations remains constant even in advanced age.

In many mammals as in the ox sheep and the goat many polydendritic cells are found in old specimens. Such cells have been particularly well demonstrated in the feline dentate. There is a marked new formation of delicate short branches arising from all parts of the surface of the cell body. It would seem that at least part of this formation of new processes is concerned with an increase in the surface of the neuron which may help to compensate for the poor supply of tissue fluid or blood in the senile animal.



Fig. VI 10. Aberrant dendrite of a Purkinje cell in a senile mouse—a 634 day old male. The dendrite proceeding from the small dark cell on the left is seen to be hypertrophied and to contain numerous vacuoles. Regaud fixation, acid fuchsin stain. $\times 1260$.

On the other hand, according to Truex, cells with aberrant processes in the trigeminal ganglion probably represent degenerate neurons. Such atypical cells may be found here in varying numbers at all ages, even in normal ganglia; however, they are more common in old age. A curious phenomenon of end bulb formation on the ends of certain of the dendritic processes also is seen in senility, according to Truex (53). We have seen a thickening and vacuolisation in dendrites of some Purkinje cells in aged mice (fig. VI 10).

SPECIFICITY OF THE AGE CHANGES IN PARTICULAR GROUPS OF NERVE CELLS

As we survey the broad general field of the literature on the study of age changes, both that which comes to us from the early workers in the field and that which is the product of more recent years of work in this country and abroad, we are impressed not only with the occurrence of a number of changes which appear to be common to all groups of nerve cells.

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... the degree to which the process at times and even in the type of change occurring as a result of senescence in different tribes or species of nerve cells. Let us consider a few examples of this phenomenon of specificity by enumeration of the changes which are known to occur in particular groups of cells.

In the large motor cells of the ventral horn of the spinal cord pigment

accumulation is a noteworthy phenomenon. While this pigment accumulation is widespread in the nervous system, it does vary considerably in its degree—that is, in the number of cells involved and in the severity of the involvement of individual cells—from one part of the nervous system to another. In the large motor cells it has been found by Andrew (8) that there is no pigment in these cells in mice of 10 days of age and pigment in less than 5 per cent of the cells in animals of middle age (312) days. In senile mice the accumulation of yellowish brown pigment in the motor cells was conspicuous in every case and indeed the majority of the cells in old animals had half or more of the volume of the cytoplasm filled with pigment while practically every cell showed some of this material. This work on experimental animal material is in agreement with earlier work done on human autopsy material by a number of authors including Nonne (39), Sander (16), Huegel (27), and Stern (50). For the rest, the motor cells show the general changes which we have described as being common for many cell groups, such as decrease in Nissl material, change in staining capacity of the nucleus and the nucleolus, and the greater frequency of occurrence of satellitosis. What we wish to emphasize, however, is the consistency of the development of the accumulations of the lipofuscin pigment in these cells.

In contrast to the motor cells of the spinal cord, we may next point to another group of cells which at first thought might be considered as resembling to a fair extent these cells—namely, the Purkinje cells of the cerebellum. These also are large efferent cells which send out impulses which after relay by other groups of cells reach the skeletal muscles of the body. Here, however, we find a totally different picture in relation to the accumulation of pigment. Accumulation of lipofuscin is a very rare phenomenon in the Purkinje cells of the human cerebellum. In examining some three score specimens we have seen it definitely in only one instance. In the laboratory animal also pigment is very seldom seen in these cells and again we have on record only one animal in which it has been seen, out of many specimens, and here it was present in only small amounts in individual cells. The presence of pigmented Purkinje cells in old age in even a few cases, however, indicates the tendency which they, like so many other nerve cells, have to accumulate such pigment. It seems only that the tendency is less, or perhaps that the resistance of the cell is greater. In this relation it is interesting to turn back to an early paper by Dolley (26). In this work he brought about depression in the nerve cells of dogs and rabbits by several methods such as ether anesthesia, morphine administration and heat. Studies also were made on Purkinje cells the axons of which had been cut. In states of profound depression thus brought about experimentally, Dolley was able to witness the formation of abnormal pigmentation in the



Fig. 111. Binucleate Purkinje cell in a senile mouse: a 634-day-old male. Each nucleus has its nucleolus. Preparation as in figs. 118-1110. $\times 2106$.

Purkinje cells. Of Dolley's view of the origin of such pigment as front with the nucleus we need to say little. The whole question of the origin of pigment needs further careful study even at the present time. Here then, the motor horn cells and the Purkinje cells we have two species of nerve cells which while they resemble each other in many ways in the processes of senescence yet differ radically in respect to the degree of accumulation of the age pigment.

A striking and peculiar phenomenon among the Purkinje cells, which has been described as occurring in old age in mice and rats and in certain pathologic conditions in man is an actual amitotic division of the nucleus (fig. 111). In this process the nucleolus constricts and divides, the nucleus becomes horseshoe shaped or dumbbell shaped and then comes apart.

more s

a line

... a division of the nucleus—a formation, as it were of an internal nuclear membrane in the central plane of the nucleus. It has been suggested (10) that this type of amitotic division through an increase in nuclear surface actually is a means of survival of the senile cell. We shall see later that some other parts of the nervous system show phenomena similar to this and add further evidence to the intriguing concept of a defense reaction against the phenomena of senescence.

A third group of cells—those of the dentate nucleus of the cerebellum—are

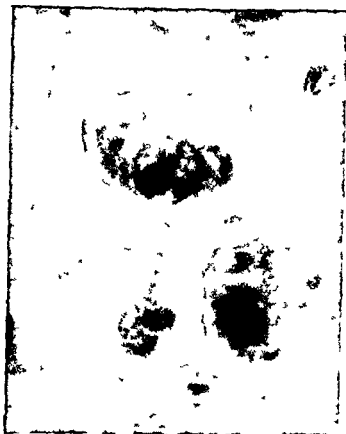


Fig. XI 12 Cells of the inferior olive of a 20 year old man. The nuclei and nucleoli are well seen. Pigment is present in fairly large amounts in cells of the olive even in young persons but the contrast with the same type of cell in a senile person will be seen by comparison with fig. XI 13 (Cresyl violet $\times 140$).

seen in old age to contain very large accumulations of pigment which seem greatly to have affected the form of the cell and the position of the nucleus (fig. XI 13). A recent study by Hopker (31), a student of Oskar Vogt, has concentrated on the changes in the dentate nucleus.

In the large and conspicuous nucleus of the medulla which we know as the inferior olivary nucleus (fig. XI 12) the pigment has a peculiar history. It seems to appear almost simultaneously in all the cells of this large nucleus at about the seventh year of life in the human being and thereafter to increase gradually until about thirty years and apparently much more slowly after thirty years. This is a striking example of early appearance and very long term accumulation of the age pigment (37).

In the thalamus an interesting relationship is seen among the nuclei in relation to the time of onset and speed of the aging process as evidenced particularly by the actual loss of nerve cells (fig. XI 19). The central part

of the thalamus becomes affected earliest. Here the nucleus parvocellularis begins to show a loss of cells. As the change becomes more marked in it, a similar change begins then in the nucleus medio-cellularis and proceeds there. Meanwhile the nucleus parafascicularis may be not at all affected as yet, but as the medio-cellularis becomes more severely affected, the parafascicularis begins to show change. Thus, there is a very clear-cut difference in the onset and rate of aging in these adjoining nuclei of the thalamus.

The special areas of the central nervous system which contain cells heavily laden with melanin pigment, namely the substantia nigra and the locus coeruleus, also show special types of age changes. These consist in a decrease in old age in the amount of the melanin pigment present so that in some cells it is completely lost (fig. VI 14) and the appearance within the cytoplasm as the pigment disappears of peculiar 'Masson positive' inclusions often of great size.

The nuclei of the hypothalamus show perhaps the most impressive and striking incidence of specificity of age change seen anywhere in the nervous

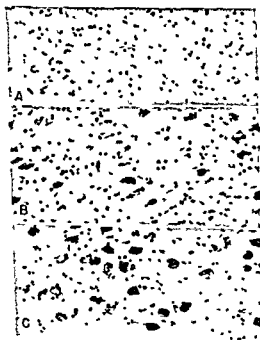


Fig. VI 13 Difference in rate of degeneration in nuclei of the thalamus of an 82 years old. A. Nuc. parvocellularis, moderate degeneration. B. Nuc. medio-cellularis, moderate degeneration. C. Nuc. parafascicularis, low degeneration. Cresyl violet. Low power.

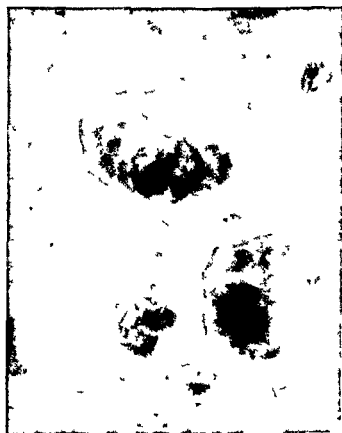
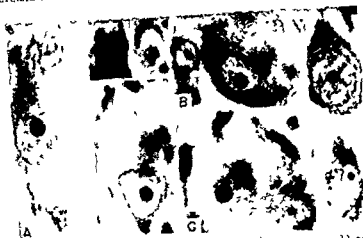


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one as a giant cell the other with a giant nucleus & two cells with p u & a giant and a small cell Cresyl violet Approximately $\times 100$



Fig. VI 16 Multinucleate cells from the supraoptic nucleus of aged subjects. A binucleate cells showing differences in the two nuclei B binucleate cell in the first two pictures different foci of a trinucleate cell in the last three pictures C a cell with four nuclei shown at different foci Approximately $\times 600$

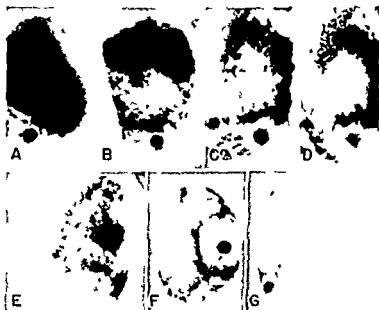


Fig. 114 Disappearance with age of the melanin pigment in the locus coeruleus. Progressive steps seen in A B C D E F and G. Cresyl violet \times approximately 600.

system. According to recent findings (21) there is almost no evidence of real degenerative change in the cells of the nucleus supraopticus and of the nucleus paraventricularis.

In these two nuclei of the hypothalamus Butler Brentano states that she finds no cell destruction (*Zelluntergang*) whatever. Also there is no accumulation of lipofuscin in any of the cells nor any other degenerative type of cell change except that in some single nerve cells there is decrease of Nissl material accompanied by vacuolation decreasing mass of substance of the cell body and after a period of hyperchromatosis of the nucleus apparently a regression of this element. In other words some indications of cellular degeneration are evident but no evidences of cell destruction. The curious and important finding for these cell groups is the occurrence of certain reactive or defensive phenomena which seem to have to do with counter action against the influences which lead on to senescence and ultimate destruction of the nerve cell.

What are these reactive phenomena? They appear to consist in several types of changes in the cell. First in many of the cells of these nuclei in older individuals increase tremendously in size so that they become what may well be called giant cells (fig. 115) having a surface area of 8 to 10 times the size which these cells have on an average in younger individuals. Second a number of cells are seen which have two or more nuclei in the cell—multinucleate cells (fig. 116)—which by the increased surface area of the nucleus for exchange with the cytoplasm would be in a better position to

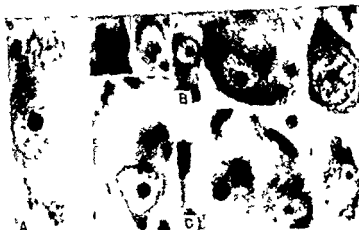


Fig 115 Giantism of cells an apparent reactive phenomenon in old age in the supraoptic nucleus A four cells from an 87 year old subject which were in close proximity showing the great variation in size B two cells from an 86 year old subject showing one as a giant cell the other with a "giant" nucleus C two cells in close proximity a giant and a small cell Cresyl violet Approximately $\times 600$

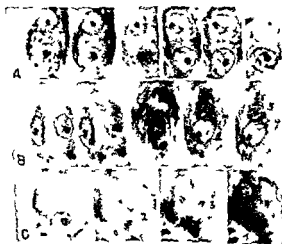


Fig 116 Multinucleate cells from the supraoptic nucleus of aged subjects A b nucleate cells showing differences in the two nuclei B b nucleate cell in the first two pictures different foci of a trinucleate cell in the last three pictures C a cell with four nuclei shown at different foci Approximately $\times 600$

... various cells two three four and even up to six nucleoli (fig 117) can be found in a single nucleus Fourth

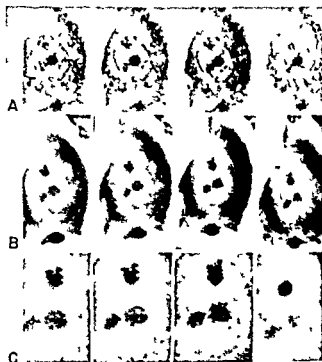


FIG. 11. *A* nerve cell with multiple nucleoli (1) in the supraoptic nucleus of a senile subject. *A* cell stained with acid fuchsin and light green: the largest nucleolus stained red; the other three green. $\times 600$. *B* same with cresyl violet stain: all nucleoli staining blue; various foci seen. $\times 600$. *C* same at $\times 1200$.

there is frequently an increase in the basophilic properties of the nucleus apparently due to an increase in the amount of ribonucleotide, which can bring the nucleus to a stage which resembles pyknosis but which represents rather, than degeneration, a type of reactive process (fig. XI 18). Butthar Brentano had a large amount of good material with which to work, sixty-four brains of individuals from the collection in the Institute for Brain Research of Professor Oskar Vogt. Of the sixty-four specimens nineteen were from individuals ranging from 77 to 100 years of age.

Recently, in studying the substantia nigra in some senile brain material we have obtained what we consider good evidence of a similar reactive process in cells of this large area. The evidence for reaction here includes a tendency to division of the nucleus (fig. XI 19) and also a tendency to multiplicity of nucleoli which often are of several rather widely differing sizes (fig. XI 20).

In the cells of the higher centers of the brain—in the gray matter which forms the cerebral cortex—as well as in the lower centers we find definite changes with age (fig. XI 21). Butthar (11-16) studied particularly the large pyramidal cells in the fifth layer of the Area gigantopyramidalis of



Fig. 1118. Pyramidal cells of 83 years old heavy accumulation of lipofuscin pigment in the basal portion of the cell body, displacing the nucleus probably a reactive or compensatory phenomenon. Cresyl violet, X approximately 1000 (Courtesy of Professor Oskar Vogt)

the human brain. The large pyramidal cells and the giant cells (cells of Betz) have been divided by Balthasar into two categories: namely the centronuclear cells in which the nucleus occupies approximately the center of the cell body, and the basonuclear cells in which the nucleus occupies the basal portion of the cell body (fig. 1122). In these two types of cells the location of the accumulating lipofuscin pigment is different. In the centronuclear cells it accumulates basal to the nucleus, eventually forming a large, fairly well circumscribed mass there and tending to move the nucleus toward the apex, while in the basonuclear cells it accumulates in the apical portion of the cell, tending to move the nucleus eventually basalwards.

The zone of cytoplasm in which pigment tends to accumulate has been called by this author the lipophil zone of the cell. Accumulation of pigment is a marked feature of the aging process in these pyramidal cells of the cerebral cortex. It is pointed out, however, that the beginnings of this accumulation may be at a fairly early age; thus, for instance, in the age group of 100 years, the beginning



Fig. 1119 Substantia nigra of 78 year old woman. The large cell shows a nucleus of double character, a type of amitosis which is brought about by formation of an internal partition. (Cresyl violet $\times 119$.)



Fig. 1120 Substantia nigra of 78 year old woman. This cell shows three nucleoli. Multiple nucleoli are very seldom seen in younger individuals. (Cresyl violet $\times 600$.)

18 showed some such pigment in this individual. In 11 centronuclear pyramidal cells only 1 showed pigment and in 100 bisynuclear pyramidal cells 36 showed pigment. In advanced age the pigment-containing centronuclear giant cells showed a ratio of 15:1 to the nonpigment-containing, the bisynuclear pigment-containing giant cells showed a ratio of 5:1 to the nonpigment-containing, the centronuclear pyramidal cells showed a ratio of 22:1 to the nonpigment-containing ones and the bisynuclear pig-



Fig 171 General views of motor cortex. *A* in a young individual. *B* in a senile individual. *C* in a case of senile dementia. Cresyl violet, low magnification. (Courtesy of Professor Oskar Vogt)

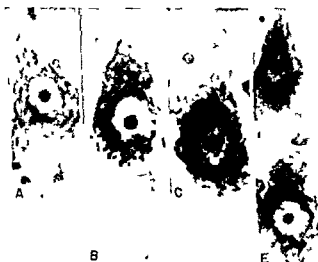


Fig 172 Lipophil cells in large neurons.

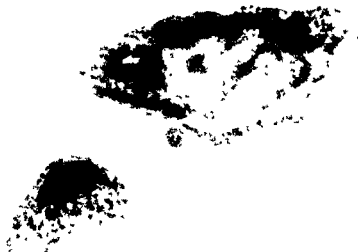


Fig. A119 Substantia nigra of 78 year old woman. The large cell shows a nucleus of double character—a type of amitosis which is brought about by formation of an internal partition. Cresyl violet, $\times 1105$.



Fig. A120 Substantia nigra of 78 year old woman. This cell shows three nucleoli. Multiple nucleoli are very seldom seen in younger individuals. Cresyl violet $\times 900$.

18 showed some such pigment in this individual. In 11 centronuclear pyramidal cells, only 1 showed pigment, and in 109 bisonuclear pyramidal cells 36 showed pigment. In advanced age the pigment-containing centronuclear giant cells showed a ratio of 15:1 to the nonpigment-containing, the bisonuclear pigment-containing giant cells showed a ratio of 5:1 to the nonpigment-containing, the centronuclear pyramidal cells showed a ratio of 2:2:1 to the nonpigment-containing ones and the bisonuclear pig



Fig. 11.21 General views of motor cortex. *A* in a young individual, *B* in a senile individual, *C* in a case of senile dementia. Cresyl violet, low magnification. (Courtesy of Professor Oskar Vogt)

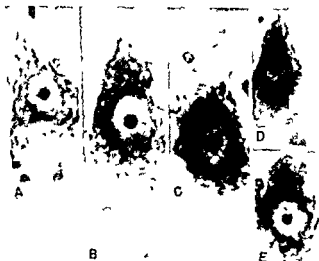


Fig. 11.22 Magnified views in large pyramidal and giant cells of the two types *A* and *B*. *A* contains clear giant cell, *C* has nuclear giant cell, *D* contains nuclear giant cell, *E* contains clear giant cell. Cresyl violet, $\times 149$. (Courtesy of Professor Oskar Vogt)

ment containing pyramidal cells showed a ratio of 1.5:1 to the nonpigment containing. While these figures are not based on very large numbers of cells and while they do not deal with the same numbers of cells counted in order to arrive at proportions, the great increase in the numbers of pigment containing cells is readily recognized.

The changes occurring in various areas of the cerebral cortex have been recognized by a number of authors. We ourselves have been struck particularly by the phenomena of increased satellitosis and what appears to be neuronocytolysis of a number of the cells (figs. VI 23 and VI 24). In recent personal observations we have been able to see for the first time also an evidence of a transfer of pigment from the nerve cell body to the satellite cell (fig. VI 25). Our observations agree well in relation to the accumulation of the lipofuscin pigment with the findings by Balhansen.

It is interesting to note that Kuhlensbeck (33) found that increase in lipoid containing pigment is a less prominent feature in the nerve cells of the cerebral cortex in senile rats than in those of senile man, for he witnessed



Fig. VI 23. Satellite cells (Fig. VI 23) densely pigmented (Fig. VI 23) of a large pyramidal cell. 78 year old male. (Cresyl violet, $\times 900$).



Fig. VI-24 Remains of a nerve cell probably a giant cell of Betz. Fragments of cytoplasm and groups of lipofuscin granules are seen with a large number of satellites aiding in the lysis and disposal of this neuronal debris. 78 year old woman. Cresyl violet $\times 149$.

frequent conspicuous accumulation of lipofuscin in the large pyramidal cells in man and very seldom in these same cells in the rat, although he states that spinal and cranial ganglion cells of the aged rat do show a rather marked increase of lipoid and that the nerve cells of the brain stem show some accumulation also. Wolcott (60) working with most of the

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In this regard it is interesting to note that Levi (37) believes that the accumulation of pigment in the nerve cells as well as some of the other changes with age are more marked in the human species than in other mammals.

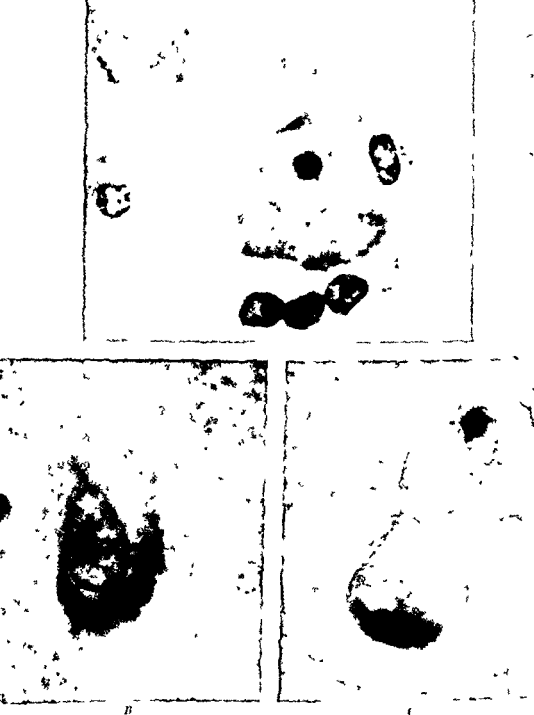
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and weiner (55) stands out as a conspicuous phenomenon. More work needs to be done in order to understand how widespread this phenomenon



B

C

Fig. 1129. Some aspects of the accumulation of the age pigment, lipofuscin, in human nerve cells. *A*, giant cell of Betz in the motor cortex of a woman of 78 years. Small amounts of pigment are present in the nerve cell, but significantly, one of its satellites that on the right contains prominent pigment granules. We believe that satellite cells actually remove such material to some degree at least from large nerve cells. (Crossed at left = 139 \times). *B*, cell of the inferior olive of a 20-year-old man. The pigment is scanty in amount. The nucleus and nucleolus are prominent. Masson's stain. (111 \times). *C*, cell of the inferior olive in a 78-year-old woman. Pigment is abundant and the nucleus is obscured. Masson's stain.

is in various of the sensory ganglia and in different species of animals, but the findings in the ganglia which have been examined show a more striking fatty change than has been seen in any other types of cells in the nervous system

In the autonomic ganglia, on the other hand, investigators have failed to find this type of change. In fact, the cells of the autonomic ganglia seem to show chiefly the types of age change which we have described for nerve cells in general, the decrease in the Nissl material, the deposition of pigment and degenerative changes which frequently lead on to a dissolution of the cell with the occurrence of neuronophagia in moderate degree, brought about by the satellite cells of these neurons, cells which apparently correspond to the oligodendroglia of the central nervous system. Nevertheless, the phenomenon of specificity enters here also for in the autonomic nervous system certain ganglia seem to behave differently from others. Thus we have already mentioned the fact cited by Levi (37) that the ciliary ganglion seems surprisingly free of pigment even at an advanced age and that, apparently parallel with this lack of pigment, there is a general lack of other types of age change in this ganglion. In contrast, the superior cervical ganglion is one of the parts of the nervous system which shows the earliest changes of age and which reaches a degree of degeneration attained in few if any other parts of the system.

While such differences in the time of onset and the degree of accumulation of pigment appear to be fairly constant for the different parts of the nervous system, it is certain also that there are individual variations and in some cases the relative times in two parts may even be reversed, as for instance, according to Levi (37) again in the anterior horn of the spinal cord and in the cerebral cortex, where either one or the other may be precocious in the development of pigment. Again, there is a report of an individual of 81 years in which all of the neurons of the central and of the peripheral nervous system appear to be free of pigment. Unfortunately Levi does not cite a reference for this case, which might be of considerable interest.

SUMMARY AND CONCLUSIONS

As is true in so many other fields of scientific effort, the total picture which we now have of the aging process in the nervous system has been the result of the work of a large number of investigators working in many different laboratories and indeed in many countries. From their efforts has developed a fairly clear picture of the slow process of change which neurons undergo through the life history of the individual. Thus, our picture of aging in the nervous system is based on many well founded observations at the present time, and these observations are so numerous

and so confirmatory of one another in many instances that we are able even to do some theorizing about the senescence of nerve cells

The degenerative changes which we have here described particularly for the human nervous system but also applying to other mammalian species such as the mouse rat and the dog present a consistent although somewhat complicated pattern They show both a loss of material such as the Nissl substance and an accumulation of material such as the age pigment They show changes both in the appearance of the cytoplasm and of the nuclei They show a tendency to a change in relationship between the nerve cell and the neighboring neuroglial cells or satellites of the neuron They show in many cases frank degeneration and actual death of cells with their disappearance from the scene They demonstrate also a *specificity* in the aging process in groups of nerve cells in different parts of the nervous system in regard to time of onset severity of change and even type of change which occurs

But now beside this side of the picture of the aging process in the nervous system that of degeneration and death we have attained to a view of an additional aspect of the aging process—one in which we see a certain amount of reaction—of defensive or compensatory change on the part of individual nerve cells In laboratory animals Inukai (32) and Andrew (2 8 10) have demonstrated a process of amitotic division of the nucleus in one type of cell the Purkinje cell of the cerebellum and Andrew (6) and others have shown this process to occur in pathologic conditions in man The extremely important work of Oskar Vogt and his disciples has indicated that a variety of definitely defensive activities occurs in the nuclei of the hypothalamus with advancing age and that these defensive activities are effective in preventing actual degeneration or death of the cells in the suproptic and paraventricular nuclei It is of immense interest that these reactions include division of nuclei by amitotic division as in the case of the Purkinje cells Nucleolar reaction the division of nucleoli appears also to be a form of defensive activity and makes it seem logical to look closely for phenomena involving the nucleolus when we are considering this type of change in nerve cells It seems probable that the presence or absence of defensive changes in nerve cells will depend in different species upon different factors Surely it appears to be different in the case of the Purkinje cells of man and of the rodent Studies are needed also on many other parts of the nervous system as on the substantia nigra and other areas in which there are some indications of this type of reaction

These new developments in studies on the nervous system should be of great interest and stimulation for further investigations They should make our role as seekers of some means of retarding or ameliorating the aging process seem to be one which is based on firmer ground for now

we can be thought to be acting not only against the degenerative process which is apparently part of the great scheme of nature but also as attempting to aid a process in which the cells themselves are engaged, namely, the defense against the process of degeneration of which we are beginning to see dimly at least some indications in our studies on nerve cells in old age. Thus our own efforts will seem to be more in accord with those of nature.

DISCUSSION

DR. RAYMOND C. TRUX [Philadelphia, Pa.] It is a privilege to address this august group and to talk on a subject that has interested me for the last twenty years.

This has been an excellent survey of neuronal changes with age by one of the principal investigators in a field of research which is somewhat complex because of the tremendous number of technical difficulties that are involved.

Dr. Andrew has pointed out that some progress has been made. Indeed, a great amount of progress has been made by the use of certain morphological techniques as well as histochemical methods. We are aware of structural alterations that occur in the nucleus and cytoplasm of the cell including Nissl bodies, Golgi apparatus, inclusions, and mitochondria. The most significant changes, I think, are largely those in the nucleus. For many things may happen to the cell in the interval between the time of death and the process of fixation which in itself automatically causes certain morphologic changes. However, when we add up the total amount of data which we have to date, we are aware that we actually have a very meagre amount of quantitative data which will tell us just how much and how great has been the destruction of the nerve cell in any individual part of the nervous system.

Our own studies during the forties led us to believe that many of the observed ganglion cell changes in the nervous system were secondary to altered blood supply and hence poor nutrition. It is possible that the changes in the peripheral nervous system might be better

of sensory neurons. By contrast, there seemed to be much less degeneration in the structure of the nerve cells of the autonomic ganglia. The cells are smaller and there is a tremendous loss of material.

Dr. Andrew has intimated that the changes in the autonomic ganglia might be an artifact in studies

on animal cells with large amounts of storage material in the cytoplasm are more vulnerable and succumb. The lethal factor may be too much reserve material inside the cytoplasm of susceptible nerve cells and for too long a time.

It is conceivable that the fatty degeneration which we observed in the peripheral nervous system with old age is a chemical and not a metabolic change. It is possible that the changes in the peripheral nervous system are of the type

cells and other parts of the body with age we know so little actually of total values which can tell us of the exact nature and type of such pigmentation.

Dr. Andrew referred to the supernumerary processes which one sometimes sees in senile neurons. It has been stated by many that such bizarre outgrowths of the neurofibrillae may be explained in one of two ways: 1) that they represent outgrowths of the cell in an attempt to increase its absorptive surface for gaining of nourishment or 2) that they represent attempts at regeneration on the part of the cell following irritation or injury.

Recently we attempted to evaluate these two factors by correlating the postmortem changes on sensory ganglion cells of 19 humans with the known clinical diagnosis. Differential cell counts in which we classified 1000 cells per specimen on each of the two sides following neurologic staining were made in cases of severe infection, severe burns, lues, anemia, diabetes, and alcoholism. In each category we failed to find a neuropathologic picture that was characteristic of the given clinical diagnosis. Although many atypical cell types were found, the normal ganglion cells predominated in each instance.

For example, in five cases of anemia, 89 per cent of the cells were normal, whereas in two cases of severe burns, 96 per cent of the neurons were normal. These findings do not bear out the belief that extraneous outgrowths represent a quest for nourishment as in the case of anemia, or a response to irritational damage or toxins to the individual nerve cell as borne out by the case of facial burns. I might add here that I am well aware that this is an inadequate sampling.

The multinucleation or increased number of nuclei within an individual cell, as Dr. Andrew has shown us this morning, is an interesting and yet unexplained finding. It is a common observation in the autonomic nervous system, particularly in the pelvic ganglia, as was shown by Beaton, Holmes, and Windle. Such cells which often had four or five nuclei were common at all ages, and in one instance had nine nuclei. We ourselves have seen goodly numbers of such multinucleated cells in the cranial autonomic ganglia of the human newborn. In view of the fact that we find such multinucleated cells throughout life, I am somewhat hesitant to designate these cell changes as due to aging or that they may represent a defensive reaction.

Two brief comments I believe should be made here on neurologic technique. Bauch and Wyburn, you may recall, have recently shown that some nerve cells are extremely formalin sensitive. Susceptible neurons become hydrated and enlarged during the process of formalin fixation and then undergo shrinkage and become angular and dark when dehydrated through alcohol. This could explain some of the decrease in neuron size, increased cell angularity, and the pericellular spaces which we have also found to be common in our own unperfused human material.

Secondly, one must also cast a judicious eye at some of the Nissl methods which are used. A technician will often overstain the microscopic sections and then differentiate and decolorize in alcohol. This decolorization will continue if the dehydration steps are prolonged. Thus one can produce some very pale, washed-out cells. To eliminate this technical artifact we now use the gilliovanin method exclusively, for with this method one may overstain but one can never decolorize or destain and thus produce such chromatolytic cell changes.

It is suggested that we might better begin our neurologic studies of aging with the tissues of the fetus and newborn. Such an approach would undoubtedly provide us with a more cumulative appreciation of many of the structural alterations which Dr. Andrew has designated as a defensive reaction of the cell against aging.

Neuron changes with age probably represent a long-term experiment on the part of nature. The components of each system of the body are influenced to a greater or less degree by the cellular activities of the other systems of the body. Sieglitz has recently

published a provocative little paper which I recommend to you in which he has listed the causative influences of a disease as due to predisposing, provoking or perpetuating factors. I might point out that all three of these factors may have played a very active role in producing the final morphologic picture of senility in nerve cells.

Let us examine the neural elements at all ages to gain reliable quantitative data. For as Stieglitz noted, the young have had no yesterday while the old have suffered the wear and tear of many yesterdays.

If more quantitative morphologic data be one aim for the continued research in this field, certainly the second should be to correlate such changes with the antemortem functional reserve of the nervous system or a given group of neurons. More precise instru-

Such a neurological inventory can best be obtained through an interdisciplinary approach. Here particularly we need an approach that utilizes the combined methods of neuroanatomy, physiology, biochemistry, pharmacology, pathology and all the clinical subjects. Until such anatomic and physiologic correlation is at hand, we can only philosophize on such basic questions as the following: Are different cell groups of the nervous system endowed with a more remarkable compensatory mechanism than others? Does the observed nerve cell destruction with age represent only a partial loss of nature's surplus neural elements that may have been present at birth?

WILLIAM F. WINDLE [Bethesda, Md.] Our present concept of age changes in the brain is clouded by the confusion of the character of the changes in the individual nerve cells may comprise little more than 4 per cent of all cells of the cerebrum.

I shall not deal with effects of disease. Postmortem changes are visible in nerve cells one-half hour after death and by three hours the cells show rather marked alterations including loss of basophilia and vacuolization of the cytoplasm—alterations which some writers have ascribed to aging. Because the commonly used fixing fluids penetrate tissue very slowly, immersion of the brain or even pieces of it does not prevent postmortem changes from continuing in the interior.

Furthermore, immersion fixation in formalin produces shrinkage and hyperchromatosis of neurons throughout very small pieces of brain and at the surface of larger blocks of tissue. A well-proven method with which danger of these changes is minimized.

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people like Charles Darwin and Einstein. Newton and others did involve some philosophizing.

It is true that there is only a partial loss of nerve cells, and it is difficult to say just what this means in terms of function in different parts of the nervous system.

In regard to Dr. Windle's very interesting comments, I think that the picture of the nerve cells as seen after perfusion fixation of the material which was studied certainly presents a more optimistic future for the guinea pig in relation to old age than the majority of students of the human brain have found for man. These were animals from a very well kept laboratory, the laboratory of Dr. Rogers at Louisville. Certainly, the perfusion method is a most interesting one. I have felt sometimes in cases of study by means of perfusion that there should be controls, using the material from the same or similar animal by immersion technique in each case. In any event, of course, it is difficult to hope to obtain any large amounts of human material fixed by means of perfusion of the nervous system.

Certainly, it is true that some changes were seen even with such fixation, and Dr. Windle remarks that the only 'striking' difference was the accumulation of pigment, perhaps implying that there were some other differences.

With regard to the degree of satellitosis at different ages, that question is still somewhat unsettled. Surely in the human brain the impression has been that satellitosis increases with advancing age. Of course we are dealing here with a very complicated situation. We are dealing with human beings who have died from various conditions and with varying clinical pictures. We have tried to present this paper in the form of a survey of what has been learned, what has been concluded from a study of a very large amount of material. There is no way to prove that changes at the present time are due to purely "physiologic" aging. What we are talking about are changes that occur in old age or changes that are visible in old age and not in the younger age groups.

With regard to the important question by Dr. Merritt concerning the other portions of the nervous system besides the nerve cell, some studies have been made. There have been recent findings by Dr. Kuhlénbeck at Woman's Medical College of changes in the sciatic nerve with degeneration of some of the fibers in old age and the appearance of large phagocytic cells within this nerve. Studies on the astrocytes, the microglia, and the oligodendroglia are difficult to make. It is hard to be sure that one has an effective technique in making these studies. But there are some early reports of age changes in the glial cells by some of the Spanish histologists of the Cajal school.

With regard to the nature and the reality of these changes, some, of course, are open to definite criticism and question; others it seems to me are rather difficult to set aside as being due to difference between types of fixation or other techniques. For instance, one hardly can feel that the use of one fixation technique rather than another would lead to the presence of fat in certain cells.

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In conclusion I want to sound a note of caution in interpretation of results obtained by uncontrollable histopathological techniques. When methods are properly applied to avoid postmortem and fixation artifacts surprisingly little in the way of age changes can be detected in healthy animals' nerve cells.

DR H. HOUSTON MERRITT [New York, N. Y.] I would like to ask Dr. Andrew how extensive a study has been made of other elements of the nervous system to determine if they are an index of the aging process, particularly the glia, the blood vessels and the myelin sheaths.

RAYMOND ADAMS [Boston, Mass.] Dr. Merritt has already asked my question but I would add to his list the amyloid bodies that are found in the aging nervous system. I too would certainly follow the line of thinking that Dr. Windle has presented. I have seen some of Dr. Vogt's material and felt that there had not been ample control of postmortem change, fixation artifact and things of that sort. The question then of how many of these very subtle changes in the neurons are reliable indices of disease must always be kept in mind.

There is another problem, however. Assuming that these changes are reliable, what do they mean? What do they mean in terms of reactivity to some disease process or compensation to a disease process? How does one decide whether a cell change is compensatory? That is a question that deserves comment. And then assuming that you can decide that question, how do we determine that these changes are specifically related to the process of aging, inasmuch as every animal and every human has been assailed by an endless series of diseases and metabolic changes? That is one of the vexing problems in this whole field, and I frankly admit that I am confused about it.

DR WILLIAM MAJUMDAR [Boston, Mass.] I would like to continue the question that Dr. Adams raised and particularly ask how much do we know about the clinical picture of the people whose brains were examined? With the 500 brains that Dr. Vogt has and 25,000 sections in each brain, how much clinical material does he have? If he doesn't—well, I feel it is just too bad to have Dr. Lorge yesterday telling us about very minute studies of the psychologic picture and Dr. Andrew and Dr. Truex telling us about the histologic changes and yet no attempt made to bring them together.

DR AUGUSTUS ROST [Los Angeles, Cal.] I would like to ask how the morphological changes described by Dr. Andrew relate to those shown many years ago by Dolley in the Purkinje cells of the dog's cerebellum?

DR WARREN ANDREW In regard to the discussion by Dr. Truex, the point is very important that multinucleation of cells does occur in certain parts of the nervous system at all ages and this is well recognized. It again points up the fact that we must talk about individual groups of cells rather than about nerve cells in discussing some of these age changes. As a matter of fact, in the nuclei of the hypothalamus we do have multinuclear

functional significance of these changes and that the use of the combined disciplines is highly to be desired in such studies.

There is necessarily a certain amount of philosophy and philosophizing bound in with our present views on aging of nerve cells and this may be a bad feature, but in many fields of science philosophizing is of extreme importance and of course the work of

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1917 Frankly I have always thought a great deal more of Dr. Dolley's work than many of his critics have. His studies were made by methods which some of us feel are a bit antiquated today but he had beautiful pictures of changes in Purkinje cells as a result of normal senescence and of fatigue and actually there has been a fair amount of correlation of our own findings with the findings by Dr. Dolley on the dog.

I do feel that the truth in this question of the degree of change in nerve cells in old age probably lies somewhere between the stand which some investigators and I have taken that there is a fairly large amount of change in nerve cells and the findings by Doctor Windle with the perfusion techniques that there is relatively little change. I certainly do not think that this is an all or none question and only the future will decide which of the present views is the more correct one.

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CHAPTER XII

HYPERTENSIVE AND ARTERIOSCLEROTIC VASCULAR DISEASE OF THE BRAIN IN THE ELDERLY

JOSEPH M. FOLEY

However the word *elderly* may be defined the result is arbitrary, and therefore unsatisfactory. In this study the biblical age of three score and ten is the arbitrary choice since there are enough patients surviving above the age of 70 to give some meaning to certain numerical data which will be presented. Eleazar's four score and ten and Job's one hundred and forty years are almost equally famous but obviously impractical for present purposes.

The poet, the philosopher and even the biologist will not be satisfied with this chronologically determined definition but none of them has provided the criteria for any other. The old saw that a man is as old as his blood vessels has only an incomplete justification. A youthful set of blood vessels may be providing nutrition to a very degenerated set of nerve cells so that functionally the man is old in awareness and responsiveness and will never regain the physical or mental attributes of youth. We are old or young only in quantitative terms of our awareness and our responsiveness. When the definition as here is chronologic, the emphasis is on the actuarial feature of life expectancy.

Arteriosclerosis is defined as a degenerative change in arteries of any

"but not with or without atheromatous change in blood vessels. These definitions will be to some as arbitrary as the definition of *elderly* but they are necessary to limit the scope of the discussion. The *elderly* patient with arteriosclerosis or hypertension almost always has some degree of *structural* change in the cerebral blood vessels. Arteriosclerotic or hypertensive vascular disease of the brain is considered to be the effect of these degenerative processes upon brain tissue in terms of either loss of nervous function or destruction of nervous tissue.

The patient who has survived for three score and ten has had seventy years of opportunity for challenge by trauma, infection, neoplasia and metabolic error. But as he outruns these pursuers he is headed off by the

- 43 ROBERTSON AND ORR The normal histology and pathology of the cortical nerve cells (especially in relation to insanity) *J Ment Sc* 44 729 1898
- 44 ROTHSCILD D Pathologic changes in senile psychoses and their psychobiologic significance *Am J Psychiat* 93 757 1937
- 45 ROTHSCILD D The clinical differentiation of senile and arteriosclerotic psychoses *Geriatrics* 2 155 1947
- 46 SANDER M Untersuchungen über die Altersveränderungen im Rückenmark *Deutsche Ztschr f Nervenhe* 17 369 1900
- 47 SCHIFFER D Sur l'action réparatrice du noyau des cellules nerveuses *J f Hirnforsch* 1 326 1941
- 48 SOSA J M Aging of neurofibrils *J Gerontol* 7 191, 1952
- 49 SIEGEL A Über die degenerativen Veränderungen in der Kleinhirnrinde im Verlauf des Individualcyclus von *Cavia cobaya* *Marcgr Zool Anz* 79 173 1928
- 50 STERN K Beitrag zur Histopathologie des senilen Rückenmarks *Ztschr f d ges Neurol u Psychiat* 155 513 1936
- 51 SULZIN N M Histochemical studies of the pigments in human autonomic ganglion cells *J Gerontol* 8 435 1953
- 52 SULZIN N M AND KUNTZ A The golgi apparatus in autonomic ganglion cells and peripheral neuroglia and its modification following stimulation and induced hypertension *J Neuropath and Exp Neurol* 7 151 1918
- 53 TRUEX R Observations on the chicken gasserian ganglion with special reference to the bipolar neurons *J Comp Neurol* 71 473 1939
- 54 TRUEX R Morphological alterations in the gasserian ganglion cells and their association with senescence in man *Am J Path* 16 211 1910
- 55 TRUEX R AND ZWEMER R W True fatty degeneration in sensory neurons of the aged *Arch Neurol & Psychiat* 48 988 1912
- 56 VOCT C AND VOCT O Ageing of nerve cells *Nature London* 158 1916
- 57 VOCT C AND VOCT O Fine neurohistologische Beleuchtung der Nucleusfunktion *Biol Zentralbl* 65 1916
- 58 VOCT C AND VOCT O Lebensgeschichte Funktion und Tätigkeit regulierung des Nucleolus *Arzneimittel Forsch* 1 8 1917
- 59 VOCT O Personal communication
- 60 WILCOX H H Changes accompanying aging in the brains of guinea pigs *J Gerontol* 6 (Suppl to No 3) 168 1951

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These changes and their effects upon the brain will be considered in more detail when lacunar infarcts are discussed. The larger blood vessels at the base of the brain may not be affected by hypertension and it is not uncommon to see normal vessels in the circle of Willis when the hypertension has been severe enough to cause a fatal ganglionic hemorrhage. When atherosclerosis is present in the circle of Willis hypertension may potentiate the degenerative effect of the atheroma with the production of aneurysmal malformations.

For all practical purposes the effect of arteriosclerotic vascular disease upon the brain is infarct necrosis. Conversely, almost all instances of infarct necrosis of the brain in the elderly are related to arteriosclerotic vascular disease since so-called cerebral thrombi arise in arteriosclerotic cerebral vessels and cerebral emboli arise in arteriosclerotic hearts or great vessels. Further, the extent of the destructive effects of thrombi or emboli in cerebral vessels is conditioned strongly by the relative patency of other cerebral vessels which in turn is a function of the extent of arteriosclerotic degeneration which they have sustained.

Atherosclerosis of the basal vessels of the brain can sometimes reach such a magnitude even when not aneurysmal that compression of cranial nerves may occur with visible flattenings and indentations. It is surprising how infrequently a loss of function can be documented in such cases especially in those cases in which the optic nerve and chiasm are compressed (8). Presumably the slow development of the compression and distortion allows the nerve fibers to adapt.

In recent years there has been understandable impatience with what has been called the "plug and spasm" school of thought in cerebral infarction. Denny Brown (9) has proposed the term "hemodynamic crisis" to cover the

acute insufficiency, and Millikan and his co-workers (11) have been referring to "acute intermittent insufficiency of cerebral vessels."

The hemodynamic crisis in one form or another precedes all infarction in the sense that there is a time interval in which dysfunction of tissue is still reversible. It is well known that an area of brain deprived completely of blood for more than a few minutes will undergo irreversible necrosis. It is less well known that incomplete degrees of

taneous event in
there may be some

or some residual oxygen in blood

degenerative diseases. The big challenge of the degenerative diseases of later life is to the blood vessels. Most feared and most disabling is the threat to the vessels of the brain for when dementia or paralysis takes over a man can no longer control his own destiny. Old people do not fear death as much as they dread the steps leading to it. (1)

Several reviews and symposia in recent years have concerned themselves with the nature and etiology of arteriosclerosis and hypertension. Great strides have been made in our knowledge but therapy is still in the experimental stage even though some approaches seem more reasonable than others (2-3). No preventive and no cure for degenerative vascular disease of the brain is in the foreseeable future and a large task remains in trying to learn the mechanisms by which hypertension and arteriosclerosis exert their effects on brain tissue. An excellent critical review of cerebrovascular disease with emphasis on recent practical additions to clinical and pathological features was published in 1953 (4).

Hypertension may affect brain tissue in a variety of ways. The most dramatic and violent of its manifestations is hemorrhage into brain substance. Indeed brain hemorrhage in the absence of malformation, trauma or blood dyscrasia is invariably due to severe and long-standing hypertensive vascular disease. The mechanism of rupture is still unknown. Charcot's military aneurysms are no longer considered to be an adequate cause (5). No more convincing as a cause is the artificial situation of experimental infarction preceding acute rise in blood pressure (6). Although some few human cases may correspond to this experimental situation the lesions are more reminiscent of severe hemorrhagic infarction than true brain hemorrhage.

Hypertensive encephalopathy is a crisis in the course of hypertensive disease in which headache, coma, convulsions, eyeground changes and varying degrees of renal failure make their appearance in a patient whose already high blood pressure has gone up acutely. The pathologic lesions in the brain consist largely of swelling, military infarcts, petechial hemorrhages and evidence of increased vascular permeability superimposed upon more chronic vascular lesions. The presumption is that this crisis in the course of the disease is due to an acute increase in intraluminal pressure (7) involving not only the cerebral but the visceral vessels as well. Hypertensive encephalopathy is very rare in the elderly. The reason for this is not clear. It is not only that the severe hypertensives die earlier since we shall see that cerebral hemorrhage is not infrequent in the older patient.

The earliest morphologic change in the brain in hypertension is in the smaller arteries which become thickened by cellular proliferation and then hyalinized. In some instances this reaction may become necrotizing

TABLE XII 1
Age distribution of cases

| Age | 433 Cases Over 70 at Autopsy 33.1 per cent male 46.9 per cent female | 334 cases over 70 with Brains Examined 31.4 per cent male, 48.6 per cent female |
|-------|--|---|
| 70-74 | 31.6 per cent | 30.8 per cent |
| 75-79 | 29.8 per cent | 29.0 per cent |
| 80-84 | 24.7 per cent | 25.4 per cent |
| 85-89 | 9.7 per cent | 9.9 per cent |
| 90-94 | 4.2 per cent | 4.5 per cent |

Of these 334 cases 131 or 39.2 per cent, had infarct necrosis or hemorrhage attributable to hypertension or arteriosclerosis

TABLE XII 2
Type of lesion in 131 patients with hemorrhage or infarct

| Lesion | No | Per cent |
|---------------------------------|----|----------|
| Hemorrhage | 12 | 3.6 |
| Infarcts Recent | 58 | 17.4 |
| Infarcts Old | 87 | 25.8 |
| Infarcts Old and Recent | 21 | 6.3 |
| Hemorrhage with Old Infarcts | 2 | 0.6 |
| Hemorrhage with Recent Infarcts | 0 | — |

tion of the brain was carried out in 334, or 77.1 per cent. Table XII 1 demonstrates that at least in terms of sex and age this group of 334 cases in which brains were examined is representative of the total in which autopsies were carried out. Recent infarcts were present in 58, or 17.4 per cent of the total. Table XII 2. Old infarcts without recent lesions were present in 89 or 26 per cent. In 21 cases or 6.3 per cent, there were both recent and old infarcts. Of the 58 recent infarcts, we have tried to determine how many were the principal cause of death. An infarct may be the cause of death because of its size as in cases of massive hemispherical infarction (fig XII 1) or because of its location as in the vertebral basilar distribution (fig XII 2) or because of a functional disability which permits of easy fatal complications. Using these criteria there were 40 cases or 68.9 per cent.

100 cases or 12.0 deaths (27.9 per cent) and malignant tumors for 90 deaths (20.8 per cent).

That 10 per cent of patients died of a cerebral infarct is of course no index of the morbidity produced. Every patient who sustained a cerebral in

vessels in and near the cerebral areas whose integrity is threatened. Necrosis of nervous tissue is brought about by the duration and intensity of the ischemic attack. The resolution of the hemodynamic crisis is favorable or unfavorable depending upon the intensity of the attack and the speed with which adequate perfusion is restored.

Fixed collaterals are the main line of defense against infarction of brain tissue. When they are anatomically inadequate as for example in the origin of both anterior cerebral arteries from the internal carotid of one side, an infarct may be disastrous in its extent. But the margin of safety is usually considerable for not only are there anastomoses in the major vessels of the circle of Willis but there are other meningeal anastomoses between the branches of these major trunks (12). It is likely that such anastomotic connections are effective if the occlusion of a vessel is sufficiently gradual. In the sudden occlusion produced by embolus there is infarction in the total area of supply of the vessel beyond the point of lodgment of the embolus. But in the gradual occlusion produced by concentric atherosclerotic obliteration or stenosis of a vascular lumen no infarct may be present under normal circumstances. When occlusion has been gradual or perhaps even when it is incomplete the collaterals from other patent vessels take over to protect the area of compromised supply. These collaterals are effective only up to a point. Their failure depends upon several factors the most important of which are their own relative patency and the effectiveness of the general circulation.

The mechanisms by which collaterals succeed and fail are not understood completely more information about them will be essential for an understanding of the mechanisms of cerebral infarction.

FREQUENCY

With these preliminary considerations in mind we might now consider the incidence of arteriosclerotic and hypertensive vascular disease of the brain in the elderly patient in our time. To this end we have reviewed the autopsy records of the Mallory Institute of Pathology for the year 1954. It is obvious that there is a degree of selection in such a series. For one thing the patients are dead. For another although the population of a City Hospital is generally older than that of other kinds of institutions it is not as likely to include a large number of chronically demented patients such as might be seen in a similar age group from a mental hospital. On the other hand autopsies in a City Hospital population are likely to give a rough appraisal of the pathological processes in the general population.

In the year 1954 1050 autopsies were performed at the Mallory Institute on patients who had died at the Boston City Hospital 133 or 11.2 per cent were in patients 70 years of age or older. Of these 133 cases examined

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Fig XII - Extensive infarction of the rostral pons in a 2 year-old woman who died within 24 hours of her collapse. The right cerebellar hemisphere was also infarcted. The lower picture shows the degree of narrowing of the basilar artery below the level of the lesion.



Fig XII 1 Massive infarction of the right hemisphere in an 81 year old hypertensive woman due to embolic occlusion of the right internal carotid artery. There were bilateral tentorial herniations and mid brain crush. Death occurred within 48 hours of the onset of symptoms.

first is disabled to some degree as are many others who are threatened with infarction without sustaining it.

Of the 331 cases in which brains were examined 12 hemorrhages were found. In all but one the hemorrhage was the cause of death. In every one of these cases there was hypertensive heart disease as manifested by heart weight of 400 grams or over. In these 331 cases there were 51 instances of hypertensive heart disease so that over one quarter of hypertensive patients died of cerebral hemorrhage in this age group. There is apparently no age beyond which hypertension may not produce brain hemorrhage. Three of our patients were over 85 and one over 90.

This then has been the dark side of the picture, that almost 10 per cent of patients who live to the age of 70 or over will show some effect on their brains of hypertension or arteriosclerosis. There is however, a brighter side. Not all of these lesions caused death, nor indeed did all produce significant disability. And of the 331 cases there were 203 (over 60 per cent) in which no infarct and no hemorrhage occurred. The last two columns of table XII 3 show the relative age distribution of the affected and the unaffected groups. Except for a lower incidence of involvement in the

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Fig. XII-2 Extensive infarction of the rostral pons in a 72 year old woman who died within 72 hours of her collapse. The right cerebellar hemisphere was also infarcted. The lower picture shows the degree of narrowing of the basilar artery below the level of the lesion.

TABLE VII 3

Age distribution of cases with and without cerebral vascular lesions

| Age | At Autopsy (431 Cases) | Brain Examined (314 Cases) | No Infarct or Hemorrhages (203 Cases) | Infarct or Hemorrhages (131 Cases) |
|-------|---------------------------|-------------------------------|---|--|
| | n | % | % | n |
| 70-74 | 137 31.6 | 102 30.8 | 70-34.5 | 32 24.4 |
| 75-79 | 129 29.8 | 98 29.0 | 58 28.6 | 41 31.3 |
| 80-84 | 107 24.7 | 85 25.4 | 50-24.6 | 35 26.7 |
| 85-89 | 42 9.7 | 33 9.9 | 19 9.4 | 14 10.7 |
| 90-94 | 18 4.2 | 15 4.5 | 6-2.9 | 9 6.9 |

earlier age groups there is no significant difference in age distribution. Since we are here analyzing a negative factor, the absence of a lesion, this figure of 60 per cent may be high, but at least it would appear that very many people can attain very advanced years without any striking pathologic changes in their brains which are attributable to hypertension or arteriosclerosis.

Another instructive feature to be gained from studying autopsy material relates to the other pathologic states which occur with degenerative vascular disease of the brain. Most obvious and probably most important is the state of the rest of the vascular system. Arteriosclerotic heart disease in our material is considered to be present when there is coronary artery disease with old or recent myocardial infarction, myocardial fibrosis or arteriosclerotic valvular thickening. With these criteria, arteriosclerotic heart disease was present in 83 per cent of 133 patients over 70 and in 92 per cent of 131 cases in which either infarct or hemorrhage was present in the brain. Not all of these cases were symptomatic, but in our 133 patients death was due to cardiac failure or myocardial infarction in 28 per cent. Monroe (1) has reported on the incidence of heart disease in 7911 patients over 61 in the years 1913-1918 at the Peter Bent Brigham Hospital. In this group, younger than our own, he found clinical heart disease in 55 per cent and at autopsy in 72 per cent.

This high incidence of heart disease means that we must direct our attention to the heart in all cases of vascular disease of the brain but especially in the elderly. Auricular fibrillation or myocardial infarction with embolic occlusion of a brain vessel is easily recognized. Less well recognized are the episodes of sudden neurologic disability consequent upon myocardial infarction, coronary insufficiency, angina pectoris and changes in cardiac rhythm. These events set in motion a chain of circulatory derangements, the least complex of which is the blood pressure drop of myocardial infarction. The cerebral result of these events in the presence

of stenosis or occlusion of a cerebral vessel is a hemodynamic crisis which may produce localized loss of brain function

Case 1 An 83 year old woman suddenly collapsed at home and became unconscious immediately. Within several hours she was brought to the hospital. She was pulseless and without blood pressure and died before other observations could be made. At autopsy there was found an early myocardial infarct without mural thrombi. There was extensive early infarction of the tegmentum of the pons and midbrain, the left thalamus and both occipital lobes (fig. XII 3). The basilar artery was markedly narrowed by atheroma and contained a newly formed fibrin clot.

Case 2 An 88 year old woman had angina pectoris. She was admitted following the sudden onset of left hemiplegia with unconsciousness. She made a moderately good recovery over the next several days. Three days later she was alert, oriented and lucid and her hemiplegia was clearing. It continued to improve until she died suddenly while eating, eleven days after her original collapse. She had never complained of chest pain or discomfort. At autopsy there was infarction of the anterior wall and the septal portion of the left ventricle, considered by histological criteria (13) to be consistent with an eleven day infarct. In the brain there was recent incomplete infarction in the distribution of the right middle cerebral artery which was narrowed but not occluded by atherosclerosis (fig. XII 4). The very sclerotic right internal carotid artery was occluded at its terminus by a ruptured atheroma (fig. XII 5). A reconstruction of the clinical events would suggest that the cerebral lesion was the result of the hemodynamic derangement produced by the acute myocardial infarct in a cerebral vascular system already compromised by atherosclerosis.

Such cases as these illustrate that there are mechanisms other than embolic occlusion by which the cardiac events may produce cerebral infarction. In the first case the infarction in the basilar distribution was conditioned by the stenosis almost to obliteration of the basilar artery. Adequate as this narrowed lumen and its fixed collaterals may have been prior to the myocardial infarction, the tissue was unable to survive the diffuse circulatory derangement of a shock producing myocardial infarct. The role of the fibrin thrombus was intermediary at most, indeed it may be possible to regard it as only another effect of the local ischemia produced by the myocardial infarct.

In the second case the occlusion was in the internal carotid artery, but the infarction was in the distribution of the middle cerebral artery, which was not completely occluded, although it was very stenosed. Ruptured atheroma in the cerebral vessels has not been associated previously with hypotension but Mallory and Kinney (14) have reported it in the coronary arteries as a consequence of bleeding peptic ulcer. They advanced the hypothesis that rupture occurs as a consequence of the pressure difference produced by the lowered intraluminal pressure.

The cardiovascular system is not alone

TABLE VII 3

Age distribution of cases with and without cerebral vascular lesions

| Age | At Autopsy (411 Cases) | Brain Examined (314 Cases) | No Infarct or Hemorrhage (203 Cases) | Infarct or Hemorrhage (111 Cases) |
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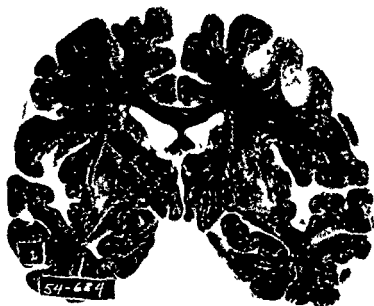


Fig XII-1 Case 2 Incomplete softening in the distribution of the right middle cerebral artery in an 88 year old woman with an 11 day old myocardial infarction

and many others can be cited in our material as precipitating events in cerebral infarction. Behind all of these looms the least common denominator of cerebral arteriosclerosis contributing by stenosis to a situation favoring hemodynamic crisis and thwarting by impaired collaterals the success of the adaptive mechanisms which prevent infarction.

The diagnosis, treatment and prophylaxis of cerebral vascular disease in the elderly demand that we direct our attention not only to the events in the cerebral vessels but to those extracerebral events which produce diffuse circulatory effects. We must reject the idea that cerebral infarct or even cerebral thrombosis may arise *de novo* and *sine causa*. Documentary evidence regarding hypotension as a cause of the unsatisfactorily resolved hemodynamic crisis is attainable in a certain number of cases, but there are many other possible causes of reduced vascular perfusion of a compromised cerebral area which are less readily documented. Decreased cardiac output, alterations of cardiac rhythm and increased vascular resistance result in reduced cerebral blood flow but in the critical event leading to cerebral infarction their presence often can be only surmised. Indeed in acute myocardial infarction the diffuse circulatory derangement is complex and factors other than systemic and cerebral hypotension may be contributory. Modern studies in cerebral vascular disease must ask the



Fig VII-3 Case 1 80 year old woman who collapsed suddenly and died within several hours. At autopsy there was found an early myocardial infarct without mural thrombi. There was early infarction of the tegmentum of pons and midbrain, the left thalamus and both occipital lobes.

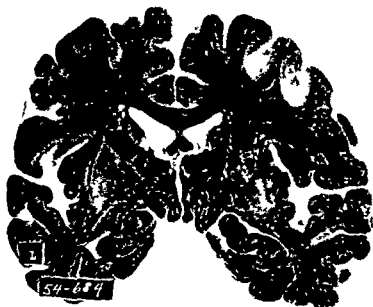


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Fig VII-3 Case 1 80 year old woman who collapsed suddenly an 1 hours At autopsy there was a large early myocardial infarct with a There was early infarction of the tegmentum of pons and midbrain the left both occipital lobes

These lesions have been considered by some to be the anatomic substrate of common motor disorders of the elderly including *marche à petit pas* and even parkinsonism. The severe grades of lacunar degeneration of the lenticular nucleus are very rare in our own material but lesser degrees of change are not uncommon in the elderly patient (fig VII 6).

When the lacunar state is present to any degree in the putamen there usually are lacunar changes elsewhere. The griseum pontis, the thalamus and the centrum semiovale and other favored sites. When this process occurs in younger patients they are almost invariably hypertensive, although some are diabetic. We have not seen such change well developed in a younger non-diabetic or non hypertensive and if it occurs it must be extremely rare. Lacunar degeneration is a familiar finding however, in the older patient. It may occur without hypertension in the aged although hypertension combined with advanced years produces it in its most classical form.

The earliest morphologic change in the cerebral blood vessels in hypertension affects the smaller arteries and arterioles. Even patients with long standing hypertension may show no significant atheromatous change in larger cerebral vessels. In diabetics and in elderly patients with long standing atherosclerotic vascular disease the atherosclerotic process extends



FIG VII 6 Extensive lacunar infarction of the lenticular nucleus in a 90 year-old non hypertensive non diabetic woman

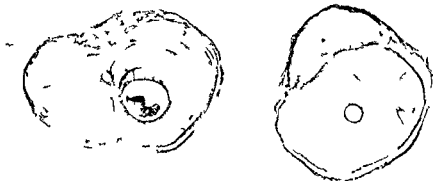


Fig. 115 Case 9. The large vessel is the right internal carotid artery. The smaller vessels are branches of the right middle cerebral artery. Their lumens are markedly narrowed by atherosclerosis.

question: Why did this cerebral infarct occur in this patient at this time? Only by a correct answer to this question in large numbers of cases can we hope for an intelligent approach to the prevention of cerebral infarction.

LACUNAR LESIONS

The neuropathologic literature from the middle of the nineteenth century has carried accounts of small lesions in the lentiform nucleus especially the putamen. Pierre Marie (15) used the term *coréolécémie*

These lesions have been considered by some to be the anatomic substrate of common motor disorders of the elderly including *marche à petit pas* and even parkinsonism. The severe grades of lacunar degeneration of the lenticular nucleus are very rare in our own material but lesser degrees of change are not uncommon in the elderly patient (fig VII 6)

When the lacunar state is present to any degree in the putamen there usually are lacunar changes elsewhere. The *griseum pontis*, the thalamus and the *centrum semiovale* and other favored sites. When this process occurs in younger patients they are almost invariably hypertensive although some are diabetic. We have not seen such change well developed in a younger non-diabetic or non hypertensive and if it occurs it must be extremely rare. Lacunar degeneration is a familiar finding however in the older patient. It may occur without hypertension in the aged although hypertension combined with advanced years produces it in its most classical form.

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Fig VII 6 Extensive lacunar infarction of the lenticular nuclei in a 90 year-old non hypertensive non diabetic woman



Fig. VII-5. Case 2. The large vessel is the right internal carotid artery occluded by a ruptured aneurysm. The smaller vessels are branches of the right internal carotid artery. The internal carotid artery is markedly narrowed by atherosclerosis.

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LEUCODYSTROPHIES

The neuropathologic literature from the middle of the nineteenth century has carried accounts of small lesions in the lenticular nucleus especially the putamen. Pierre Marie (15) used the term *corré hemisphérique*.

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Fig XII 6 Extensive lacunar infarction of the lenticular nucleus in a 90 year-old non hypertensive non-diabetic woman

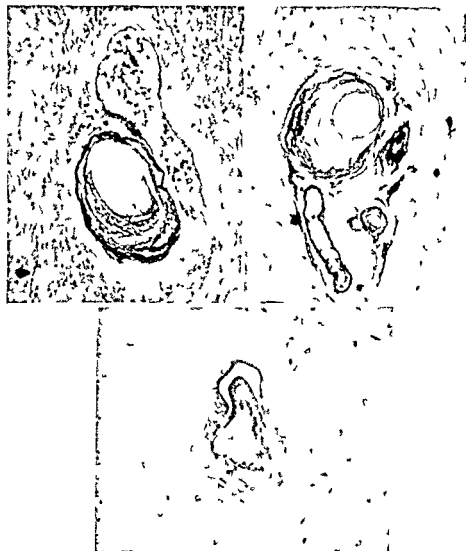


Fig VII 7 Degenerative changes in smaller blood vessels near lacunar infarcts in putamen and pons of a 78 year old hypertensive man. The upper two vessels have been stained by Verhoeff's method; the lower by hematoxylin and eosin.

into the smaller arteries. Figure VII 7 illustrates some of these vascular changes in the neighborhood of lacunar degeneration. In our view lacunar degeneration is merely the consequence of obliterative vascular disease of the smaller sized arteries and arterioles referred to customarily but not quite accurately as arteriolosclerosis. The vulnerability of the putamen is probably due to a combination of its high metabolic demand and the relative poverty of its fixed collaterals.

The *état lacunaire* of Pierre Marie is then an effect of degenerative

vascular disease of the brain Alexander (16) regards the *status cribratus* and *preciribratus* of the Vogts (17) as lesser degrees of the same change Moore (18) has recently proposed the idea that certain perivascular cavitations seen in the putamen and elsewhere are the effect of a lytic action of certain ferments stimulated by hypoxia

In recent years there has been a widespread uncritical invocation of "little strokes" to explain many neurologic and indeed many non neurologic symptoms and signs in patients of all age groups but especially in the elderly (19-20) Neurologists aware of the flimsy nature of the clinicopathologic evidence on which this concept is based have been cynical on the one hand and generous in their unwillingness to criticize on the other As a result the idea of "little strokes" has attained a widespread popularity among some non neurologic practitioners for whom it represented a plausible explanation of some otherwise obscure clinical problems Thus a patient has a pain in the chest or a drop in blood pressure or a confusional episode or a gradual dementia or a depression and a "little stroke" is diagnosed

There are such things as "little strokes" as there are such things as "big strokes" but the practitioner does his patients a grave disservice when he deceives himself into thinking he has made a diagnosis when he uses the term "little stroke"

The true "little stroke" is the symptom whatever it may be that results when a patient has had a lacunar infarct In the sense that lacunar infarcts may occur in showers and may be widespread throughout the brain they are frequently the pathologic basis of pseudo-bulbar palsy and dementia When pathologic material is used to illustrate the "little stroke" notion the lesions are seen to be lacunar infarcts and whenever the data are provided the patient is found to be hypertensive (19)

The implication that an individual lacunar infarct can produce all of the symptoms ascribed to it in the "little stroke" idea is untenable and dangerous The notion that every cerebral episode that occurs in the elderly is a "vascular thing" can find no support from the study of a large number of autopsies In our own material subdural and epidural hematomas and tumors aneurysms and infections of the nervous system turn up with sufficient frequency to provide evidence if any were needed that the neurologically sick elderly patient is entitled to more than the casual assumption of vascular etiology that too often represents the total medical analysis of his problem (figs XII 8 and XII 9)

ANEURYSMS

Saccular aneurysms of the cerebral vessels are in our view congenital They are not usually regarded as of import in the elderly patient but, in



Fig VII 8 Bilateral subdural hematomas in an 83 year old man who died with tentorial herniation and mid brain crush

two cases of our 1951 series ruptured saccular aneurysms were the cause of death (fig VII 10). Both were on the anterior communicating artery. One patient was 82, the other 85. In one there was only minimal atherosclerosis of the cerebral vessels and there was no hypertension. In the other the atheromatous change was intense and hypertension was present. We are of the opinion that hypertension may play some contributory part in the

fact of rupture and in the intensity of the bleeding. The influence of atheroma upon the fact of rupture is less clear cut. Although Walker and Allegre (21) have made an interesting case for the role of atheroma in the formation of saccular aneurysms, their well known association with extra cranial malformations and even other intracranial vascular malformations (22) would seem to point to an essentially anomalous character.

In contrast to the saccular aneurysms, fusiform arteriosclerotic aneurysms



Fig. XII.9 A large left frontal parasagittal meningioma in a 76-year-old woman

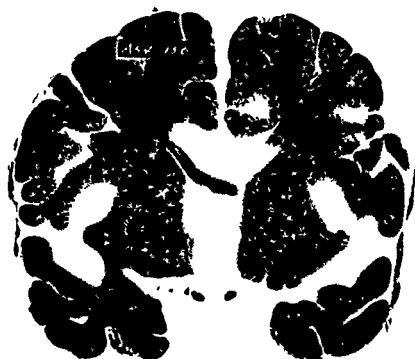


Fig XII 10 Ruptured saccular aneurysm with meningo cerebral hemorrhage in an 81 year old hypertensive woman who survived 7 days after her collapse

require a combination of hypertension and atherosclerosis. These lesions occur with greatest frequency in the vertebral basilar system and Denny Brown and I (23) have discussed some of their manifestations. In this location they are seen as elongated tortuous dilated vertebral and basilar arteries (fig XII 11). The dilatation is likely to be segmental with points of stenosis dividing the segments. The tortuosity may be so extreme that the vertebral arteries run obliquely across the medulla forming in the lower part of the lateral recess to join the basilar artery which then runs obliquely across the pons to the upper part of the opposite lateral recess. The ostia of the smaller branches may be obliterated and the walls of these smaller branches may be greatly thickened (fig XII 12).

The sequence of development of these arteriosclerotic fusiform aneurysms begins with severe atheromatous degeneration of the vessel wall. The internal elastic membrane and the muscular coat are disrupted and replaced (fig XII 13). Increased intraluminal pressure then results in constant distention of the inelastic vessel wall and small hemorrhages and dissections appear. The atheromatous break down in an irregular distribution at first so that bulbous protrusions appear and eventually the whole segment becomes thin walled and dilated. Physiologically then, the vessel

becomes only an inelastic and non muscular hollow tube through which blood must be pushed against gravity. The area of supply of such a vessel is readily compromised and episodes of insufficiency are almost the rule. In addition the wide course the aneurysms must take into the lateral recess of the posterior fossa allows them to produce pressure effects on the cranial nerves so that facial spasm, deafness, vertigo and facial pain may be the result. Rupture with subarachnoid hemorrhage can occur. In one of



Fig. VII.11 A typical fusiform atherosclerotic aneurysm in a 70 year old hypertensive diabetic woman. The carotid arteries were similarly dilated and tortuous and death resulted from rupture of the left carotid artery.

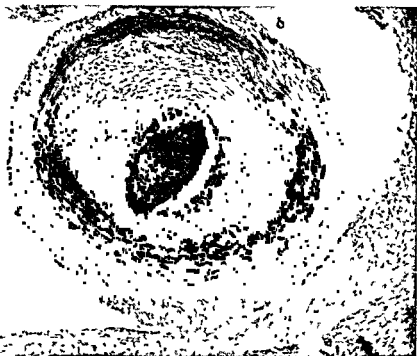


Fig XII 12 Thickened wall and narrowed lumen of the posterior inferior cerebellar artery of a 68 year old hypertensive woman with atherosclerotic aneurysmal deformities of the vertebral basilar system

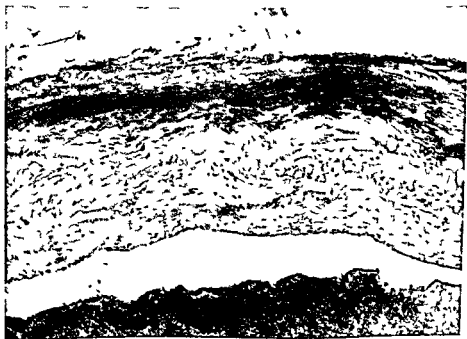


Fig XII 13 Section from the wall of an atherosclerotic aneurysm of the basilar artery. There is loss of the internal elastic lamina compression and thinning of the media and the atheromata are disintegrating

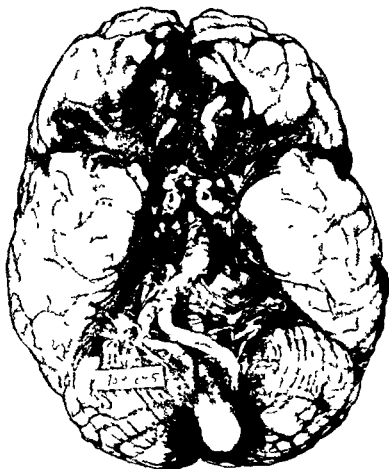


Fig VIII-4 Massive subarachnoid hemorrhage in a 64 year old hypertensive woman. The vertebral arteries are very tortuous. There is marked stenosis at the junction of lower and middle thirds of the basilar artery. The basilar above this point was very thinned in all parts. A 3 mm rupture was present on the pontine surface of the mid portion of the basilar artery.

our cases an arteriosclerotic aneurysm of the carotid artery ruptured into the cavernous sinus producing an arteriovenous fistula. In another instance in a 64 year-old man there was a fatal rupture of the mid portion of the basilar artery (fig VIII-14).

THE THERAPY OF CEREBROVASCULAR DISEASE IN THE ELDERLY

In recent years there has been increasing interest in the therapy of hypertension and even of arteriosclerosis. New surgical procedures and power

ful pharmaceuticals have been employed to reduce blood pressure. In the enthusiasm of the early use of such methods all kinds of patients were subjected to the miracle of an allegedly normal blood pressure until soon the warnings began to appear, that rapid reduction of blood pressure in the elderly beneficent as the effect may be on heart size and renal function can produce damage to the brain. It has now been generally agreed that rapid reduction of blood pressure in the elderly at least is indicated only when there is hypertensive encephalopathy. As we have mentioned earlier this is a relatively rare situation in the elderly, and so strong hypotensive agents have only a rare justification in this group. In terms of therapy there is a corollary to these studies that the elderly patient must be protected against the accidental or deliberate drops in blood pressure associated with his disease or with treatments for his disease. It should be kept in mind that a blood pressure drop is not a usual accompaniment of cerebral infarction *per se*. When an elderly patient with a stroke presents with a low blood pressure immediate steps must be taken to restore blood pressure and to find the extracerebral catastrophe which precipitated the stroke.

Failing in the first place to see the rationale of stellate ganglion block, we become discouraged in our early efforts with it and have abandoned its use entirely in the management of cerebral infarct.

A therapeutic approach of more recent interest is the use of anticoagulants as prophylaxis against cerebral infarction. Earlier studies by the New York Hospital group (24) were concerned with the use of anticoagulants and some encouraging results were reported in the prevention of cerebral embolic infarction. More recently the Mayo Clinic group (25) has been concerned with the use of anticoagulants in impending infarction due to cerebrovascular insufficiency especially in the vertebral basilar system. In cases of basilar insufficiency they regard anticoagulants as an emergency measure. The efficacy of such a method of treatment has not yet been proved and it must still be regarded as experimental. The mechanism of so-called anti-coagulant therapy in the prevention of non-embolic infarction is obscure. The clinical as well as the pathologic data make it difficult to understand how the prevention of intra vascular clotting can be effective. A patient develops the sudden onset of symptoms and signs relating to the brain stem and then clears rapidly within a few minutes returning to normalcy. A few hours later the episode is repeated and again recovery occurs. And so for several episodes over hours or days or weeks the sequence of loss of function followed by return to normal occurs until at last an episode no different from the others takes place except that now there is no recovery. Did all of the earlier episodes with recovery mean that intravascular clotting had occurred? Was a new clot formed each time an

episode occurred—and if so what happened to the previous one? Examination of the vessel involved shows as often as not that there is no intra vascular clotting that the basic pathologic process is an old chronic atherosclerotic stenosis or obliteration of the vessel. Yet this is the kind of clinical problem in which anticoagulant therapy may delay or prevent the final catastrophe of infarction.

The other possible explanation for a favorable action of anticoagulants on the episodic loss of function in cerebrovascular disease may lie in the physical effects of these substances upon the column of blood beyond the

vent the sludged blood from adhering to the walls of smaller blood vessels (26). These properties suggest the possibility that the anti-coagulants are effective by reducing the cerebrovascular resistance thus allowing for greater cooperation from the fixed collaterals when an area of brain is compromised in a hemodynamic crisis. We know of no measurements of cerebral blood flow, cerebral oxygen uptake or cerebral vascular resistance in patients who are on effective doses of anticoagulant therapy.

Our own experience with anticoagulants in the management of cerebrovascular disease has been restricted to those patients in whom there are transient recurrent losses of function without final clinically obvious infarction. We are guardedly optimistic about the results in this group. We have been reluctant to employ anticoagulants when infarction is established and especially when there is embolic infarction. Further clinicopathologic studies may prove such reluctance to be poorly advised.

Even if anticoagulants prove of value in a large and well controlled

physical exertion are a few of the
an excessive strain upon the com-
of the elderly patient are in the category of cerebro-cardiovascular
insufficiency and correlate well with advanced cerebral arteriosclerosis al-
though not always with dementia or cerebral infarction. There
can often be simple rules
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abdominal binder or elastic stockings may make an appreciable
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therapy of cerebral infarction, with a view to reducing the cerebral edema and inflammation of the lesion. They report that no significant untoward effects from cortisone were noted, although their 35 cases included 21 hypertensives and six diabetics. This method of treatment of the acute cerebral infarct is still in the experimental stage, and has obvious elements of danger. Acute infarction in the total or near total distribution of a major cerebral artery is always associated with a massive increase in intracranial pressure. If cortisone proves capable of modifying the early inflammatory response to infarction, it may prevent the formation, tentorial and subfalcial herniations which compound the damage to the brain and are so often the cause of death.

SUMMARY AND CONCLUSIONS

The material presented reinforces the generally accepted opinion that degenerative cerebral vascular disease is a formidable cause of disability in the elderly. From a large autopsy material it is evident, however, that many people can attain very advanced years without suffering any significant cerebral effects from degenerative vascular disease.

The diagnosis of cerebral vascular disease in the elderly must be made on positive grounds, and many tragedies of misdiagnosis may occur when it is assumed that a cerebral disorder must be vascular because the patient is elderly. 'Little strokes' should be diagnosed only when there is reasonable evidence that a lacunar infarct has occurred.

Emphasis is laid upon the extracranial factors, particularly cardiac, which underlie cerebral infarction. The cerebral infarct of the elderly is viewed as one event in a diffuse circulatory disorder. The therapeutic implications of such an approach are discussed.

DISCUSSION

RAYMOND D. ADAMS [Boston, Mass.] I believe that you will agree that the subject which Dr. Foley has reviewed is one of the most important in all of medicine and it deserves our closest attention. Vascular disease of the brain, not only in the senescent but in the middle aged as well, ranks first in frequency and gravity amongst all diseases of the brain leading as a rule to a state of serious disability and even death.

What are the significant recent developments in this field of medicine which promise some hope of a more complete understanding of apoplexy? Firstly I should say that studies during the last two or three decades have shown that arteriosclerosis is not one disease but several. It includes such conditions as Monckeberg's calcific medial sclerosis, atherosclerosis, hyperplastic arteriolosclerosis, hyaline arteriosclerosis, necrotizing arteriolar disease, capillary fibrosis and in the brain a peculiar deposition of pseudo calc. Each of these diseases has a morphology and a distribution within the vascular system all its own. Atherosclerosis and embolism are major causes of blockage of large arteries and a recognizable clinical event in the form of a stroke is the usual result. Hemorrhage is still of uncertain cause but is no doubt closely related to high blood pressure. Dr. Foley's data which disclose a high frequency of vascular lesions in the brains of elderly

persons agrees closely with that from our laboratory where it was found that approximately 25 per cent of all patients dying in a general hospital had gross evidence of cerebrovascular disease. If microscopic examination had been performed in each case the percentage of abnormal brains would have been higher. The lesser incidence of brain hemorrhage in the senescent than in middle aged individuals is noteworthy and may be attributed to the fact that this form of brain disease prevents survival to the senium. It does not accord well with the idea that atherosclerosis has an important role in the rupture of brain arteries.

Secondly clinical and clinical pathologic studies have led to the definition of many different forms of vascular diseases and at the same time have informed us of ways by which we may identify these diseases during life. Atherosclerotic thrombosis with brain infarction, embolism with brain infarction, recurrent ischemic cerebral attacks, brain hemorrhage and ruptured saccular aneurism can now be dealt with at a purely clinical level. The importance of this advance in clinical neurology can hardly be over estimated if diseases of such different cause and mechanism could not be distinguished. Any comparative study of methods of therapy would be quite futile. Stroke, shock or an apoplectic attack is the common denominator of all these diseases and without it diagnosis is always questionable. The stroke may be major or minor and the latter may be overlooked. However Dr Foley's caution in regard to the diagnosis of "little strokes" should be heeded lest too many cases with trivial symptoms be forced into the vascular category.

Thirdly increasing attention has been given to some of the mechanisms of apoplexy and even occlusion of an artery and brain infarction can be shown to depend on the operation of many factors. Dr Foley's example of arterial narrowing with a fall in systemic blood pressure leading to a lack of circulation in that vascular territory is pertinent. However in my experience this latter set of circumstances rarely obtains in pure form and it probably represents an oversimplification. The mechanism of the recurrent ischemic cerebral attacks all of identical pattern remains in doubt. For each

... that atherosclerosis and hypertension are not invariable accompaniments of the aging process. The importance of dietary factors, heredity, etc. is being investigated systematically in many quarters. There is

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... appear to occur with increasing frequency in the aged and which could influence the nutrition of the nerve cells and other elements of the tissues. These changes will probably require ultramicroscopic techniques for their investigation and I would like to ask Drs. Lansing and Foley if they have performed or know of such studies having been made on the small vessels of the brain.

DR H. HOUSTON MERRITT [New York N. Y.] Dr.oley and Dr. Adams have covered the subject so well that there is little to add. There is one point that can be emphasized that is the vast majority of cerebrovascular lesions are the result of arteriosclerosis and arteriosclerosis is a disease and not a normal accompaniment of age.

Dr.oley also emphasized that all old people who suffer discomfort at any time are not necessarily having little strokes. These have been popularized so much that we are creating a national neurosis among our middle aged and elderly people.

PRESIDENT MOORE: Are there any other comments from members of the Commission? If not I have two questions for Dr.oley from the floor. When there is gross sclerosis of the cerebral arteries in what percentage of the cases is there diminution of the lumen? There is evidence from cerebral angiography that in most cases of cerebral arteriosclerosis there is actually an increase rather than a decrease in the lumen of the vessel. The second question is: Is there any experience available on the effect of the widely advertised glutamic acid preparations in arteriosclerosis of the brain? Will Dr.oley close the discussion?

DR. JOSEPH LOEB: I am very grateful to the discussors. It is always easier to be grateful when people agree with you.

I should like to answer first the question about whether in sclerosis of the cerebral arteries there is stenosis or dilatation by reminding you of Dr. Adams' first comment about the many kinds of arteriosclerosis. Certainly in some kinds of hypertensive disease when there is co-existing arteriosclerosis there is likely to be some dilatation of the cerebral vessels. The fusiform aneurysms which I demonstrated are really full blown. There are many preliminary degrees of this change of course.

I myself am very dubious about the correlation of what one sees in the arteriogram with what one sees at the autopsy table except in the broadest sense. Arteriography is a magnificent tool no doubt, is still an indirect method of visualization and subject to all the hazards of indirect visualization.

In regard to glutamic acid I can only say that I do not use it and have no experience whatsoever with it.

Dr. Adams' emphasis upon hemorrhage and its relation to hypertension is of extreme importance. There has been as you know experimental work suggesting that hemorrhage in the brain has other mechanisms besides hypertension. Actually the only other conditions of any numerical significance are trauma, malformation and blood dyscrasia.

Dr. Adams pointed out also the difficulties in documenting the factors that precipitate infarction. I used hypotension deliberately because it is one of the few that we can document but we cannot document it with the frequency that we would like to. There must be other methods that we can use to provide documentation and I would not want to leave you with the impression that hypotension is the only kind of diffuse circulatory derangement that precipitates the infarction. Coronary insufficiency rather than infarction seems to precede many cerebral infarctions in our own material.

Dr. Adams has raised the question of the relation between senile atrophy and changes in small blood vessels. This is to me an almost insoluble problem by present methods. I don't know which is the cart and which is the horse. It may be that pericapillary fibrosis is simply another manifestation of brain atrophy.

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CHAPTER XIII

NEUROLOGICAL CHANGES IN THE AGED

MACDONALD CRITCHLEY

There is something artificial about restricting to the central nervous system a discussion of aging. The only excuse is that in a symposium such as this it is convenient to parcel out the subject matter. A neurologist is in a particularly strong position to contribute towards the total problem in that his speciality can be said to embrace the psychologic and psychiatric attitudes and to include a histologic study of the highly sensitive and fundamental organ of personality, mentation and behavior—in other words the physical counterpart of the organism.

Much of what a neurologist can contribute is purely factual and entails a mere descriptive approach. He supplies the bricks and mortar which may eventually be incorporated within the edifice of our understanding of the nature of aging processes in general. But description is not enough. It is a relatively simple matter to make precise observations, be they psychometric, clinical, histologic or clinical, upon the complex problem of the neurology of old age. To comprehend these findings, however, and to apply them are matters which are much more difficult to accomplish, and yet at the same time they are far more valuable. I shall therefore first merely record the simple facts about the senile nervous system. Secondly, I shall try to indicate in more speculative fashion where the data may perhaps assist our approach to an eventual threefold goal—namely, an understanding of the nature of the processes of aging, the securing of mental and physical efficiency throughout the senium, and the extension of the life span.

Unfortunately the aging of the nervous system cannot be regarded as a clean or tidy experiment on the part of nature. The subject matter is much too overlaid with epiphenomena and coincidentals. Among the many inherent difficulties of the research we can enumerate two: in the first place, side by side with the aging of the central nervous system, properly speaking, degenerative alterations are also taking place in the cerebrospinal vasculature. These changes of themselves are likely to produce additional involutions. To separate the pathologic and clinical evidences of primary involution from those of focal or general arteriosclerosis is no easy matter.

Secondly, in the aging of the nervous system (quite apart from its vasculature) we are doubtless observing a dual phenomenon, being partly physiologic and partly pathologic. The former includes the pure uncompli-

cated evidences of simple involution the final stages of a life long biologic process of development maturity and decline Such changes are natural inevitable and irreversible This side of the problem may be spoken of as constituting the primary aspect of aging The secondary or pathologic aspects comprise the *senium ex morbo* made up of the accumulated sequelae which remain like scars bearing witness to the strains stresses and wear and tear of a long life together with the ravages of ill health self indulgence disease injury and malnutrition which have been going on ever since birth This aspect of the problem is additional accidental—avoidable perhaps—and even reversible to some extent

These two classes of pathologic phenomena are sometimes also correlated with the origins of the aging processes and are thus spoken of as the primary and secondary causes of the aging of the nervous system Or they may be called endogenous as opposed to exogenous causes of decay In this way it seems possible to reconcile the adherents of two rival schools of thought who have hitherto not been able to agree as to the essential nature of senescence On the one hand there were those who held the view that aging is biologic and natural (1-2) The opponents regard old age as a disease in itself (3-6) A compromise must surely be struck between those extremists in either camp Let no one assert that this discussion is a mere scholastic quibble one which need not be taken seriously The fundamentals of gerontology turn upon a scrutiny of this important difference of opinion¹

In so far as a study of the neurology of old age promises to illuminate our knowledge of aging processes we may assert that psychology and psychiatry probably offer rather better prospects for research than a simple semeiologic approach Thus the vexed question of relationship between the mental life of the normal senescent and that of the senile dement is one which still provokes discussion (10-15) But this important topic is the responsibility of other speakers My task however artificial in its restriction to —

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CHAPTER XIII

NEUROLOGICAL CHANGES IN THE AGED

MACDONALD CRITCHLEY

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Unfortunately the aging of the nervous system cannot be regarded as a clean or tidy experiment on the part of nature. The subject matter is much too overlaid with epiphenomena and coincidentals. Among the many inherent difficulties of the research we can enumerate two. In the first place side by side with the aging of the central nervous system, properly speaking, degenerative alterations are also taking place in the cerebrospinal vasculature. These changes of themselves are likely to produce additional manifestations. To separate the pathologic and clinical evidences of primary involution from those of focal or general atherosclerosis is no easy matter.

Secondly, in the aging of the nervous system (quite apart from its vasculature) we are doubtless observing a dual phenomenon, being partly physiologic and partly pathologic. The former includes the pure uncompli-

ample in the small muscles of the hand. Should there be concomitant deformities in the joints of the wrists and fingers then in arthritic muscular atrophy is often demonstrable over and above the senile amyotrophy. Fibrillation and fasciculation do not usually appear in cases of senile muscular atrophy except in certain morbid conditions which will be considered later.

Tremor

read "the keepers of the house (i.e. the hands) shall tremble"

Although close and accurate testing of common sensibility is often a matter of extreme difficulty in the aged it is quite easy to determine that the threshold for light touch and more especially for pain is definitely raised. Even better known is the fact that the appreciation of a vibrating tuning fork tends to lessen with advancing years (18-21). Blunting of vibratory sensibility is commonly ascribed to defective vascularity of the white matter of the spinal cord. This hypothesis does not explain the factor of selectivity i.e. why the function of certain long tracts such as the lateral columns should be unaffected while some of the ascending pathways are involved. While upon this topic we recall the pathologic changes found by Flugel (22) in the spinal cord of a series of six persons over 80 years of age despite "une intégrité fonctionnelle presque complète." Unfortunately the author did not specify the precise neurologic findings on his patients.

Within the domain of the special senses a similar dulling of the acuity of perception may be found leading to presbyopia, presbycusis, and presbycusia. These objective findings within the afferent nervous system tally with the ordinary clinical experience that in the aged many general diseases, and also injuries, seem to be attended by less pain than might be expected.

Many of the reflex phenomena used in routine testing behave differently in the case of the aged subject. Thus the tendon jerks may prove difficult to elicit. This is particularly so in the case of the ankle jerks which are not infrequently quite unobtainable. The knee jerks usually persist, however. Arm reflexes (supinator, biceps and triceps jerks) occupy a position midway between the behavior of the knee and ankle jerks.

Loss of ankle jerks in old people may be the consequence of inelasticity of the tendo achilles rather than necessarily of changes within the reflex arc at a spinal level.

Increasing difficulty in the elicitation of the abdominal responses is well known. They may indeed be unobtainable as early in life as middle age. This is so largely because of the frequency with which the musculature has been overstretched either by childbearing or by adiposity.

The neurologic changes in the aged will be discussed under two headings. First of all the neurologic signs of normal aged individuals will be described. Secondly, certain neurologic disorders will be dealt with, which are often regarded as being specifically senile in character.

THE NEUROLOGIC SIGNS OF NORMAL AGED PERSONS

Every experienced clinical neurologist realizes that the ordinary standards of diagnosis do not apply to the very aged—that is, those lingering on into the tenth and eleventh decades of life. In other words, the hall marks of the normal nervous system are not necessarily valid within the senium.

Unless we constantly bear in mind the fact that our standards of normalcy are different in the aged than in adulthood, we run the risk of diagnosing neurologic disorders where none actually exists. It needs to be stressed, therefore, that with advancing years certain changes occur so often as to be discounted as evidence of disease (16, 17). Chief among the deviations are the following:

Pupillary changes. These comprise a tendency towards miosis together with a sluggishness in reflex response to light and also on accommodation. A strabismus may be reached and later surmounted when the pupils are inactive to light though still contracting on accommodation. These clinical changes are the result of alterations within the musculature of the sphincter pupillae including fibrosis, hyalinization, and lipid infiltration.

Ocular movements. With advancing years there is a progressive restriction in the movement of convergence. Defective conjugate upward gaze is also a common normal finding in the elderly.

Special mention may be made here of the familiar ocular evidence of aging—namely the presence of an arcus senilis or gerontoxon. This represents merely the most conspicuous manifestation of a much more widely spread lipid infiltration of the tissues including the iris, ciliary body, the sclera, and the membranes of Bowman, Descemet, and of Bruch. The earliest sign is a crescentic strip of cloudiness in the lower sector of the cornea; later a similar arc appears in the upper part ordinarily covered by the eyelid. Later still these arcs fuse to form a ring like structure separate from the rim of the cornea by the narrow lucid interval of Vogt. The relationship of the arcus senilis to chronologic age is not straightforward, nor indeed is the correlation with arterial degeneration very close. There may perhaps be a closer correlation between arcus senilis and hypercholesterolemia, for a similar condition has been produced experimentally in rabbits by overfeeding with cholesterol. Further clinical studies of the incidence of the arcus senilis are needed with special reference to its distribution within the aged population.

Muscular wasting is commonly found in advanced old age as a thinly spread generalized myotrophy. The histologic counterpart is a shrinkage both in the number of muscle fibers and in their individual bulk. Often this muscular wasting is particularly conspicuous in certain regions—for ex-

An attitude of general flexion is also one of the hall marks of old age. Head and neck are held craned forward, there is a gentle dorsal kyphosis, upper limbs are bent at elbows and wrists while the hips and knees are also slightly flexed. Here again we may quote the twelfth chapter of Ecclesiastes where we find "the strong men (i.e. the legs) shall bow themselves." In marked cases the degree of flexion may be extreme and mimic advanced Parkinson's disease. When the old person lies supine his neck may remain bent so that the occiput is off the pillow. Abnormal attitudes of the hands are very common and indeed the appearance of the hands is only too often a revealing indication of the age of the individual. Here as elsewhere joint deformities may exaggerate the involutional picture.

We are probably justified in attributing the flexed attitude of old age in part at least to extra pyramidal factors. But we must certainly not overlook the role played by other associated changes in the vertebral column and in the intervertebral discs, ankylosing disorders of the ligaments and joints, shrinkage and sclerosis of the tendons and muscles which all contribute to the total picture. Indeed we must not forget that some extreme cases of arthritis deformans and of spondylose rhizomélisque superficially resemble a parkinsonian syndrome and may sometimes occasion diagnostic confusion. Muscular rigidity is another common finding in the aged, especially when considerable cerebro-vascular degeneration is also present. Foerster spoke of this condition as an arteriosclerotic rigidity. It is demonstrable clinically by a uniform resistance to passive manipulations, especially in the limbs and in the neck. Proximal segments of an extremity are more affected than the distal, legs more than arms. At the bedside it becomes obvious that the phenomenon of rigidity is no simple matter. There is even a mental component in many cases, especially when arteriopathy co-exists. Thus the rigidity may vary directly with the amount of force used by the examiner in passive manipulation. Again if the patient's attention is distracted from the maneuvering of the limbs the rigidity becomes less. This fact often leads to the assumption that the

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Mental influences which affect the motility of the aged may also be traced in a tendency towards motor perseveration—itsself an expression of ideational inertia. To some extent senile or arteriosclerotic rigidity may be

The plantar responses are often very difficult to interpret in the aged. While a frank extensor response must be regarded as an exceptional finding, it is equally true that a clear-cut flexor response is but rarely seen. Various factors combine to complicate the test. Deformities of the feet with valgus or equinovalgus resting attitudes of the great toe, stiffness or ankylosis of the first metatarsophalangeal joint, hardening of the skin of the sole with relative insensitivity—these are some of the common conditions which make it difficult for the clinician to elicit a response or to interpret whatever movement should occur.

It would be unwise to conclude that the foregoing reflex phenomena necessarily indicate an underlying structural senile alteration within the nervous system. Involutional changes in the muscles, tendons, ligaments and periarthicular tissues probably play an important part in making these superficial and tendon reflexes sluggish or unobtainable.

In contemplating the clinical effects of aging of the nervous system, we arrive at some interesting and perhaps unexpected ideas. The involutional process is a diffuse one affecting all levels of the neuraxis simultaneously. It is tempting to regard the resulting picture as a clinical expression of a gradual dissolution of the nervous system in the Jacksonian sense. Such a dissolution might be expected more or less to recapitulate in reverse some of the evolutionary stages. Older mechanisms should prove more resistant to change, younger structures and functions being more vulnerable.

Clinical experience does not altogether bear out this anticipation. A neurologist studying the aging of the nervous system from a structural standpoint—leaving aside purely mental functions—might well comment upon the relative infrequency of signs of cerebellar defect and also of pyramidal impairment. But on the other hand certain extrapyramidal manifestations are very common. If one can make a distinction here between hyperkinetic and akinetic extrapyramidal signs, we can emphasize that it is the latter type of change which we witness in old age. Tremor apart, such spontaneous movements as dystonia, athetosis, chorea are rare; indeed, they hold no place within the neurologic picture of normal old age.

Common in the aged, however, are the so-called akinetic hypertonic signs which in their fullest development constitute a parkinsonian-like amyostatic syndrome without tremor (16, 28). Minor extrapyramidal signs are so commonly present in the aged that they are apt to be overlooked or rather to be put down to a general loss of the elasticity of the tissues. But most of those numerous little signs and manifestations—the characteristic gestures, the attitude, stance and gait of the elderly—distinguishing them so sharply from the youthful—are really features of an extrapyramidal order. Thus the young actor, in assuming the role of an old man, actually adopts and unwittingly assumes many components of an extrapyramidal syndrome.

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At the same time it must be emphasized that the so-called 'associated movements' (which may be said to include all secondary movements, synergias and movements of co-operation) are not actually in abeyance but are merely reduced in amplitude and speed.

Finally the extrapyramidal like picture is completed by a characteristic slowness of movement. We may not perhaps altogether understand what it is that determines the rate of a particular movement—willed, voluntary, volitional, automatic, instinctive—in a normal young adult. Unquestionably, however, this rate is reduced in the aged just as it is in victims of Parkinson's disease. Slowness also involves actions which can be roughly termed automatic rather than highly volitional. Thus the passage of the fork to the mouth at meal times, the clamping of the jaws, the flicking away of a fly from settling upon the brow—all these actions are just as retarded as those which are deliberately executed in response to a command.

The foregoing components of extrapyramidal impairment—namely a flexion attitude, rigidity, infrequency and slowness of movement—constitute when intense a sort of parkinsonian state. But in minor degree they are so commonly met with in normal old people as to establish a hall mark of the aging nervous system. It might therefore be argued that the extrapyramidal system, though commonly regarded as the 'old' motor system, is apparently more vulnerable to aging processes than some of the younger corticofugal efferent pathways. Or perhaps the matter could be better expressed in somewhat different terms. Can it be that the clinical expression of a diffuse, thinly spread neuropathologic process is to be seen in an extrapyramidal picture rather than say a pyramidal or hyperkinetic, or cerebellar or sensory syndrome? Extrapyramidal signs, in other words, may comprise a sort of clinical 'least common multiple' of neurologic dislocation.

NEUROLOGIC DISORDERS PECULIAR TO THE SENIUM

The recognition of neurologic disease in the aged is complicated by

one or more of the following factors:

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looked upon as an index of perseveration whereby rapidly alternating passive movements tend to be resisted. This mental influence is shown by two phenomena. In the first place, after a limb has been passively flexed and extended several times and then abruptly released, the patient may continue to make feeble flexion-extension movements, thus actively simulating the passive movements carried out by the examiner. These palipravic after movements continue for only a short time. The second illustration of a mental influence consists in the assumption of catatonic postures. The aged person may allow his limb to be retained in whatever position it is placed, even in defiance of gravity. Such a phenomenon results from a combination of extra pyramidal factors with some degree of dementia. This is probably what Steck had in mind when he spoke of catatonus as being a link connecting organic with functional phenomena (29).

As I have written elsewhere (23), defective mentation is an important influence in determining catatonia in cases of senile (or arteriosclerotic) rigidity. Inadequate perception, lack of attention, and retarded cerebration combine to produce an ideational inertia or mental viscosity. The subject fails to grasp successive concepts if they should follow too close one after another. If the suggestion takes the form of an attitude passively imposed upon a limb, the posture tends to be maintained for an inordinate length of time. Two explanations are possible. Either the subject is temporarily oblivious of the existence of the limb, the proprioceptive impulses failing to attain awareness, or on the other hand, the subject's sensorium may be replete with the idea of the attitude which has been passively ordained, to the exclusion of fresh ideas. Not until the initial suggestion wanes, or is replaced by awareness of the painful impulses from the tiring muscles, is the catatonic attitude interrupted.

Another motor sign characteristic of extrapyramidal disorder is to be found in a general poverty of movement. Although the aged person is not paralysed in any sense of the word, he seems to make as few movements as possible. He does not exert himself, but behaves as though the effort to move were too great. This relative immobility shows itself in the impassive facial expression, the infrequent blinking of the eyelids, and the statuesque attitude so typical of those advanced in years. When he wishes to look far over to one side or the other, the elderly person moves his eyes first. Then the head, neck, and shoulders slowly follow, and all in a piece. The quick, nervous gestures, the bird-like movements of so many vigorous young persons, the movements of rhetoric, expression, and emotional display are not seen in the aged. In their stead a certain poise or calmness is found. Old people sit for long periods without changing their position, crossing and uncrossing their legs, folding their arms, fidgeting with their hands, or going around.

At the same time it must be emphasized that the so-called 'associated movements' (which may be said to include all secondary movements, synergias and movements of co-operation) are not actually in abeyance, but are merely reduced in amplitude and speed.

Finally the extrapyramidal like picture is completed by a characteristic slowness of movement. We may not perhaps altogether understand what it is that determines the rate of a particular movement—willed voluntary, volitional automatic instinctive—in a normal young adult. Unquestionably however this rate is reduced in the aged just as it is in victims of Parkinson's disease. Slowness also involves actions which can be roughly termed automatic rather than highly volitional. Thus the passage of the fork to the mouth at meal times, the champing of the jaws, the flicking away of a fly from settling upon the brow—all these actions are just as retarded as those which are deliberately executed in response to a command.

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NEUROLOGIC DISORDERS PECULIAR TO THE SENIUM

The recognition of neurologic disease in the aged is complicated by one or two phenomena.

Firstly, a particular malady may be common to the aged but is not called for here. Secondly a particular malady may be common to the aged but is not strictly relevant. A third complicating factor is more difficult. The nervous system of a very old person may be involved secondarily—that is to say as the result of primary senile disease of extraneural tissues. For example neurologic syndromes may be complicated by senile changes in the extraneural tissues. The extricate are the

disease of the cerebrospinal arterial system. Lastly, there remain the senile disorders of the nervous system which can be regarded as pure or specific. In ordinary practice the two last groups of case occur so intimately linked that to disentangle them becomes a rather artificial task.

It is interesting to recall the records of 16 000 patients compiled by Lewellys Barker (26). Out of this series there were 300 patients who applied for consultation over a period of seven years. Of this total 210 cases were in the seventh decade of life, 57 were in the eighth, and 3 were in the ninth. The subjective complaints of the 210 patients aged between 60 and 70 years were analyzed, and it was found that by far the commonest symptoms were referable to the nervous system. These comprised 1) depression (23 cases), 2) nervousness (26 cases), 3) fatigability (23 cases), 4) insomnia (20 cases), 5) anxiety (13 cases), 6) paresthesias (11 cases), 7) headaches and other cephalic sensations (10 cases), 8) dizziness (9 cases), 9) visual disturbances (9 cases), 10) tremor (8 cases), 11) failing memory (5 cases), 12) fainting spells (5 cases), 13) deafness (5 cases), 14) disorders of speech (3 cases), 15) tinnitus (3 cases), 16) difficulty in walking (2 cases), and 17) scintillations (2 cases). When the final diagnoses were analyzed it was found that nervous maladies took second place to circulatory affections. The neurologic diagnoses included psychogenic disorder (13 instances), Parkinson's disease (7 instances), senile psychosis (1 case), paralysis secondary to organic brain disease (3 cases), and organic encephalopathy of doubtful nature (3 cases).

Lewellys Barker's figures may be compared with one's own case records taken from a consulting neurologic (and non psychiatric) practice. In the nine year period 1916-1955, 252 new patients of 70 years of age and over were seen in private practice (Hospital patients are not included). The diagnoses arrived at in these cases may be set out in tabular form (Table 1).

The genuine specific neurologic disorders of the senium are few in number, and rare in occurrence. Certain clinical syndromes deserve attention, particularly senile tremor, senile paraplegia, the senile muscular atrophies, senile epilepsy, and giant-cell arteritis.

Senile tremor. Tremor has been regarded for centuries as a normal and integral appurage of senility. It is strange that this idea has persisted despite the evidence afforded by neurologists that tremor in old age is actually an uncommon feature. As long ago as 1876 Charcot (27) registered a protest, and he estimated that out of an aged population of about two thousand inmates of the Hospice de la Salpêtrière, instances of tremor numbered no more than thirty.

Nowadays it is realized that senile tremor is merely a particular example of essential or idiopathic tremor happening to appear first in extreme age (28). Although this was the teaching laid down by Troussier in 1881,

TABLE VIII I

Diagnosis in 252 new patients over 70 years of age, 1946-1955

| Diagnosis | Number of Cases |
|--|-----------------|
| Cerebrovascular degeneration including hypertension, senile epilepsy, temporal arteritis, and peripheral vascular disease | 107 |
| Neurotic and psychotic problems including dementia depression, hypochondria confusion, delusional states and anxiety (all exclusive of arteriopathy) | 29 |
| Parkinson's disease (excluding arteriosclerotic Parkinsonism) | 23 |
| Neuralgia | 10 |
| trigeminal | 15 |
| post herpetic | 4 |
| other types | 29 |
| Headaches (? cause) | 11 |
| Backache | 4 |
| Spondylosis and spondylitis | 6 |
| Carcinomatous with neurologic involvement | 5 |
| Cerebellar atrophy | 3 |
| Progressive spastic bulbar palsy (excluding "pseudo bulbar palsy") | 3 |
| Combined degeneration of the spinal cord | 3 |
| Senile paraplegia | 8 |
| Acroparesthesia including the carpal tunnel syndrome | 2 |
| Sequelae of head injury including subdural haematoma | 7 |
| Peripheral neuropathy | |
| Diabetic | 1 |
| Carcinomatous (included above) | 1 |
| "Sciatica" | 3 |
| Cerebral tumor (excluding metastatic carcinoma of the brain) | 3 |
| Senile (essential) tremor | 1 |
| Vertigo | 1 |
| Narcolepsy | 1 |
| Total | 252 |

(29) it did not gain acceptance until quite recently. That essential tremor is also familial tremor is yet another conception which developed slowly, even though it had been affirmed by Most in 1836 (30). When adequate pedigrees are available for scrutiny it becomes obvious that 'anticipation' is an important genetic property of these cases of tremor. Thus, characteristically senile tremor in the first affected generation crops up earlier and earlier in successive generations, and appears as a pre senile, an adult, a juvenile, an infantile, and finally a congenital.

Though the morbid anatomy of senile tremor is not yet known, its clinical features are familiar. The tremor is usually of the head, jaw, or hands, or any combination of these regions. In the head there may be a fine, nodding tremor (*tremblement affirmatif*), or a side to side move

disease of the cerebrospinal arterial system. Lastly, there remain the senile disorders of the nervous system which can be regarded as pure or specific. In ordinary practice the two last groups of case occur so intimately linked that to disentangle them becomes a rather artificial task.

It is interesting to recall the records of 16,000 patients compiled by Lewellus Barker (21). Out of this series, there were 500 patients who applied for consultation over a period of seven years. Of this total, 240 cases were in the seventh decade of life, 57 were in the eighth, and 18 were in the ninth. The subjective complaints of the 240 patients aged between 60 and 70 years were analyzed, and it was found that by far the commonest symptoms were referable to the nervous system. These comprised 1) depression (27 cases), 2) nervousness (26 cases), 3) fugability (28 cases), 4) insomnia (20 cases), 5) anxiety (18 cases), 6) acroparesthesias (11 cases), 7) headaches, and other cephalic sensations (10 cases), 8) dizziness (9 cases), 9) visual disturbances (9 cases), 10) tremor (8 cases), 11) failing memory (7 cases), 12) fainting spells (5 cases), 13) deafness (7 cases), 14) disorders of speech (8 cases), 15) tinnitus (8 cases), 16) difficulty in walking (2 cases), and 17) "catatonia" (2 cases). When the final diagnoses were analyzed it was found that nervous maladies took second place to circulatory affections. The neurologic diagnoses included psychogenic disorder (45 instances), Parkinson's disease (7 instances), senile psychosis (4 cases), paralysis secondary to organic brain disease (8 cases), and "organic encephalopathy of doubtful nature" (8 cases).

Lewellus Barker's figures may be compared with one's own experience taken from a consulting neurologic (and non-psychiatric) practice. In the nine-year period 1946-1955, 242 new patients of 70 years of age and over were seen in private practice. (Hospital patients are not included.) The diagnoses arrived at in these cases may be set out in tabular form (Table 1).

The genuine specific neurologic disorders of the senium are few in number and rare in occurrence. Certain clinical syndromes deserve attention—particularly senile tremor, senile paraplegia, the senile muscular atrophies, senile epilepsy, and giant-cell arteritis.

Senile tremor. Tremor has been regarded for centuries as a normal and integral appanage of senility. It is strange that this idea has persisted despite the evidence afforded by neurologists that tremor in old age is actually an uncommon feature. As long ago as 1871 Charcot (22) registered a protest, and he estimated that out of an aged population of about two thousand inmates of the Hôpital de la Salpêtrière, instances of tremor numbered no more than thirty.

Nowadays it is realized that senile tremor is merely a particular example of essential or "hysteropathic" tremor happening to appear first in extreme age (23). Although this was the teaching laid down by Trousseau in 1855

TABLE VIII

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(29) it did not gain acceptance until quite recently. That essential tremor is also far from new.

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property of these cases of tremor. Thus, characteristically senile tremor in the first affected generation crosses to the second and early onset to the third.

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clinical

The tremor may affect the head, jaw, or hands, or any combination of these regions. In the head there may be a fine, nodding tremor ('tremblement affirmatif'), or a side to side move

ment (*tremblement négatif*) Tremor of the mandible shows itself as a persistent champing or chewing Sometimes there is a repetitive movement of the lower part of the face especially of the lips and of the mentalis muscle (cf. Déming's patient (31) dubbed *old rabbit face* 1882) In the hands the tremor may be slow and coarse (1 per second) or finer and more rapid (12 per second) The former type is reminiscent of the parkinsonian tremor, while the latter resembles the tremulousness of hyperthyroidal states The tremor may be temporarily inhibited by willed movements of the limbs Or it may become exaggerated In the latter case the resemblances to a cerebellar type of tremor are very close Senile tremor is increased by fatigue by cold and by emotional stress Often it is temporarily relieved by taking alcohol Senile tremor may interfere with the performance of delicate finger movements and it characteristically distorts the handwriting Progressive attenuation of the script does not occur however and hence there is no real resemblance to the micrographia of the parkinsonian

Essential tremor is ordinarily not associated with rigidity poverty or slowness of movements and these are important criteria in excluding Parkinson's disease But in extreme old age some degree of rigidity hypokinesia and bradykinesia may happen to occur as part of the simple picture of old age Consequently it is more difficult to differentiate paralysis agitans from senile tremor than from essential tremor in a younger subject

No discussion of senile (essential) tremor can omit reference to the interesting assertion that Minor (32-36) Katzenstein (37) and others have made concerning the families of affected members Many authors have drawn attention to the presence of longevity and fecundity in the family trees a phenomenon which Minor termed the *status macrobioticus multiparus* Others have elaborated this idea and have claimed that patients with senile (essential) tremor are highly intelligent virile personalities who work hard and play hard eat heavily drink deeply and are sexually lusty Quite aside from this notion of macrobiosis there is evidence that in some families senile (essential) tremor constitutes a *forme fruste* of some other and better defined neurologic disorder Two diseases have been specifically mentioned in this connection pre senile cerebellar atrophy and Parkinson's disease As regards the latter we recall that no sharp nosological demarcation was believed to exist between Parkinson's disease and senile tremor by such authorities as Gowers (38) Peinar (39) Gelma (40) and Noica (41) An association between senile tremor and senile cerebellar atrophy has been mooted by Schuster (42) and by Critchley and Greenfield (43)

Senile disorders of gait This term is deliberately chosen in preference to senile paraplegia for neither pathogenesis nor morbid anatomy is uni-

form Paraplegia is also a misnomer at times for the clinical disability is more in the nature of a dysbasia or a mere difficulty in walking and actual paralysis as tested upon a supine patient—may not be demonstrable. More satisfactory terms therefore would be senile disorders of gait or dysbasia occurring in old age (44).

Earlier neurologists wrote as though there existed a clear cut clinical entity characterised by paralysis of the lower limbs in old people and it is to Gowers (45) that we probably owe the term senile paraplegia. It was first used in print by Kelly (46). Later experience has shown that the problem is far more complicated: that weakness of the legs in old people can result from lesions at various levels in the nervous system and furthermore that even non neurologic alterations can produce difficulty in standing and walking. The monograph of Lhermitte (47) enumerated the causes of paraplegia in the aged as cerebral, spinal and muscular. Nowadays it is usual to include various sub-cortical pathologies among the causes of disordered gait in the senium.

Cortical paraplegia may occasionally occur in the aged as the result of an abrupt and solitary vascular accident. This comes about when the anterior cerebral artery of one side happens to supply both cerebral hemispheres by way of an unusually large anterior communicating artery. This anatomic anomaly is by no means rare. In such cases an occlusion of the main trunk of the hypertrophied anterior cerebral artery will produce a

Cerebral artery

In geriatric experience it is again not rare to find a bilateral weakness developing after an isolated apoplectic hemiplegia. An aged person sustains a stroke which produces a one sided paralysis. He takes to his bed. As weeks go by and in the absence of nursing and remedial care the opposite non-paralyzed leg becomes more and more stiff, contracted and deformed.

The legs are internally rotated. Both knees are firmly pressed together and passive correction of the deformities is impossible.

The patient

persists

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The patient's motor power is tested as he lies in bed: no great disability can be found. But the patient cannot walk unsupported. He may be most unwilling to make any attempt to do so. Encouraged however he hesitatingly and fearfully advances in short shuffling steps, frantically clutching the furniture or the bystanders. After

a step or two the legs may give way the patient may stagger wildly or one leg may cross in front of the other This is the *astasia trépидante* of the French neurologists a variant is sometimes spoken of as Petré's gait (48) Here the patient makes a few tiny steps and then comes to a standstill with cowering however he steps out almost normally Again after a few paces he halts and is reluctant to proceed With further encouragement he starts again So it continues a few steps and then a pause and so on again

There has been some discussion as to the nature of this type of gait disorder (49) Some have looked upon the trouble as evidence of a senile hysteria Others have regarded the disability as being spastic in nature (50 51) Quesnel (52) discussed functional disorders of gait as occurring in the aged and recognized three clinical groups 1) a mild and readily curable disability 2) more severe impairment associated with mental disease and 3) an organo functional type in which structural disease of the bones joints or nerves may be present In this third group belong those cases of functional paraplegia supervening in patients bed ridden because of a fracture

It is also common to see in aged subjects the characteristic difficulties in walking which are so typical of the arteriopath Here belong the small steppage gait of the patient with progressive lacunar disintegration with pseudo bulbar palsy or with arteriosclerotic parkinsonism Sometimes the gait is so disordered as to be ridiculous The patient shuffles along swinging his arms in an exaggerated fashion and advancing the toes only an inch or so with every step The feet are rapidly slid along the ground not lifted When the patient attempts to turn his tiny paces become exaggerated in rate though reduced in amplitude in a funtistic fashion

Senile disorders of gait from spinal lesions are probably rarer This statement holds true particularly if we except from this category what must surely constitute the most common cause—namely partial spinal compression from cervical spondylosis Vertebral lesions that spinal paraparesis in the aged may perhaps also occur as a result of a senile combined sclerosis within the spinal cords of aged persons Perhaps that is to carry an attempt at pathologic precision too far

The muscles and tendons of the legs may themselves be the seat of advanced fibrotic changes to such a degree as to interfere with functional activity The central and peripheral nervous systems are not necessarily involved This is the condition which was originally described by Lhermitte under the term senile myopathy or myosclerosis and which resembles in many ways the clinical picture of dermatomyositis in younger subjects (2 53-55) Aged patients rendered bed fast by reason of some incapacity—cardiac or pulmonary perhaps—often slowly develop this myosclerotic contracture of their lower limbs The muscles become hard to the touch and somewhat tender and the overlying skin is bound down to the deeper tis-

sues. The muscle bellies and the tendons stand out conspicuously. Gradually a flexion attitude of the legs develops and eventually becomes extreme. Passive correction of the new posture is impossible even under deep anesthesia and active movements are considerably restricted. Standing and walking are quite beyond the patient's capacity.

This condition of progressive myosclerosis of the lower limbs is not at all rare and may be only too often witnessed in institutions for the aged or in domiciliary patients. Immobility is the most important etiological factor, and this may be the consequence of neglect or inadequate nursing care. Despite its common occurrence this syndrome has received scant attention in the neurologic literature.

Senile muscular atrophy. Within this category belong a number of ill defined conditions where localized muscular wasting shows up prominently against a background of a more evenly spread atrophy. Involuntary movements may be visible which can be variously described as fibrillation or "fasciculation." Usually the condition is intermediate between these two and the flickering shows itself particularly when the affected muscles are in active contraction as during the performance of a voluntary movement. Cramps are also a frequent complaint referred to the affected musculature and they may occur during states of rest (as in bed at night) or during activity.

One characteristic variety of localized muscular wasting in the aged is to be found in the hand. Most very old persons have a certain thinning of the

but the clinical picture of a senile myatrophy of the hand is even more striking when fibrillation also occurs and when there are no gross changes in the metacarpo-phalangeal joints. Sometimes the atrophy is limited to the muscles of the thumb as in the anterior teophromalacia of Marie and Foix (56). Lhermitte and his associates (57-58) have devoted much attention to this condition and to its possible pathogenesis. They have raised the interesting suggestion that the group of nerve cells in the ventral cornua of the 6th and 7th cervical segments corresponding with the innervation of the thumb is in a precarious state as regards its arterial supply so that a senile endarteritis would easily lead to a local ischemia of that part of the spinal gray matter.

age

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in some ways the clinical picture resembles that of motor neuron disease—especially the Aran Duchenne variety. On the other hand it does not inexorably advance and lead to death from bulbar involvement. More commonly it worsens only up to a point thereafter it

a step or two the legs may give way, the patient may stagger wildly, or one leg may cross in front of the other. This is the "astasia trépидante" of the French neurologists, a variant is sometimes spoken of as Petré's gait (48). Here the patient makes a few tiny steps and then comes to a standstill, with coaxing, however, he steps out almost normally. Again after a few paces he halts and is reluctant to proceed. With further encouragement he starts again. So it continues a few steps, and then a pause, and so on again.

There has been some discussion as to the nature of this type of gait disorder (49). Some have looked upon the trouble as evidence of a senile hysteria. Others have regarded the disability as being apraxic in nature (50, 51). Quesnel (52) discussed functional disorders of gait as occurring in the aged and recognized three clinical groups: 1) a mild and readily curable disability, 2) more severe impairment, associated with mental disease, and 3) an organo functional type in which structural disease of the bones, joints or nerves may be present. In this third group belong those cases of functional paraplegia supervening in patients bed ridden because of a fracture.

It is also common to see in aged subjects the characteristic difficulties in walking which are so typical of the arteriopath. Here belong the small steppage gait of the patient with progressive lenticular disintegration, with pseudo bulbar palsy or with arteriosclerotic parkinsonism. Sometimes the gait is so disordered as to be ridiculous. The patient shuffles along, swinging his arms in an exaggerated fashion and advancing the toes only an inch or so with every step. The feet are rapidly slid along the ground, not lifted. When the patient attempts to turn, his tiny paces become exaggerated in rate, though reduced in amplitude, in a fantastic fashion.

Senile disorders of gait from spinal lesions are probably rarer. This statement holds true particularly if we except from this category what must surely constitute the most common cause—namely, partial spinal compression from cervical spondylosis. Vertebral lesions apart, spinal paraparesis in the aged may perhaps also occur as a result of a senile combined sclerosis within the spinal cords of aged persons. Perhaps that it is to carry an attempt at pathologic precision too far.

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kind The patient usually denies having lost consciousness but it is possible that an extremely brief interruption in the stream of consciousness may have occurred without his knowing it

Giant-cell arteritis may well be included among the specific disorders of the senium which may present themselves as neurologic problems Head ache is not a particularly common complaint in the elderly so that the appearance—late in life—of very intense neuralgia like pains localized within one area of the scalp should lead to the suspicion of a temporal form of giant-cell arteritis The adjective temporal is unfortunate for the arterial disease is often very wide spread and even when it affects the head it is not necessarily a temporal artery which is most involved (61) The diagnosis is not difficult being assisted by a conspicuous distension of the affected artery with exquisite tenderness upon handling Concomitant visual symptoms due to involvement of the retinal arteries are common There is generally a constitutional reaction with low fever malaise loss in weight eosinophilia and a raised blood sedimentation rate

The foregoing constitute the main specific neurologic affections of the sen —

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(10) *juvono-cerebellar atrophy* delayed cortical cerebellar atrophy Pick's presenile dementia due to localized symmetrical cortical atrophy Alzheimer's psychosis paralysis agitans motor neurone disease and even some of the heredo-cerebellar ataxias It is not yet possible to account adequately for the selective decay of certain systemic or regional neuronotonic groupings in such conditions and naturally the hypothesis of a premature involution comes up for discussion This was specifically considered by Gowers who employed the term *abiotrophy* to apply to an inherent endowment of a limited viability to a particular part of the nervous system* Although Gowers ideas and also his term *abiotrophy* have come

*Gowers doctrine is so often involved and his original description so rarely quoted that it is perhaps desirable to reproduce some of the sentences contained in his lecture of February 21st 1909 (6) many of these parts have their own vitality Some of them may slowly die while the life of all the rest goes on

may die free—

some may

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Criteria on which the process is

valuable and I think it instructive

to say so I am met by the different

conception of a degeneration or

not like new words indeed I dislike them—but if we have a conception for which no

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seems to halt neither progressing nor regressing. Cases of this type were described long ago by Oppenheim, Michell Clarke (59) and recently by Neumayer (60).

Senile chorea deserves mention among the specific neurologic affections of the senium. Admittedly it is a great rarity, for one must be careful to exclude the possibility of a Huntington's chorea of unusually late development. Indeed we must at all times consider the possibility of there being a remote connection between Huntington's disease and senile chorea and we recall Haldane's suggestion that as the centuries go by Huntington's chorea may be changing its natural properties by tending to show its first signs at a progressively later age.

Sufficient pathologic evidence exists to support the demarcation of a rare disorder of the senium characterized clinically by the late and gradual appearance of choreiform movements without any genetic properties and without any demential changes other than mild intellectual enfeeblement consistent with the patient's age. Such cases are attended on the pathologic side by neuronie outfall in the putamen and caudatum without any gross ischemic lesions and without any affection of the corpus Luysii or of the cortex (61).

Among the specific disorders of the senium we may also refer to one which embraces both neurologic and psychiatric features—namely Jakob's disease. This term is one which might be appropriately applied to the condition originally termed senile Vorsteifung or senile Muskelstarre (62). A condition of marked dementia occurring in the aged is associated clinically with severe extrapyramidal disorder. The neurologic picture is rather like that of Parkinson's disease *sine tremore* occurring in an unusually aged subject. From a pathologic standpoint there occurs nerve cell atrophy in the cortex and in the basal ganglia especially the pallidum. Senile plaques are demonstrable within the globus pallidus.

Senile epilepsy includes a variety of disorders of consciousness which may first show themselves in the elderly. Convulsive manifestations are not always present and the term *senile syncope* might be preferable at times. Patients differ according to the depth and duration of the disturbed consciousness, the circumstances under which an attack occurs and the consequent subjective state. Temporary excitement and confusion may follow each episode as in the variety of senile seizure specifically described by Barber (63). A broad distinction may be made between the cases which are of cardiac origin and those which are cerebral in type. In both categories

without experiencing any premonitory dizziness or warning of any of the

kind. The patient usually denies having lost consciousness, but it is possible that an extremely brief interruption in the stream of consciousness may have occurred without his knowing it.

Giant-cell arteritis may well be included among the specific disorders of the senium which may present themselves as neurologic problems. Head ache is not a particularly common complaint in the elderly, so that the appearance—late in life—of very intense neuralgia like prisms localized within one area of the scalp should lead to the suspicion of a temporal form of giant-cell arteritis. The adjective "temporal" is unfortunate, for the arterial disease is often very wide spread and even when it affects the head it is not necessarily a temporal artery which is most involved (61). The diagnosis is not difficult being assisted by a conspicuous distension of the affected artery with exquisite tenderness upon handling. Concomitant visual symptoms due to involvement of the retinal arteries are common. There is generally a constitutional reaction with low fever, malaise, loss in weight, eosinophilia and a raised blood sedimentation rate.

The foregoing constitute the main specific neurologic affections of the senium. It would be wrong not to refer, however, to the notion of a possible limited and also premature type of aging within the nervous system. There immediately come to mind such conditions as the progressive cerebellar degenerations (olivo-ponto-cerebellar atrophy, delayed cortical cerebellar atrophy), Pick's presenile dementia due to localized symmetrical cortical atrophy, Alzheimer's psychosis, paralysis agitans, motor neurone disease, and even some of the heredo-cerebellar ataxias. It is not yet possible to account adequately for the selective decay of certain systemic or regional neuronic groupings in such conditions and naturally the hypothesis of a premature involution comes up for discussion. This was specifically con-

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vitality a defect which seems to be inherent the tendency thereto inborn. We do not intend apply the word death to this slow decay of the elements we speak of it as "degeneration" but the process is in many cases perhaps in most an essential failure of vitality and I think it instructive to consider the deeper con-

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in for criticism, the notion cannot be carelessly brushed aside. Gowers was very much alive to the speculative nature of his hypothesis and to the dangers of attaching a label to something imprecise and even nebulous. We recall that the Vogts also later conceived of a "pathoclisis" to explain local tissue vulnerability.

A correlation between the morbid anatomy of aging of the nervous system and the pathology of the hereditary ataxias has particularly attracted attention and more so in recent years. Gowers' paper on ataxiotrophy dates from 1902 (65). Raymond stressed the relationship between familial disorders and a premature localized senescence in 1908 (66). A similarity in the neuronie changes which occur both in aging and in the ataxias has been the subject of comment by Marinesco (67), Orton (68) and others. In a recent symposium upon genetic disorders, Schut (69) has drawn attention to a senile type of change in the skin of ataxic patients. He believed that the facial expression matures early, and that some ataxic individuals appear older than their actual age. Most clinical neurologists, however, would hesitate to accept these views unreservedly.

The doctrine of ataxiotrophy is, however, showing signs of being whittled away by advances in neurochemistry. Thus the earlier views of Jellgersma (70) and Lewy (71) that Parkinson's disease can be looked upon as a pallid involu- tion is losing ground (72). Motor neuron disease is more and more under suspicion of being a toxic disorder of the spinal cord, rather than a primary degeneration.

DISCUSSION

The foregoing account of the nervous system in the aged aims at bringing up to date our present knowledge of the subject, inadequate though our ideas may be. It would be unwise to close the discussion without indicating

name exists which we need frequently to speak of, it is not wise, I think, to shrink from an attempt to give it a name. Here the simplest mode of obtaining what we need is to insert the root of *bios* after the negative particle in "atrophy." This gives us "abi-trophy." But it is generally better, if you can, to appropriate what you need than to make it afresh, and we find the word *βιωτροφος* used in the sense of "vital nutrition." If we prefix the negative particle we have the same word "abi-trophy." If a more general term is desired the adjective biotic has been occasionally used in English in the sense of vital, and from this we may form abi-otic to designate that which depends on defective vitality. A corresponding substantive would be abi-osis, and this also has some warrant. The word *βιωσις* was employed once or twice in old Greek meaning "a life of life." It is given us by the physician who is more widely known and esteemed on account of the character and precision of his writings and the devotion of his life than any other medical man who has lived—St. Luke. It is pleasant, I think, to take a word from him. So I give you a choice of words by which to designate this unnamed conception.

Subsequent neurologists seem, however, to have agreed in preferring Gowers' first suggestion.

the purely neurologic considerations where more data are needed and where there is promise of expansion in our understanding of old age in general.

In the first place we need far more information as to the morbid anatomy of the senile nervous system. Léri's monograph on the senile brain (73) and Sanders' studies on the senile spinal cord (74) merely opened important chapters which are still far from being closed. Though there have been many subsequent descriptive accounts of the pathology of the senile nervous system most of the cases studied have been examples of senile dementia and not of healthy old age. In far too many cases information as to the patient's mental and physical condition before death has been quite inadequate. We require much more knowledge about the changes in the nervous system which are compatible with normal old age—that is to say, subjects who have died at a great age from some non-neurologic affection and who during life were in no way demented or psychotic.

The problem of the senile plaque is still of great interest to the clinico-pathologic investigator. Despite the considerable literature upon this subject we remain uncertain as to the meaning of these deposits—the 'black marks of old age' as they have been called. More information is needed as to the occurrence of these structures outside of the senium, their precise distribution within the nervous system and their correlation with chronological age and with intellectual deterioration.

Of great interest however is the belief that senile plaques may, in part at any rate, signify the operation of a histochemical anomaly. Divry (75) looked upon senile plaques as the expression of a disseminated hyalo-amyloidosis affecting the cortex. The traditional pragmatic attitude is therefore to regard them as evidence of a reactionary change directed against a specific metabolic process of toxic nature (76). First there occurs an involutional alteration in the chemistry of the ground substance embracing the neuron elements. In this way lipid (or possibly amyloid) substances are precipitated. As a result

the senile plaques may be regarded as a biologically by a profusion of plaques may be to some extent at any rate—exogenous reactive or secondary manifestations of an aging process (a *senium ex morbo* rather than a *senium naturale*).

Special attention might also be paid to the involutional changes which can be found within the structures constituting the black marks. Here the changes which occur

in the relationship of the choroid plexus between youth

and age and it is possible that evidences of aging are detectable here comparatively early. It is tempting to equate such changes in the plexus as occur with advancing years with a progressive inadequacy in its role as a filter or barrier.

Another histologic problem which still requires elucidation is one to which I drew attention 24 years ago (16). I refer to the differences in the nervous tissue reaction to a known noxa according to age. It would be a relatively simple matter and perhaps an illuminating one to compare and contrast the minute histologic changes within the cerebrum in cases of general paresis occurring in children, adults and the aged. Or the glial reaction around a tumor or a region of cerebral laceration might well be studied and documented with reference to the actual age of the patient concerned.

Yet another problem deserves detailed examination along both histologic and clinical lines. I refer to those rare but highly important cases of precocious senility met with in childhood. Although we are fairly well conversant with the skeletal, dental, dermatologic and endocrine reactions of these cases, we are still completely ignorant of the neurologic and neuropsychiatric aspects. We do not know, for example, whether such neurohistologic characteristics as senile plaques, Alzheimer's neurofibrillary tangles or the Bouman type of Purkinje cell change occur in cases of senium precox, progeria or even premature senility. We know nothing of intercurrent neurologic disease in such cases or indeed what constitute the clinical norms on routine testing of the nervous system. We know but little of the intellectual capacity of such patients or of their personalities or emotional life.

Let it not be asserted that discussion of this sort is academic or impractical. On the contrary, it is hard to conceive of a biologic problem which promises to be more utilitarian in its scope. It is to neurohistology that we look for information as to the relative importance of endogenous versus exogenous factors in the natural processes of old age. As already emphasized herein lies the crux of the problem of extension of the life span and the preservation of mental and physical efficiency in the aged.

Although I have already used this quotation elsewhere (16) may I finish by repeating the words of Professor Tilney uttered in 1928 in New York.

Some day people will awaken to the fact that they have been missing the greatest constructive opportunities. One liberally supported and effective brain institute would prove an incomparably more profitable investment for civilization than the most powerful battle fleet that ever sailed the seas. The political party which will have the foresight and humanity to

DISCUSSION

Dr AUGUSTUS S. ROSE [Los Angeles, Cal.] It is a privilege for me to open the discussion on this scholarly paper. Although we are familiar with the richness of Dr. Critchley's many writings, there are many who will share my amazement in learning how much

population is timely. His paper will, without a doubt, become a reference of increasing importance for all students of the nervous system.

It is unfortunate that time was not sufficient for Dr. Critchley to complete the reading of his manuscript at this time. The thorough coverage of the subject within the imposed limitations makes it difficult for the discussor to find an item of difference. It is my desire, therefore, to emphasize and to stimulate further discussion.

As a part of the presentation, Dr. Critchley pointed out that the interpretation of the neurologic examination in elderly people may be considerably different from that of the average adult. Wear and tear and the local effects of trauma, infections, and disorders of circulation and metabolism may cause the pupils, joints, tendons, and muscles to develop atrophies, rigidities, and contractures. These changes interfere with the freedom of movement and may be confused with abnormalities in neurologic function.

A problem of major extent is presented by the elderly person with muscle and joint disease, giving a history suggestive of possible central nervous system pathology. Minimal signs upon which we depend in the examination of younger people, may be masked. These differences and difficulties in the neurologic examination of the elderly are important. However, it should be kept in mind that the neurologic functions which are tested by the usual clinical examination do not disappear because of age alone. The absence of a tendon reflex or the failure of pupillary contraction may not be significant to the present illness, but they are abnormalities which require assessment in the aged as well as in the young.

With the tabulation and description of the neurologic disorders encountered in the aged, it is noteworthy that some type of alteration of the motor mechanisms accounts for the majority. Tremors, rigidities, and disturbances in mobility are the hallmark of the aging nervous system. Yet, if a statistical measure were made, there can be little doubt that memory defects and a fixation of mental attitude would be as frequently or more frequently found. It is said that aversion to change is the first sign of senility. The aging person becomes set in his ways, and there is often an unreasonable resistance to, and frequently an inability to deal with, new ideas. Can it be that this rigidity of mind like rigidity of the body, is a neurologic sign indicative of failure of neuronal function of the extrapyramidal system? A comparison of the mental status of elderly persons with and without the extrapyramidal syndrome might reveal interesting data in this regard.

The nature of the aging process is a subject of considerable complexity, as has been attested to already in this conference. . . .
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 . . . he stated that motor neuron disease is more and more under suspicion as a toxic disorder, rather than primary degeneration. Since one of the most important questions in the treatment of chronic neurologic conditions rests upon the answer to this riddle, it would be

and age and it is possible that evidences of aging are detectable here comparatively early. It is tempting to equate such changes in the plexus as occur with advancing years with a progressive inadequacy in its role as a filter or barrier.

Another histologic problem which still requires elucidation is one to which I drew attention 24 years ago (16). I refer to the differences in the nervous tissue reaction to a known noxa, according to age. It would be a relatively simple matter, and perhaps an illuminating one, to compare and contrast the minute histologic changes within the cerebrum in cases of general paresis occurring in children, adults and the aged. Or the glial reaction around a tumor or a region of cerebral laceration might well be studied and documented with reference to the actual age of the patient concerned.

Yet another problem deserves detailed examination along both histologic and clinical lines. I refer to those rare but highly important cases of precocious senility met with in childhood. Although we are fairly well conversant with the skeletal, dental, dermatologic and endocrine reactions of these cases, we are still completely ignorant of the neurologic and neuropsychiatric aspects. We do not know, for example, whether such neurohistologic characteristics as senile plaques, Alzheimer's neurofibrillary tangles, or the Bouman type of Purkinje cell change occur in cases of senium precox, progeria or even premature senility. We know nothing of intercurrent neurologic disease in such cases, or indeed what constitute the clinical norms on routine testing of the nervous system. We know but little of the intellectual capacity of such patients, or of their personalities or emotional life.

Let it not be asserted that discussion of this sort is academic or impractical. On the contrary, it is hard to conceive of a biologic problem which promises to be more utilitarian in its scope. It is to neurohistology that we look for information as to the relative importance of endogenous versus exogenous factors in the natural processes of old age. As already emphasized herein lies the crux of the problem of extension of the life span and the preservation of mental and physical efficiency in the aged.

Although I have already used this quotation elsewhere (16), may I finish by repeating the words of Professor Tilney, uttered in 1929 in New York:

Some day people will awaken to the fact that they have been missing the greatest constructive opportunities. One liberally supported and effective brain institute would prove an incomparably more profitable investment for civilization than the most powerful battle fleet that ever sailed the seas. The political party which will have the foresight and humanity to introduce into its platform an article advocating and supporting the longer and better use of the human brain will offer a worthy issue to its electorate.

Perhaps the most important fact that he brought out (and it was also emphasized by Dr Rose and is also applicable to the presentation by Dr Foley on vascular lesions of the brain) is that we may have to look outside the nervous system for some of these diseases that are usually considered to be neurologic. In arteriosclerosis the disease is generalized. The changes in the brain are only a part of the picture. Our primary problem is the cause of arteriosclerosis.

Dr Critchley noted that some of the so called degenerative diseases, particularly Parkinson's disease may not be degenerative in nature. I believe that as time goes on we can extend this concept even to Pick's and Alzheimer's disease, and that ultimately we will find that many of these conditions are not the result of premature death of the cell (because these were not born to live as long as other cells—the so called concept of abio-

psychiatrist and psychologist might have some answers to these things. May I therefore, as a psychiatrist again make a plea for the understanding of the dynamic quality which is inherent in all symptoms in later life.

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As I stated yesterday, I view these as dynamic processes and defensive maneuvers on the part of the ego. As such they are not static phenomena but processes capable of reversibility. It is this sort of belief which gives one courage and incentive to attempt therapy in the aging person.

Dr. WALTER O. KLINGMAN [Charlottesville, Va.] I would like to ask Dr. Critchley one question. I wonder whether in the pure form of senescence—and Dr. Critchley said there are very few—there are any changes in the electrophysiology of the brain. This problem has not entered the discussion as yet. Are there any changes in the electroencephalogram? Are there evidences of an alteration of the normal patterns as the brain undergoes senescence?

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prototypes of the future.

of great interest if Dr Critchley would give us the benefit of his own thinking in this matter

Specifically if involution and death are the natural and inevitable sequelae to growth and maturity in the cells and the cell systems can the process of involution be hastened differentially? And what are some of the factors acting in concert which may bring about slow premature death in some tissues without affecting others? If death can be hastened it should be possible to slow or alter its progression

In considering the neurologic disorders of the aged and asking questions concerning treatment we come to the basic matter of pathology. What is actually to be found in the nervous system of a large number of persons dying in old age?

The Los Angeles Veterans Administration Medical Center, with which I have been associated in part during the past five years is a facility of approximately 7600 beds. The 5800 beds in the domiciliary home are coveted by old soldiers from all parts of the country. More than 80 per cent of the population of this unit are older than 60 years and there are at all times more than 300 individuals domiciled here who are older than 70 years.

Recently my associate Dr Harry Fang with the assistance of the Medical Records Librarian reviewed the neuropathological findings in a series of autopsies performed at the L A V A Medical Center, for the purpose of answering the question, what is the frequency of primary and secondary disease of the nervous system in elderly persons and what is the relative importance of circulatory factors? These data may be summarized as follows:

1 In autopsies performed on 130 patients 75 years or older from the General Hospital and Domiciliary Home during the year 1953 there were 92 with vascular lesions (softening 46 thrombosis 41 intracerebral hemorrhage 3 subarachnoid hemorrhage 2) 1 with degeneration of basal ganglia 3 with glioma and 31 with no neurological disease noted.

2 In 9 consecutive autopsies performed on patients 70 years or older from the Neuro Psychiatric Hospital between 1917 and 1950 60 were found to have cerebral softening: 3 intracerebral hemorrhage 5 subdural hematoma 3 old neurosyphilis 5 degeneration of basal ganglia 1 meningioma 1 cerebral metastasis 17 no abnormality.

These are impressive data. They strongly indicate that vascular or circulatory disorders are demonstrable in the brains of 70 per cent of persons older than 70 years of age. These figures would lead one to believe that future study might appropriately be directed first toward the blood vessels and the circulation of the central nervous system and their contribution to cellular breakdown before accepting the concept that the aging process is a primary degenerative phenomenon.

DR H. HOUSTON MERRITT [New York, N. Y.]: It is a great pleasure to have heard Dr Critchley and we wish to thank him for taking time out from other important obligations to attend this meeting. He has in his comprehensive survey covered the subject of the neurologic abnormalities of the aged. There are a few comments I should like to make in the way of emphasis.

He spoke of changes that we find on routine neurologic examination in elderly patients. I think he will agree that occasionally we will find in a man or woman of over 90 almost the same type of neurologic findings that we expect in a younger person.

He emphasized that the usual changes that occur do not follow the phylogenetic hierarchy in that the newer system seems to be better preserved than the older ones. The basal ganglia are particularly vulnerable and the symptoms that arise from presumed involvement of this structure are akinetic and amyostatic rather than hyperkinetic. He emphasized the fact that in interpreting the examination of these individuals one had to consider structures other than the nervous system—that changes in the muscles and tendons and the joints participate in the clinical picture.

Perhaps the most important fact that he brought out (and it was also emphasized by Dr Rose and is also applicable to the presentation by Dr Foley on vascular lesions of the brain) is that we may have to look outside the nervous system for some of these diseases that are usually considered to be neurologic. In arteriosclerosis the disease is generalized. The changes in the brain are only a part of the picture. Our primary problem is the cause of arteriosclerosis.

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Dr JACK WEINBERG [Chicago, Ill.] I was interested in Dr Critchley's discussion of the posture of the neurologic older patient. I was happy to hear him say that possibly the psychiatrist and psychologist might have some answers to these things. May I therefore, as a psychiatrist, again make a plea for the understanding of the dynamic quality which is inherent in all symptoms in later life.

One must see the aging organism as being in a constant state of adaptation, making constant attempts to adapt to an ever changing scene. This adaptive ability obviously requires energy and energy is not easily available in later life. Adaptation requires not only perception and interpretation of that which is asked of the organism but also execution of an action. All that requires an expenditure of energy, one may see in the aging organism, an attempt at conservation of this energy which may appear to the observer as partial or total slowing down or an appearance of rigidity on the part of the aging patient.

As I stated yesterday, I view these as dynamic processes and defensive maneuvers on the part of the ego. As such they are not static phenomena but processes capable of reversibility. It is this sort of belief which gives one courage and incentive to attempt therapy in the aging person.

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Dr D. EUGEN CAMERON [Montreal, Canada] I would like to ask Dr Critchley three questions. One of them has been in part at least asked by Dr Houston Merritt. I would like to ask him about those people over the age of, shall we say, 70 who show very rapid movements who are quite capable of turning around in a very short time. I pick up the bell and ring it. I am sure that at 70, not

what is really normal (and of course by normal we mean what is customary now) I suppose that the heights and weights of the normal of the average population are not those which were normal fifty years ago. I would think that these people who can do things at 70 that most people cannot do at 70 might be looked upon perhaps as the prototypes of the future.

My second question is this I would like to ask about this business of *lending down* to pick up things Perhaps a decade or so ago I had a mentally alert elderly colleague He and I were putting on our rubbers preparatory to going out into the Montreal snows and he said 'Now look at this particular point you will see who is old and who is not because I have to hold onto something whereas you do not You just put your rubbers on and then put your finger in the back and pull it on'

I don't think that this matter of holding onto something is tied up with rigidity I think it is something else and I would like to ask Dr Critchley if he can comment on it It is obviously different from the thing that the elderly wife complains about when she says 'I now have to lace up my old husband's shoes for him' That I think is rigidity

The third question I would like to raise with him is this He talked about the sort of resistance which the old person had to even a passive movement and he offered several suggestions—in fact I was a little suspicious since he offered several as to whether any of them were really altogether satisfactory to him

I would like to add another possibility and have him comment on it if he will that is when you see an old person coming to you you may be reasonably sure that you are seeing somebody to whom nothing particularly good has happened for about ten years Nearly every change has been a change for the worse

These people have a much more pervasive attitude of anxiety and fear than most of us give them credit for I would be inclined to feel that that anxiety and fear are generalized over into almost every kind of change that you can imagine even into changes of posture and the like This then constitutes the third question as to whether we are really giving enough recognition to anxiety and fear as pervading almost anything a close—the way he moves the way he turns around the way he steps out and walks

DR MACDONALD CRITCHLEY The changed attitude towards the so called abiotrophies is an important conception in modern neurology It is true that the evidence is not great and is largely based upon histologic similarity between toxic or metabolic disorders of the nervous system and the pathology of the so called abiotrophic disorders But I am sure I am right in saying that many diseases formerly labeled abiotrophies are under suspicion and they are gradually being whittled away one by one This is of course encouraging because it does offer a ray of hope in prevention and in treatment

Dr Klingman has asked me about any specific alterations in the electrophysiology and whether they correlate with this dissolution process I don't know Dr Klingman I know that work is going on in various centers on this problem but I don't know what has been found

I quite agree of course that there are some old people who do not show slowness of movement and whose nervous system conforms to the normalcy of young adults

One of the difficulties in stooping and rising again in an old person is of course sluggishness of the vasomotor control leading to dizziness which at least is as important perhaps as rigidity

I am not sure about anxiety in old people as being an important factor in determining rigidity I have analyzed 22 old people who came to me aged 70 and over with relation to the nature of their symptoms and anxiety occurred in only 29 I should think that this rigidity, if it has to be correlated with anything psychologically is more likely to be correlated with mental viscosity and inertia rather than actual anxiety

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tures are the same as for younger patients, it is only the tempo that is different. Hemiplegia is an excellent example

HEMIPLEGIA

One of the most significant disabilities in terms of the numbers of patients seen by neurologists is hemiplegia usually the result of brain damage following thrombosis embolism hemorrhage, or trauma. There are no definitive statistics available on the number of hemiplegics in the United States but estimates have been as high as 1 800 000 (2)

Some idea of the magnitude of the problems may be gleaned from the fact that stroke is listed as the third major cause of death in the United States ranking behind only heart disease and cancer. Even so the majority of patients sustaining a stroke or apoplexy do not die from the initial insult. Instead they improve to a greater or lesser degree, and often live a number of years despite their residual disabilities.

The diagnosis is not difficult but in the past unfortunately, the medical attitude toward the hemiplegic has been one of hopelessness and passive acceptance. Such patients in municipal hospitals and chronic disease institutions have always posed serious disposition problems and have crowded the few nursing homes and institutions for custodial care. Usually they re

chronic custodial cases of which 36 were hemiplegic it was found that only seven of the 95 were in need of continued hospitalization (3)

In the hands of the physician trained in rehabilitation and the rehabilitation minded neurologist the hemiplegic is not a lost cause and 92 per cent of the proper urinary and

tion 30 per cent taught to do gainful work, usually in the fields related to previous work experience. Further evidence of the value of dynamic rehabilitation services has come from a carefully controlled study in which objective quantitative analysis of comparable groups of patients indicated that a retrained group demonstrated over 130 per cent more gain in activities of daily living than did an untrained group (4). The return of range of joint motion and muscle strength were also more pronounced in the retrained group although the increments were not as striking as in the activities of daily living.

In training the elderly hemiplegic it is important that in the early weeks of the disability as much of the training as possible be done at or around

CHAPTER XIV

REHABILITATION OF THE ELDERLY NEUROLOGIC PATIENT

HOWARD A. RUSH

Through the years the neurologist has been considered by his colleagues to be the great master of finite diagnosis—a skill that has required not only the most complete knowledge of neuroanatomy and neurophysiology but infinite patience and skillful deductive reasoning. In the past however a great number of neurologic diseases were considered to be hopeless once the diagnosis was established for the etiology was unknown and the therapeutic tools were few and far between.

Although many neurologic conditions are still enigmas today the attitude has changed. Even though there are but few specifics the neurologist recognizes that many of his patients can be materially helped with the tools of modern rehabilitation. Leadership in the field of neurologic rehabilitation has come from both the neurologist and the specialist in rehabilitation. There are numerous examples of substantial even dramatic results where these tools have been vigorously used.

Soon after World War II such a program was inaugurated at the Veterans Administration Hospital, Minneapolis, for 130 chronic neurologic patients, all but two of whom were veterans of World War I and many of whom had not been out of bed in 10 years. After 9 months of rehabilitation 25 had left the hospital and were employed and 10 others had been discharged to their own homes capable of light work. Of those remaining 30 were ambulatory and undergoing advanced rehabilitation and 25 were capable of some self care. All but ten of the group had shown some worthwhile permanent improvement (1).

Rehabilitation is a teamwork job, not only in name but in operation. In our own services at the New York University Bellevue Medical Center the Physical Medicine and Rehabilitation Service work hand in hand with the Neurologic Service exchanging residents, joining in common rounds and participating in patient seminars together. All in all it has been not only a

his family and the community.

The subject of our discussion today is that of rehabilitation of the elderly patient. In the rehabilitation management of the elderly patient the proce

can usually be lengthened by means of stretching and a short leg brace with a 90 to 110-degree stop at the ankle to maintain the gains made by stretching and ambulation

Flexion and extension movements at the hip and knee can usually be performed by the spastic hemiplegic subject who is started on early ambulation. When, however, the hip and knee are flexed, as in walking, the foot dorsiflexes and supinates. The patient is usually afraid to place the supinated foot on the floor because of instability. To prevent this, he walks with a fixed knee joint and circumducts the lower extremity. This is a slow, awkward gait and, if used for a period of time, the patient develops a pattern of walking that is cumbersome, fatiguing and difficult to correct.

A double bar, short leg brace with a stirrup attachment, 90-degree ankle stop and a supinator T strap should be prescribed to prevent plantar flexion and supination of the foot and give the patient confidence so that he will flex his knee and hip. With the brace, and a cane in the unaffected hand for balance, most hemiplegics soon learn to walk unassisted.

As a return of function in the affected upper extremity is usually very slow, it is essential to teach the patient to care for his daily needs with his unaffected arm.

A right hemiplegia in a right handed person is an especially serious disability. The patient must learn to adapt himself to the new situation as early as possible, as the patient must become left handed if he ever hopes to care for his daily needs. Simple tasks in eating and dressing are often difficult for the patient. The patient is an individual and the rehabilitation program must be tailored to his needs. The patient must be encouraged to do as much as possible for himself. The patient must be taught to use his unaffected arm and leg. The patient must be taught to use his unaffected arm and leg. The patient must be taught to use his unaffected arm and leg.

During a long period of time in conjunction with coordination exercises.

The fingers of the spastic hemiplegic patient are most difficult to re-educate for useful purpose. If adequate function is attained, it takes a great deal of time and concentrated effort by both the patient and the therapists.

In the rehabilitation of the hemiplegic, it is readily apparent that numerous factors will determine the degree of results obtained in ability to perform the activities of daily living. Some patients will achieve a surprising degree of recovery of function, others will be left with a permanent disability.

the bed for in this period there is still localized edema with continuing confusion. Even a move toward the end of the ward can on occasion be a confusing and disturbing experience. Here again the general rules for the management of the elderly patient must be fitted to the rehabilitation training program.

The objectives of a program of rehabilitation for the hemiplegic patient are 1) to prevent deformities 2) to treat deformities if they occur 3) to retrain the patient in ambulation and elevation activities 4) to teach him to perform the activities of daily living and to work with the unaffected arm and hand 5) to retrain the affected arm and hand to its maximum capacity, and 6) to manage facial paralysis and speech disability if present (5).

The ordinary spastic hemiplegic when lying in bed holds the upper extremity in abduction and internal rotation with the elbow, wrist and fingers of the affected part in a flexed position. The affected lower extremity is usually flexed at the hip joint, the knee is flexed and the ankle is plantar flexed and supinated.

If an active program is started within a few days following the cerebrovascular accident there is no need for any special procedures to protect the affected limbs. If however the patient must remain in bed for a period of time procedures must be instituted to prevent deformities.

A posterior half shell lower extremity splint is used to prevent shortening of the heel cord. A pillow in the axilla and sandbags laterally will prevent abduction and rotation of the shoulder joint, a frequent residual deformity in hemiplegia. Passive movements of the arm in abduction, external rotation and in the overhead position should be performed several times a day to prevent a frozen shoulder.

The principal deformities that may occur are a frozen shoulder and short heel cord. The use of heat and massage to the arm and shoulder are of value in preparing the part for stretching. Passive movements of the shoulder are useful in increasing the range of motion.

The use of pulley therapy to prevent shoulder ankylosis will also aid in the development of reciprocal patterns in the upper extremities. This can easily be managed with the use of a modification of an overhead frame, a window pulley and a length of clothesline. Experience has shown that the relative return of function in the affected arm is usually less than in the involved leg. Coordination exercises may be helpful but emphasis should be placed as early as possible on the use of the unaffected arm. This is especially true of the relatively young patient. In addition a triangular arm sling should be used to elevate the affected arm. This is of aid in minimizing localized edema and in preventing shoulder subluxation.

A short heel cord seldom requires operative procedures. The heel cord

can usually be lengthened by means of stretching and a short leg brace with a 90 to 110-degree stop at the ankle to maintain the gains made by stretching and ambulation.

Flexion and extension movements at the hip and knee can usually be performed by the spastic hemiplegic subject who is started on early ambulation. When, however, the hip and knee are flexed as in walking, the foot dorsiflexes and supinates. The patient is usually afraid to place the supinated foot on the floor because of instability. To prevent this, he walks with a fixed knee joint and circumducts the lower extremity. This is a slow, awkward gait and if used for a period of time the patient develops a pattern of

caution and supination of the foot and give the patient confidence so that he will flex his knee and hip. With the brace, and a cane in the unaffected hand for balance, most hemiplegics soon learn to walk unassisted.

As a return of function in the affected upper extremity is usually very slow, it is essential to teach the patient to care for his daily needs with his unaffected arm.

A right hemiplegia in a right handed person is an especially serious disability because of the sensory and motor aphasia and the lack of skill in the left hand to perform the activities essential for daily living. The training of the left hand should be started early as the patient must become left handed if he ever hopes to care for his daily needs. Simple tasks in eating and dressing should be started. Left hand writing must be practiced as this is an important means of communication—especially when speech is affected.

Training of the fingers of the spastic hemiplegic patient is usually over a long period of time in conjunction with coordination exercises.

The fingers of the spastic hemiplegic patient are most difficult to re-educate for useful purpose. If adequate function is attained, it takes a great deal of time and concentrated effort by both the patient and the therapists.

In the rehabilitation of the hemiplegic, it is readily apparent that numerous factors will determine the degree of results obtained in ability to perform the activities of daily living. Some patients will achieve a surprising degree of recovery of function, others will be left with a permanent disability. The degree of recovery of function is determined by the amount of practice to be done.

to perform many acts of daily living either independently or with a minimum of assistance from another person. Such devices should be used only when necessary and before a device is given to a patient retraining in the activity is a necessity.

One of the most difficult problems in the management of the hemiplegic is the problem of aphasia. It is extremely important for the physician to explain both to the patient as soon as he is conscious and to his family what aphasia is and why the patient is unable to speak. Simple as such a procedure is, it is commonly overlooked. This should be done as soon as the patient has recovered consciousness and aphasia is noted in order that his fear of losing his mind may be allayed. He can be told factually and honestly that there is no specific medical therapy for aphasia and can be impressed with the necessity for retraining.

We have recently had an interesting experience in the management of hemiplegia in our own department (6). Birch attacked the problem by attempting to modify the block rather than accept the theory of subtraction. He chose 14 aphasic patients who had been under therapy for six months or longer and who had a vocabulary of less than five words. By giving them a shocking dose of sound, he found that in a matter of seconds their vocabulary increased from 12 to 15 words and by continuing the shock over longer periods of time gains could be increased and maintained. More than 80 per cent of the initial group were trained to the point of an adequate vocabulary for daily use. While the series is far too small for any conclusion, it certainly presents an interesting approach to a most difficult problem.

Hemiplegia presents one of the most challenging problems in medicine today in both total numbers and therapeutic complexities. With a dynamic approach to the problem of the hemiplegic using rehabilitation techniques that have been developed to meet the total needs of the individual, much can be offered to these severely disabled patients and many can be trained so that they achieve lives of self-sufficiency and usefulness.

One of the great neurologists of our time, Spiller, gave one of the therapeutic keys to modern rehabilitation when he said: "Action absorbs anxiety." Directed action does more than absorb anxiety. It can provide the tools for learning that can take a surprising percentage of even the elderly neurologically handicapped back to lives of comfort and self-care and many back to gainful occupations. If one word could be used to designate the therapeutic goals in such a program, it would be the attainment of dignity.

DISCUSSION

DR. PEARCE BAILEY [Bethesda, Md.]: Dr. Rusk, the outstanding leader of the modern dynamic movement in rehabilitation, has discussed the role of the neurologist as a diag-

nosician and also the broader therapeutic role that clinical neurologists are assuming in medical practice.

We might say that the expansion of neurology in the last five years may be attributed to three major developments in this field, one being the discovery of new medications for the control of certain neurologic disorders, such as epilepsy and myasthenia gravis, the second being the discovery of vast new research vistas in experimental neurology, particularly in the areas of neurophysiology, biophysics, and biochemistry, and the third being the gradually growing interest of neurologists in assuming a long time responsibility in programs for the care of chronic neurologic patients, for whom no specific treatment is known.

With this movement has grown a considerable expansion of neurology, particularly through training grants programs, which have been developed and supported by the National Institute of Neurological Diseases and Blindness. While this training grants program is currently only in its second full year, as a growing project which requires at least five years for any definitive evaluation, yet certain preliminary spot observations already have been made: a general improvement in the quality of neurologic training; 50 per cent more neurologic residents in training now than two years ago; and more than 100 per cent increase in the number of medical schools having established graduate training

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and simple is not really a physician who has won the heart and backing of his patients

The future training of clinical neurologists who wish to assume responsibility in the total care of their patients must extend, therefore, beyond the methodology of the routine neurologic examination, for instance, additional emphasis must be placed on training in techniques for the determination of the patient's present and potential capacity to develop useful neuromuscular patterns. Such a program also calls for the neurologist to broaden his sights for a fuller comprehension of the patient's needs, not only in relation to the situation and care of his neurologic disabilities as a hospitalized case, but also in relation to his life as a whole.

his is the business of all researchers—the methodology for the evaluation of the rehabilitation of chronic patients? It is obvious that the existing accepted methodologies of natural science and empirical methods must be revised if we are to apply the results of basic investigations to the rehabilitation of chronic patients.

The second matter is one of a professional dichotomy which exists to a certain extent, and even larger than I had thought previously, after having attended a meeting on the problem of neurological disability as a public health question under the auspices of the National Public Health Council last week in Arden House, Harriman, New York. The dichotomy comprises the physician, on one hand, and the professional personnel concerned with the educational, psychologic, social, and economic adjustment of the patient, on the other. Where do the responsibilities of medical rehabilitation end? Where is the line of demarcation, between medical and social or educational rehabilitation, or should there be no line of demarcation, and should it all be included under the caption of medical rehabilitation?

DR AUGUSTUS S. ROSE [Los Angeles, Cal.] Our experience in Los Angeles in rehabilitation has been primarily directed toward the epileptic. I would like to comment in this connection that the rehabilitative method has been used by taking advantage of subcontracts from industry and paying the patients an actual wage. These individuals are those in the domiciliary unit of the Los Angeles Veterans Administration facility. They represent the extremely disabled. The majority are domiciled for life. Convulsive seizures have been poorly controlled despite attempts with all forms of medication. They are difficult personalities, and many of them have not had remunerative work in any form for many years. Most of them have lost self esteem and any feeling of being a part of the community.

This experience has demonstrated a number of very satisfactory results, among the most important of which is the gaining of self assurance by the individuals and a feeling that they are a citizen again. Seizures improve without change in medication. They stop complaining about health and living conditions. The jingle in the pocket seems to us to be an important motivation. In fact, in these individuals the desire to have an income is of such importance we wonder if it shouldn't be utilized when possible in all rehabilitation efforts.

DR MABEL ROSS [New York, N. Y.] May I thank Dr. Rusk for pointing out that rehabilitation, true rehabilitation, means training in relation to the realities of the life that the individual is going to live later. There is, it seems to me, some danger of substituting the Golden Age Clubs for real rehabilitating programs, because they are so much easier.

Age Club to satisfy the needs of the older people in the community is too much like assuming that giving children many toys will substitute for real attention on the part of their parents.

DR WALTER O. KINGMAN [Charlottesville, Va.] I would like to reemphasize what Dr. Rusk has already mentioned, namely, that in one segment of our society, that is, the veteran, this matter of care for the aged has become a problem of tremendous proportions. We are already facing it. There is no need in deluding ourselves about it. It is here.

I think that Dr. Rusk has pointed the way and spearheaded one means of approaching this matter that has proved to be successful. In the meantime, of course, as he points out, we hope that something can be done in the way of helpful investigative work and research work. I am sure that he could have told us many more interesting things about the adjuncts in the care of the aged that apply more particularly to neurological disorders.

but it is a costly matter that will affect the taxpayers particularly in housing these individuals. It is a very refreshing thing if you visit some of these installations where veterans have been around for years and years and suddenly an individual joins the staff who provides motivation and a goal. I must admit they don't all have the dynamic personality of Dr. Rusk, but driving force is a tremendous help in reducing the hospital population and the cost to the public.

Dr. JOHN WHITMORE (Baltimore, Md.) It has been a pleasure today not only to listen to Dr. Rusk but also to observe the eagerness and enthusiasm shown by this audience. With persons who start such a major revolution in medicine as Dr. Rusk has done, we are historically accustomed to expect them to be disturbing persons likely to arouse opposition to have to hit hard in order to produce effects. This has been an extraordinary phenomenon in the last decade—to see how Dr. Rusk has brought about this change or at least started it on its way and has maintained so good a rapport and so warm a welcome within all the medical groups with which he has come in contact.

I suspect that there is a reason for this and I think it lies in the nature of his appreciation of motivation in the very persons for whom he has been eager to carry out rehabilitative procedures in his recognition of the motivations in the professional groups whose outlook and habits he has undertaken to change and in the extraordinary way in which a team engaged in rehabilitative procedures supplements and evokes motivation of the patient. I would like it very much if he would comment on his view of motivation in rehabilitation.

Dr. ERNEST M. GREENBERG (New York, N. Y.) I would like to comment on one point that Dr. Rusk made regarding the well known phenomenon of reduced anxiety in the aphasic patient. When you tell him your diagnosis tell him that you have seen this disorder before and that you know something about the anxiety produced in him.

It seems to me that this very vivid description that we have heard raises the problem as to what the psychological effect of doctor-patient communications in the chronic neurologic diseases of the aged represents to the patient. I think this highlights the obvious fact that what the doctor says to his patient makes a difference to the patient and what the doctor thinks is wrong also makes a difference so that our diagnosis is tremendously important. Whether one views some of the symptomatology of the aging person as Dr. Crutchley does in his masterful clinical description of the symptoms that we see or whether one views them as Dr. Weinberg does as psychological defenses in the old person makes a great deal of difference as to what the doctor is going to do.

If we . . .

I am not giving any particular answer regarding any particular symptom but it seems to me that we should be conscious of the fact that what we think is the cause of the symptoms we see in the older person will make a difference in what that patient will have to do about it.

Dr. HOWARD RUSK I have been given questions that would take until late afternoon to develop but I will try at least to give off the cuff rapid answers.

I appreciate Dr. Pearce Bailey's comment and I also appreciate his great understanding and help throughout the last decade when we have worked together. There has been a time when there has been a lot of misunderstanding about where rehabilitation starts and where the other stops etc. It doesn't exist any more. A neurologist makes a wonderful

head of a rehabilitation program if he is interested in this phase of the program and wants to take the extra time for training. The training goes hand in hand in our own institution. Residents are exchanged, rounds are common. This is a thing we do together and if we all did ten times more than we are able to do, there still would be a terrific shortage of services.

There is great need to improve methodology for evaluation and one of the most difficult ones is in the cardiac. We don't know except with rough clinical tools how much a man can do. There are no energy studies that are satisfactory. Clinical evaluation is crude. Recently in our own clinic we had an individual to fit with prosthesis. He was a cardiac and he was decompensated. We asked that he be sent back when he was compensated and ready to learn to walk. He came back four months later after care in a clinic of excellent quality and he was said to be ready for rehabilitation. He stood up on his one leg to have his stump measured and fell dead in the evaluation clinic.

We could look to magnificent services like Vauxhall in Great Britain where they have done such great work in fitting machine to man and man to machine. In a civilization that can develop the electronic and atomic age certainly these problems if we turned our attention to them would not be too difficult. But we have to go far beyond the faltering steps we have taken.

As to dichotomy this is a total program. It starts as a medical rehabilitation program. That is the first phase of total rehabilitation and at that time the doctor and only the doctor is responsible for the entire training program, the emotional evaluation, the routine for prevocational evaluation, the problems in social adjustment and social necessities. But then when you get to a certain phase the major need of that individual is the social and the vocational—but always the medical continues. It is just like a patient who is over an acute illness but has a continuing medical situation which you must follow throughout the years. It will not be primary or acute but you must always be there; you must always be the figure that joins that individual to home base.

In a very careful follow-up study we found that the great mistake in our program had been in not continuing the relationship that goes on indefinitely with the discharged patient because the results are entirely different if you have it; they need the security of belonging.

I could not agree more with Dr. Rose about the necessity for the individual to be able to make some kind of material gains by working in spite of his disability. Four years ago a bilateral amputee who had been running our job placement experimental program decided that he wanted to go into business. He borrowed \$8000 from eight individuals and he started an assembly plant out in Hempstead with the ground rules that you could work there only if you were beyond the age of 60 or if you had a severe disability. It is now in its fourth year and he has 170 employees. It is known as Abilities Inc. They have Blue Cross health insurance, group life insurance and a dynamic recreation program. When I say disabled people I mean twenty paraplegics, several blind and deaf. The head of the shipping department is a quadruple amputee. That is the type I am talking about. They now have set aside enough money to build a new plant with more than \$150,000 earned in the last four years to enlarge to 500 and they have enough contracts that they have gotten in competitive bidding to last them for five years.

The reason is simple. These people are dedicated. It is their job. It is their life. It is their love. It isn't just a 40-hour week and a pay envelope.

The old age clubs fill only a part of the needs, ice cream when you need bread and meat. There are fourteen million individuals in the United States today beyond the age of 65. One third have no income at all, one third have less than \$40 a year, the remaining third are self-employed to the extent of 50 per cent. We have to find some means whereby

these individuals, within their tolerance, can work and have the opportunity not only to eat and exist, but to purchase their necessities

As to motivation, the greatest tool to motivate is opportunity and the stimulation of working with others who have the same problem, who are in various phases of conquering their disability

Our problem at the instant is not one of motivation. It is a matter of keeping the patients from working too hard and too fast. Also, I do not believe there should be segregation of paraplegics and hemiplegics and multiple sclerotics and amputees and the young and the old. This is a total program. The quadriplegic is glad he does not have a disease like multiple sclerosis and the multiple sclerotic is glad he is not a paraplegic, and the hemiplegic is glad that he has one leg and has not lost two. Patients are incomparable teachers; they are the yeast in the fermentation of motivation. My teacher in neurology, Dr. Spillen, said three words that I shall never forget: "Action absorbs anxiety." Action also generates motivation.

I close with one word: that is one of gratitude to you in neurology and in psychiatry for your understanding and help in this program, without which it never could have been successful. Thank you.

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CHAPTER XV

PANEL DISCUSSION

THE PHYSICIAN'S CONTRIBUTION TO THE ROLE OF OLDER PERSONS IN SOCIETY

INTRODUCTION

PRESIDENT MOORE The afternoon session will be devoted to a panel discussion on "The Physician's Contribution to the Role of Older Persons in Society." The chairman of the panel is Dr. D. Fwen Cameron, who will introduce the members of his panel and describe the system of operation.

CHAIRMAN CAMERON Perhaps I might, first of all, make some statement about the general purposes of the panel and then introduce the panel members.

The general purpose of the panel is to discuss a very important factor in our society, that fact being that the older person is now seeking to define his place in the community. He has been displaced from his position as a craftsman, the master worker, or the old man of the family. Methods of production have dispossessed him, and our rural-urban shifts have taken him away from the fireside which he used to occupy. He is really the social isolate of our times.

There are now too many of him and too few of the old positions which he formerly occupied for him readily to find a suitable place, a satisfying place, in our society. He is now seeking his new role, and our communities have the responsibility, and also the pressing need of self-interest, to help him find it.

As part of our society and perhaps having a particularly large share of the relevant facts, the physicians have to help him and support him in the new role into which he is moving. This then is a task that the members of the panel have before them today, to explore with you the various ways in which we can contribute to supporting the old man in his new role. The support reaches all the way from aiding him through acute breakdowns to clarifying the preparation for retirement and combating fallacious anticipations of what the aged person can do, and finally helping him in his rehabilitation.

I am going to introduce to you now the members of the panel. The first one on the program is Dr. Eugene Meyer, who is Associate Professor of Psychiatry and also Associate Professor of Medicine in Johns Hopkins Hospital, where he is in charge of the psychiatric liaison service. The next on the list is Dr. James S. Tyhurst, who is Assistant Professor of Psychiatry at McGill University. He is in charge of the field studies carried on by the Gerontological Laboratory in the Allen Memorial Institute. The next is Mr. D. S. Sargent, who is the Personnel Director for Consolidated Edison. He has had a long experience in the personnel field and has been particularly concerned with problems of retirement and disability. Dr. David Seegal is Professor of Medicine at the College of Physicians and Surgeons. Dr. Margaret Mead, unfortunately, is ill. Her place has very kindly been taken on short notice by Dr. Rhoda Metraux, who is an anthropologist and at present a research fellow at Cornell University Medical School. Dr. Jack Weinberg is Clinical Asso-

Reese Hospital. And finally, Dr. F. D. ...
Home for Aged and Infirm Hebrews of New York.

With regard to the operation of the panel each panel member will outline in general his participation in the panel so that you may identify his field of interest. Then we will have questions from members of the Commission and from members of the panel. Members of the audience may, if they wish, pass up written questions which can then be put to the members of the panel.

I will now call on the first member of the panel to open the discussion. Dr. Eugene Meyer, who will speak on "Acute Breakdowns."

1 ACUTE BREAKDOWNS

EUGENE MEYER

The study of acute psychologic breakdown at any age involves a multiplicity of factors. In the patient these include: 1) genetically determined potential; 2) early life experiences with special reference to those that create serious impairment or rigidity in ego function; 3) acquired habits, satisfactions and successes in mastery of the environment; 4) the current flexibility and range of inner emotional response available to the person; and 5) the environmental opportunity, current and in the expected future for obtaining emotional satisfactions and maintenance of self-esteem.

All these issues are relevant to acute breakdown in elderly persons and it is clear of course that all of them need much further study and research. One must then ask whether there are special or unique features to acute psychologic breakdown in elderly people. Two aspects of the elderly person's situation are generally mentioned. The first is the different social, economic and family status. Certainly these differences in status as a productive person and as a significant member of the family would appear to have relevance to the motivational patterns in convalescence, if not to the precipitation of acute psychologic breakdown. But just because there are definite problems for elderly people in the structure of social, economic, and family life we must be all the more alert to the family danger of apathy.

... acute psychologic breakdown. Certainly in our own psychiatric consulting work in a general hospital we have repeatedly found ourselves making all too easy assumptions about the role of the patient and of this man of interest.

... in resort to various stereotyped self justifications for having done a less good job.

The second factor most commonly referred to in elderly patients is that of impairment of brain function due to arteriosclerosis or senility. It is worth distinguishing first of all between deficit situations or impairments of physical and mental functioning and acute psychologic breakdown. Metabolic imbalances, deficiencies in oxygen supply to tissues in

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THE PHYSICIAN'S CONTRIBUTION TO THE ROLE OF OLDER PERSONS IN SOCIETY

INTRODUCTION

PRESIDENT MOORE The afternoon session will be devoted to a panel discussion on *The Physician's Contribution to the Role of Older Persons in Society*. The chairman of the panel is Dr. D. Lwen Cameron, who will introduce the members of his panel and describe the system of operation.

CHAIRMAN CAMERON Perhaps I might first of all make some statement about the general purposes of the panel and then introduce the panel members.

The general purpose of the panel is to discuss a very important factor in our society that fact being that the older person is now seeking to define his place in the community. He has been displaced from his position as a craftsman, the master worker, or the old man of the family. Methods of production have dispossessed him, and our rural-urban shifts have taken him away from the fireside which he used to occupy. He is really the social isolate of our times.

There are now too many of him and too few of the old positions which he formerly occupied for him readily to find a suitable place, a satisfying place in our society. He is now seeking his new role, and our communities have the responsibility, and also the pressing need of self-interest, to help him find it.

As part of our society and perhaps having a particularly large share of the relevant facts, the physicians have to help him and support him in the new role into which he is moving. This then is a task that the members of the panel have before them today, to explore with you the various ways in which we can contribute to supporting the old man in his new role. The support reaches all the way from aiding him through acute breakdowns to clarifying the preparation for retirement and combating fallacious anticipations of what the aged person can do, and finally helping him in his rehabilitation.

I am going to introduce to you now the members of the panel. The first one on the program is Dr. Eugene Meyer, who is Associate Professor of Psychiatry, and also Associate Professor of Medicine in Johns Hopkins Hospital, where he is in charge of the psychiatric liaison service. The next on the list is Dr. James S. Tyhurst, who is Assistant Professor of Psychiatry at McGill University. He is in charge of the field studies carried on by the

... is Mr. D. S. Sargent, a long experience in problems of retirement and disability. Dr. David Seegal is Professor of Medicine at the College of Physicians and Surgeons. Dr. Margaret Mead unfortunately is ill. Her place has very kindly been taken on short notice by Dr. Rhoda Metraux, who is an anthropologist and at present a research fellow at Cornell University Medical School. Dr. Jack Weinberg is Clinical Associate Professor of Medicine at the University of Illinois, and is also attending psychiatrist at the Institute for Psychiatric and Psychosomatic Research in Education at the Michael Reese Hospital. And finally, Dr. I. D. Zeman, who is Chief of the Medical Service in the Home for Aged and Infirm Hebrews of New York.

thing is different in the acute psychologic breakdown in elderly people it is apt to be a particular concentration or coalescence of multiple internal stresses and impaired adaptive responses. In fact, however, we have no thorough knowledge of how such a special concentration of factors may operate in the precipitation of acute breakdowns in elderly persons. One fact we can observe, however, is that this concentration of factors is operationally important in eliciting attitudes of pessimism, if not avoidance and despair, in persons who have significance and meaning for the elderly person at the time of the acute breakdown—and among these persons we must number the physician, himself. Study of the precipitation and recovery processes in acute psychologic breakdown in older persons must include study of the physician's inheritance of our culture's definition of the role of older persons and the effect in turn, of these attitudes on the older person's experience during the acute breakdown. As psychiatrists we have a special debt to those physicians who have consistently interested themselves in the aging process and in the psychotherapy of older persons. They have shown that not only is there reason for therapeutic optimism, but that as physicians and psychiatrists interested in human functioning we often neglect an area of clinical research which is full of significance and interest for the understanding of all human behavior.

2 RETIREMENT

J. S. TYHURST

The remarks that follow are based upon the research we are doing currently. This consists of a study of retired people living in the community.

We have attempted to study the process of retirement without primary reference to mental health, concerning ourselves with the way in which the people whom we are studying have met the problem in terms both of their expectations and of their subsequent adaptation.

The first question we must ask is, 'What is the meaning of retirement?'—that is, what sorts of meaning does retirement have to a person who is retiring?

One way of looking at it is to call retirement simply an example of *change or transition* regardless of the particular content involved. In our case the transition is retirement from work. The concept of transition brings it into line conceptually with other stages of transition in life—
 as adolescence — — — — —
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cluding the brain, and acute insults to brain function do not necessarily produce neuroses or psychoses. Moreover, no one has shown by valid evidence that acute reactions of delirium are in fact less reversible in elderly people than in younger people. When there is a temporal association between organic impairment to psychologic functioning and psychologic breakdown, there is no correlation between the extent of organic and physiologic impairment and the degree of psychologic breakdown. As in the so-called traumatic neuroses, minimal physical insults or impairments in elderly people can be associated with severe acute psychologic breakdown and very severe physical impairments may result in minimal or no psychologic breakdown. Deficit situations in elderly people, so commonly associated with arteriosclerotic change in the brain, may produce impaired capacity to cope with the physical working and family environment. But it is the elderly person's internal perception of the deficit and the personal meaning of the deficit in terms of that particular person's life that determines whether or not acute psychologic breakdown will occur. Kurt Goldstein's conception of the catastrophe reaction is particularly helpful in understanding this matter.

Thus impairments of sense perceptions and impairment of their integration into patterns of meaning may be less important than the feeling of sudden and strange distortion of body ego. Thus the threat to the person's role (as breadwinner, head of a family and a member of society) may sometimes be realistic, but it is also often a highly exaggerated and dread-tinged version of what the future will bring. Thus sudden physiologic impairment may produce a severe abrupt loss of self-esteem and evoke depressive reactions accompanied by various degrees of agitation. But the threat to self-esteem is also related to the lifelong personality structure and values and may be entirely out of proportion to the real capacities for mastery. Finally, it is well to remind ourselves that in the older person as well as the younger person the sudden onset of physiologic impairments may provoke intense fear of being overwhelmed by previously repressed instinctual drives, both sexual and aggressive. Even very minor degrees of physical and physiologic insult can produce a state of panicky apprehension of lack of control, not only of the external environment but of the inner instinctual forces.

In summary, when taken *separately* the significant issues in acute psychologic breakdown in elderly people are in no way different from the significant issues of acute psychologic breakdown in younger age groups. One might add that impairment of environmental mastery is a characteristic problem in many chronic illnesses. A catastrophe reaction to sudden insult to the central nervous system occurs in all age groups. Severe degrees of social isolation are by no means peculiar to older persons. If any

buy their way out of any other problems that may arise. The data so far do not bear this out, and suggest that exclusive emphasis upon the importance of financial planning is a mistake. While an increase in pensions is needed everywhere, no amount of money can deal with many of the problems faced by the retired.

A sixth meaning of retirement may be based upon the resulting change in *social status*—that is, the place in a particular system which a certain individual occupies at a particular time.¹ In our society, the fact that an individual works gives him a certain status in his family and in other social situations. Furthermore, his status in the particular occupation or institution is itself an important source of satisfaction and self-esteem. Retirement may therefore mean not only the loss of status with respect to the occupation itself, but secondarily it may (and often does) mean a loss of status in other areas of activity and participation in our society. The position as head of the family may pass to one of the children or even to the wife. The retirement from occupation may signal the transition from the "useful" to the "useless," from a functioning member of a work-oriented society to the status of an unoccupied aged person.

A seventh view of retirement comes when one recognizes that the concept of status becomes psychologically significant when viewed in terms of *social role*. Again, for purposes here we might use Linton's definition: "the sum total of the culture patterns associated with a particular status. It thus includes the attitudes, values and behavior ascribed by the society to persons."

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social change. In doing this we need to extend studies of transition made in respect to disaster and migration and particularly to study the natural history of the process of retirement in the same fashion as we have studied the natural history of disaster and migration.

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We have had an opportunity, by means of intensive case studies to formulate the way in which the individual characteristically meets problems involving change or transition. That is one way of conceptualizing retirement.

A second way is to consider retirement as a situation of *bereavement or grief*. This immediately puts us in touch with a very wide range of material already in the literature—the ways in which these states can be dealt with through individual grieving and through institutional or social rituals in our society that assist a person through the bereavement transition. There is little ritual at present that enables a person to go through the particular bereavement of retirement.

A third meaning that retirement may have is *loss of activity*. This conception of retirement is based upon the view that some form of activity is necessary for satisfactory adaptation. The emphasis is essentially upon activity as such and sees the problems posed by retirement as essentially those of physical and mental inactivity. We have not found this point of view too helpful although it does provide the principal rationale for a great deal of the recreational activity being developed now for the retired and the aged.

Fourthly another way of looking at retirement is in terms of the degree of *social isolation* that retirement imposes upon the individual. For example in our society—and we certainly have been struck with this in the people with whom we have been dealing—it is perfectly clear that the occupational role and our occupations are coming to furnish each of us more and more with our major resources for social interaction for status prestige satisfaction recognition achievement, etc. Before the present degree of industrialization most of us had other auxiliary social roles that we could fall back on now to a certain extent we are ourselves becoming almost equivalent—including our personalities. I might add—with our occupational role. This means that retirement is not simply a loss of an activity or the loss of a skill but may mean the loss of social status.

A fifth way of looking at retirement is in terms of the *loss of income* that results. Financial security for the retired person is certainly of importance but it cannot make up for unsatisfactory arrangements in other areas such as family relationships social participation health social roles etc. At the present time most of the planning being done is connected with pensions and financing. Other areas of planning are scarcely recognized yet or prepared for. The preoccupation with money in retirement adjustment reflects the orientation of the whole society and there is a tendency for us to feel that if financial planning is complete all other things become possible. We tend to feel that if people have the money they can

but their way out of any other problems that may arise. The data so far do not bear this out, and suggest that exclusive emphasis upon the importance of financial planning is a mistake. While an increase in pensions is needed everywhere, no amount of money can deal with many of the problems faced by the retired.

A sixth meaning of retirement may be based upon the resulting change in *social status*—that is, the place in a particular system which a certain individual occupies at a particular time.¹ In our society, the fact that an individual works gives him a certain status in his family and in other social situations. Furthermore, his status in the particular occupation or institution is itself an important source of satisfaction and self-esteem. Retirement may therefore mean not only the loss of status with respect to the occupation itself, but secondarily it may (and often does) mean a loss of status in other areas of activity and participation in our society. The position as head of the family may pass to one of the children, or even to the wife. The retirement from occupation may signal the transition from the "useful" to the "useless," from a functioning member of a work-oriented society to the status of an unoccupied aged person.

A seventh view of retirement comes when one recognizes that the concept of status becomes psychologically significant when viewed in terms of *social role*. Again, for purposes here we might use Linton's definition: "the sum total of the culture patterns associated with a particular status. It thus includes the attitudes, values and behavior ascribed by the society to persons occupying this status . . . a role in the dynamic aspect of a status, what the individual has to do in order to validate his occupation of the status."²

All these ways of viewing retirement are probably relevant at various times and for particular people. For our purposes, however, we have found it most valuable to view the matter of retirement as an example of transition and social change. In doing this we need to extend studies of transition made in respect to disaster and migration and particularly to study the natural history of the process of retirement in the same fashion as we have studied the natural history of disaster and migration. In this

we have to consider the impact of retirement on the individual and on society. For this purpose, it is useful to follow changes in social role through the transition of retirement has been made. We have to

¹ Here we are using the term "status" in the sense of Linton, who defines it as "the position of an individual in a society, to include position in each of the various social systems."

ment and financial circumstances depend for their significance upon the more fundamental or general problem of the availability and the taking of significant social roles

We have not found it useful for example to view the problems of adaptation to aging and retirement as dependent upon some hypothetical degree of social isolation. We have been more impressed by the difficulties of getting a reliable index of social isolation that is of real psychological significance and of the discrepancy between any objective definition of isolation and its psychological meaning. Some people seem objectively to be very isolated to have few contacts to participate little and yet from their point of view they are not isolated. On the other hand people of many contacts appearing busy and active often report feelings of loneliness and isolation. We have found instead that social isolation appears to depend upon the significance of the available and assumed social roles.

Again we have found that the concept of activity so often used to provide the rationale behind various kinds of recreation and social program is inadequate. An activity simply in and for itself has little value unless the activity has some real significance for the person. The value of activity seems to depend upon its relation to a social role.

What roles are available to a retired person and what ones are actually used?

First of all the individual may develop another occupational role—a second career to take the place of the first. We have seen this on a number of occasions; it can be a very satisfactory solution. In effect however the person has not retired from work and we should attempt to discover those roles that are available and useful to the retired person.

Second are the various family roles—husband, parent, grandparent, head of the family, etc. Where a family role is maintained and not threatened by loss of status following retirement it is a major asset. Particularly in our population which has a strong French Canadian representation we have observed the great value of various family roles for retirement in later life.

Third are various member roles in social organizations, formal and informal. In addition to making the most of roles in sport, religious or philanthropic organizations, some of our pensioners have actually created significant member roles for themselves outside of a second occupation or a strong family situation.

These various possible roles are by no means available to many retired people, however. Unable to secure employment, having relied upon their previous occupation for social relations, they may have done little to develop member roles in society or they may have no family to which they can turn. There is no retired role as such in our society. We have seen a

fiction about it such as the idea of fishing trips, the home in the country, the little cottage and so on. But the role does not actually exist as a member role in the society. Nor is there any recognized role of 'elder' in our society which is a function primarily of age in years as a primary determinant of a social role. Instead, the older person is expected to take roles which are customary for a younger age group in the society so that there is a tendency to see as well adapted the older person who does not show his years is just like a youngster, and so on. That the adjusted old or retired individual should show his years' is ironically enough a statement that he is not adapted.

We have been impressed by the fact that in the absence of other significant roles some older or retired individuals resort to an assumption of the 'sick role'. In the presence of illness this is a legitimate social role with its own socially sanctioned patterns of expectation and response. With the development of some of the normal infirmities of older age such infirmities are often used as a basis for developing a social role resembling the 'sick role' that for the individual bereft of other roles is better than nothing. The person who assumes it has some significance at least can expect certain responses from others, has a place in society and can be expected by society to do some things and not others. The role can provide a rationale for little activity for diminished social participation, for dependency for being around.

The assumption of the 'sick role' in connection with aging and retirement seems much more common than is usually recognized. The statement has been made, for example, that programmes for reemploying older people cannot be expected to do much toward relieving the whole range of problems faced by the aged. To a large extent this is because 75 per cent of the older men who are not in the labor force feel they are not well enough to work. While it is clear that many older persons are not well we have found that statements of poor health are often rationalizations of other issues. It is often an enabling factor, for example, on the part of an employer or employee to achieve retirement, it often is a way of rationalizing the disinclination to take work that is different from that for which the individual is trained or in which status would be low. Most reemployment opportunities apart from self employment, involve considerable reduction in status. Our data show no deleterious effect of retirement upon morbidity or mortality rates in fact there is a frequent tendency for health to improve after retirement. A number of those who were in poor health at retirement have subsequently been in good health and have achieved a full time occupation.

Finally in considering the question of adaptation to retirement we have been most interested in the concept of time in organizing our data. More

specifically we have found it useful to consider the time perspective of the individual in relation to his retirement adaptation.

Thus for example some people approach retirement with a strong future orientation—that is for ten or fifteen years before retirement they have been actively planning for the future. They have been able to think about the future not only in terms of solving problems but also in terms of projecting their fantasy ahead to see what problem exists and in testing trial solutions and in preparing themselves for them. Other people have been completely incapable of doing this; instead they approach a problem on an *ad hoc* basis.

We have been able to identify two types of *ad hoc* solutions so far. One is the type of *ad hoc* solution in which the individual comes to the problem and simply responds to it—responding to the nearest and most available aspect of the problem. Some people do quite well on this basis and particularly in a fluid society such as ours this is often quite a successful perspective. Again other people come to retirement without any planning at all but when they are faced by the situation they are able to stop for a moment, diagnose the situation on an *ad hoc* basis and then project themselves into the future in terms of a series of trial solutions.

We are not sure yet of the origin of these time orientations nor of their exact significance in relation to the process of adaptation. Nevertheless various kinds of adaptation appear to have characteristic time perspectives.

3. RETIREMENT WITH SPECIAL EMPHASIS UPON RETIREMENT PLANS

DWIGHT S. SARGENT

In the limited time that each member of the panel has been allotted I am going to try to indicate some of the high spots that would be appropriate to the subject assigned to me.

In 1911 there were less than a thousand pension plans in the country and less than a million individuals were covered by these plans. By 1951 there were nearly twenty thousand pension plans and some ten million people covered by them. In addition there were some four or five thousand profit sharing plans covering possibly three million additional people. In many cases these profit sharing plans served as a substitute for a pension plan. If you assume that there are some sixty three or sixty four million people now employed it appears that possibly fifty million workers today are not covered by any pension plan whatsoever—except of course for Social Security.

In 1951 there were some 18,700,000 people 65 years of age and over. This number it is estimated will be doubled in 15 years. An analysis of

the individuals who were 65 years and older in 1954 breaks down about as follows: four million were working, six million were not working and receiving a pension from some source, two million were receiving public assistance, the remaining two million were either substantially without funds and dependent upon children or relatives or were the exceptional persons who were well enough off so that they did not need to work.

Another significant statistical piece of information is the following: From 1910 to 1953, the number of people 1 to 17 years of age in the country increased 29 per cent. The number from 18 to 64, which represents the group supporting everyone else, is up only 10 per cent, and the number of people 65 years of age and up has increased 47 per cent.

A recent analysis by the National Industrial Conference Board covering 327 companies indicated that 82 per cent of the companies specified age 65 as the retirement age for both men and woman, 12½ per cent specified age 65 for men and 60 for women. Approximately 4½ per cent specified retirement ages varying all the way from 60 to 75.

Over the past five years, a few companies have increased the retirement age for women from 60 to 65 and from 65 to 68 or 70—generally, in each case, to the equivalent age in effect for men. It is interesting that another utility in New York City has a retirement age for women of 65. We have age 60. I am sure if I proposed that they reduce their age from 65 to 60 they would be very much against this, because of the substantial increased cost of pensions that would result from such a move. Many companies which have age 60 as a retirement age for woman are still disinclined to increase the retirement age and make it equivalent to that for men for reasons that appear not to exist in companies where they have age 65 and have been allowing women to work to that age for some time.

An obvious conclusion certainly is that if the number of people 65 years of age and over increases markedly, something must be done to provide work possibilities or more adequate pensions. We cannot afford to see a large and increasing segment of the population feel like the shoemaker's children.

Six or seven years ago I heard Doctor Sumner Schlichter say at a Chicago Personnel Meeting that if employers continued to retire people at 65 the cost of pensions could go up to something like 17 per cent of payroll. He added that a very simple way to control this cost was to let people who were able to work continue to work beyond 65. We promoted the idea in our own company and were able to send out a notice as of January 1953 that we could hold over a person year by year beyond the normal retirement age of 60 for women and 65 for men—provided a person was needed, as measured by the fact that he would have to be replaced and provided his work performance was satisfactory as measured by attendance, physical

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restrictions and quality and quantity of work performed. In this three year period we have actually held over only a small portion of the number of people who have come up to retirement age something in the order of one hundred people but we have secured a fair amount of information about individuals who have reached the retirement ages for men and women. Our records indicate, for example, that for a hundred men coming up to age 65 forty are not doing well enough in the job that they are in to cause us to want to hold them over. They have either lost too much time because of illness or been limited by the doctor or just naturally slowed up. There is no doubt but that possibly a third to a half of these men are physically able to do other lighter work than their regular work. We have not gotten into this area yet at all as our ratings are based only on the jobs they are in at the time they arrive at age 65. Of the remaining sixty men twenty five do not want to continue at work. We know that some of these simply want to quit work. Others have been looking forward and have made arrangements to do some other activity after their retirement from our Company. The remaining thirty five men would like very much to continue at work in the jobs they are in. This analysis made after reviewing the records of about twelve hundred men indicates to us that of every one hundred men coming up to age 65 approximately thirty five individuals would be considered competent to continue working by any body's measure and if allowed to work would contribute that much more to our economy and to their own well being.

During this same period we have of course developed information on absenteeism related to age. For both men and women it has gone up substantially as age has increased. We also have a curve showing the existence of medical limitations placed upon individuals related to age. This again goes up quite sharply according to age. In both of these categories the curves show the average individual's record but I have maintained that we do not consider the average individual. We should be able to consider the competent individual for retention and have good evidence that the incompetent person should not be retained.

We have drawn a curve showing the ratings of employees related to age. The curve shows individuals who are very good or excellent. The percentage increases from age 20 to about age 35 when it starts to decrease again. At age 65 however 20 per cent of all the 65 year-olds have been rated very good or excellent. Frankly these individuals plus some of the people rated as good are the ones that I have been interested in—trying to find ways by which they might be allowed to continue to work.

We have also developed tables which for our company show the reduced pension costs for a Mechanic. A for example if he is retired at age 65 instead of age 65 etc. Such an individual who is allowed to work to age 68

instead of being forced to retire at age 62, will receive from both company and Social Security sources a pension totaling \$32 000—instead of the approximately \$10 000 which would be required if he were retired at age 65. In the case of a woman the figure is a little more startling. A senior clerk for example who retires at age 68 from our company would receive from company sources and Social Security a pension totaling some \$31 000—instead of the approximately \$18 000 which would be required if the same woman were retired at age 60. Much of this information which I have referred to briefly is contained in some tables and charts which are available here for anyone who cares to pick one up after the meeting.

My interest in this whole field was twofold: first to reduce pension costs from the point of view of the company as well as Social Security and secondly by allowing individuals who are competent to continue to work, to extend the full economic value of the individual for a longer period. The difficulty in getting a program of this nature accepted appears to be largely the unwillingness of many members of supervision to make the decision that A is qualified to continue taking into account all the factors and that B is not qualified. It is of course, perfectly true that the cases that you review do not fall naturally and cleanly into one class or the other. There are many shades of differences in work performance ratings, attendance, skill and ability which require judgment on the part of the supervisor and which invite a challenge by the Union if the overall judgment is not pretty solidly backed up. But I am naive enough to believe that we pay supervisors because they can exercise judgment.

It seems to me that if employers allow the man who is able to work to work such a man is better off himself because he continues to be a full producer and a full consumer. The drain on both company pension costs and Social Security

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otherwise have to be carried by society more—rather than less—as a dead weight group.

4 SOME COMMENTS ON THE MEDICAL MANAGEMENT OF THE OLDER PERSON

DAVID SEEGAL

Informal discussions with seasoned clinicians concerning the care of the older patient cast doubt on the advisability of establishing geriatrics as an independent discipline. This opinion does not invalidate the belief that the medical problems of the aged are often managed differently from the same problems in younger people. The problems of the aged are often more complex and the management are usually more difficult. The problems of the aged are often more complex and the management are usually more difficult.

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During this same period, we have, of course, developed information on absenteeism related to age. For both men and women, it has gone up substantially as age has increased. We also have a curve showing the existence of medical limitations placed upon individuals related to age. This again goes up quite sharply according to age. In both of these categories, the curves show the average individual's record but I have maintained that we do not consider the average individual. We should be able to consider the competent individual for retention and have good evidence that the incompetent person should not be retained.

We have drawn a curve showing the ratings of employees related to age. The curve shows individuals who are very good or excellent. The percentage increases from age 20 to about age 45, when it starts to decrease again. At age 65, however, 20 per cent of all the 65-year-olds have been rated very good or excellent. Frankly, these individuals plus some of the people rated as good, are the ones that I have been interested in—trying to find ways by which they might be allowed to continue to work.

We have also developed tables which, for our company, show the reduced pension ones for a Mechanic "A," for example, if he is retired at age 65 instead of age 63, etc. Such an individual who is allowed to work to age 68,

doctor else what useful purpose would be served by frequent calls in the many instances in which specific therapeutic measures were not available. This attitude is essential in the care of the aged. Its performance is more easily accomplished by accepting the principle that the physician should treat each patient the way he would wish to be treated if he were that patient in that bed at that time.

Dana W. Atchley in his teachings points out that the principles of medical management are essentially the same for individuals of all ages albeit the same medical problem is handled differently in different patients. He uses this telling analogy. The principles of driving an automobile are uniform but one drives in one manner on the New Jersey Turnpike and in another manner on a narrow winding road in the Rocky Mountains.

Atchley has suggested that the total medical needs of the older patient may be better served if the thought expressed in the word "appraisal" be substituted for "diagnosis" and the word "management" be substituted for "treatment or cure." Such an attitude would assist the physician to shift his preoccupation with cure to a fascination for management of the sick individual. This is particularly important in the care of the older patient where the impact of living adds its weight to the burden of disease. The elderly patient may be cured of his fever but a comprehensive history and inventory may disclose personal problems more damaging than the fever.

The modern school of restorative medicine led by Rusk and his asso-

ciates seeks patient to achieve his fullest physical and social potential. This may mean such limited progressive actions as these:

to elicit the maximum activity he should be permitted to be as active as he wishes without injuring himself.

The older individual in the United States does not usually enjoy the familial status and privileges accorded the oldster in the China of the recent past. He may possess a social security card but he has relinquished his seat in the subway and the authority which commands the obedience of his children. The elderly American of today is often preoccupied with his good recollections and his bad memory. He may become a nuisance to his young associates because of his irascibility, his mutterings about dentures or the stool.

W. B. Carr

the elderly in st

log activities. Although the layman is

The disorders sometimes listed under the category of geriatrics are being vigorously investigated in the modern university hospital and research institute. Studies of the biology and thus the aging of the individual cell and such multiple cell organisms as man are being conducted in most colleges and medical schools. No useful purpose would be served by dissociating these innumerable researches from the disciplines of biology and medicine into the proposed subspecialty of geriatrics.

Long term medical care of the aged in the home is often difficult to arrange to the satisfaction of the patient and the family. Institutional care of the aged sick is largely provided by tax supported hospitals for the chronically ill. These services are often inadequate but the trend is toward improvement in many areas, particularly where an affiliation has been established between the hospital and a university. Opportunities should be developed to further knowledge concerning the impact of present and changing socio-economic and socio-psychologic forces upon the older individual and those members of the family unit who are perforce affected by the presence and pressures of the patient.

It would appear that the needs for the physician's training in geriatrics can best be met by strengthening the university's facilities in the basic sciences and the established clinical divisions. Many opportunities for demonstrating the special medical needs of the older person are available in institutions for long term illnesses, since the majority of disabilities associated with chronic diseases occur in middle and late life.

An expected difficulty encountered during instruction of medical students in the management of the aged patient is the retarded interest of the student in the slow changes observed in this sick group compared with the spectacular therapeutic responses often seen in younger patients with dramatic acute disorders. It is only when the student comes to appreciate that the bulk of his future practice will consist of individuals, often aged, who harbor slowly progressive morbid processes, that he is awakened to the need of enlarging his horizon as a human being and as a physician.

The satisfactory management of the older patient depends upon the presence of the experienced and empathic physician. The writer has drawn heavily upon the opinions of such individuals for the notions now to be presented.

Although the physician is usually depicted as a healer, his office might be cast in a more positive light with respect to the management of the elderly if his goal were set at assisting the patient to achieve the greatest degree of happiness under the special circumstances. I remember a warm walk on a visit to Baltimore some years back. In the course of the morning Dr. Longcope had occasion to remark that each patient ought to feel some what the better for the physician's visit. This was a responsibility of the

old in a special category most of what we can say is best phrased negatively. What for us are the special prerogatives of the old? *not* to work *not* to pay certain taxes *not* to participate fully in on-going life—our elder Statesman is at best an adviser—*not* under some circumstances to get up. Where others should be up and doing the really aged need not. They may, if they and others accept their agedness legitimately be bedridden and dependent. In this matter of dependence, we classify the very old with the very young in contrast to the adult generation but with this difference about the very young we say "Not yet" about the very old we say, "Not any more." The young are becoming—the old have been. The young are getting going—the old are slowing down—the old can no longer learn anything new, they are coming to a stop. And when we tell a person that he must slow down must take it easy must sit quietly we are not telling him that he is now at last moving into a position of dignity and strength and power that he is arriving at the high point of his life—as would be the case in China, where old age is the reward of living—rather, we are dooming that person to slow extinction—we are telling him he is now and henceforth a has-been.

It is also conspicuous that when—as here today—we try to create a new image of old age which will give us more constructive ways of handling the problems of aging we classify the old with those who are in some way sick or mutilated. And so we speak of rehabilitating not only the paraplegic or the victim of polio or the recovered TB patient and we speak not only of rehabilitating the socially mutilated—the delinquent and the criminal—but also of rehabilitating the old. Building on our existing image of the old person who is somehow down and out we seem to want to bring him back to life. It looks sometimes as if what we are trying to do is to cure old age of its oldness.

With these attitudes as our inevitable background we can ask questions about climax structure in life—about the way in which a people visualize growth and maturity and aging we can ask questions about the special roles of the aged in relation to other people in their own

apt to have limited tolerance for the soliloquies and complaints of the older patient, the physician does not have this privilege

The principle of minimal interference is paramount in the management of the elderly. The older a patient, the less his way of life should be disturbed. Destruction of an established pattern of life may result in confusion and tragedy. The young amorphous personality can usually be vigorously molded without danger. In contrast, the older, more rigid personality is like a crystal—easily shattered by unwise impacts.

There is no specific remedy for aging. G. R. Cameron holds the view that aging represents the vector sum of a number of morbid processes most of which take a time to develop and often a long time to reach a serious climax. Cameron (as well as others) believes that many of these pathologic states begin in early life. Hope lies in learning more about the beginnings of these disorders, their causes and mechanisms. It may not be facetious to remark that the proper approach to geriatrics is through pediatrics. Charles Minot hypothesized that senescence begins at birth. Montaigne put it this way: Death is but an end to dying.

But fortunately man has an elusive way of procrastinating with death if not with dying. The physician's role in managing the elderly patient may be expressed in the following general terms: a) The practices of good medicine are to be made available to him regardless of whether the disorder is acute and florid or chronic and indolent. b) The crystallized life pattern of the elderly patient makes serious interference perilous. c) The goal of the physician is to assist the older patient to reach the highest physical and social potential regardless of the nature of the illness.

5 EFFECTS OF CULTURAL ANTICIPATION AND ATTITUDES TOWARD AGING

RHODA MÉTRAUX

Most conspicuous in our American attitudes toward aging is our tendency to avoid the whole subject. Just as we have attempted to remove the visible signs of death and mourning from our homes, so too we have attempted to remove the most evident signs of aging from our images of the old. The men and women who grace the advertisements for retirement insurance, the men of distinction crowned with white hair, are obviously vigorous, active and unwrinkled. As we like to picture them, they are in the midst of life even though on perpetual vacation from real activities. And the rare person who—like myself—grooms with open enthusiasm the roles and possible rewards of middle age, is responded to with disbelief and amusement.

Secondly, when we do think of old age, when we Americans do place the

point in old age with the expectation that it may continue to rise in after life as well. In China it is not the young scholar but the old scholar who is able to achieve the greatest originality, who acts with the greatest independence and vigor. There contemplation is not passive but active. And if we look at the practice of traditional forms of medicine in China we can see that aside from the idea of keeping life going the main emphasis is upon the support of strength and vigor in old age. Again this contrasts strongly with our own view.

So a comparative view indicates most clearly that the attitudes and expectations which we—or the members of any society—have about old age about the behavior of the old as their age increases about the relations of younger and older to one another are not inevitable but within a very wide range are man made and learned as part of the total life of the society and therefore through new learning can be modified.

6 PSYCHOTHERAPY OF THE AGED PERSON

JACK WEINBERG

There has in recent years been an accumulation of evidence that psychotherapy in the older age groups has been quite rewarding. From the early paper by Abraham (1) to the more recent ones by Grotjahn (2) Ginzler (3) Goldfarb (4) Linden (5) Wayne (7) Weinberg (8) etc. the enthusiasm for increased psychotherapeutic efforts as applied to the aging has grown. However the time allotted to these prefatory remarks precludes an elaborate discussion of some operational concepts as a guide to the perplexed in the ways of the aging organism.

The first of these concepts is the idea of the specific trauma of later life is aging *per se*. The specific trauma of aging is the gradual loss of the individual's ability to relate and invest. Any effort to achieve a sense of accomplishment in old age is doomed to failure because the individual's nature mastering and whose deprecatory attitude towards the aged has been incorporated by its subjects dooms him to social ostracism. The economy has no use for him and his own anatomy and physiology have become even of superfluous. He is unable to relate and invest. Any effort

society, we can ask about the unique characteristics of the aged that are singled out for attention, we can ask about attitudes toward death and ideas of future life after death. What do we find?

Unfortunately, few such studies have been made on a comparative basis. But one may cite Simmons' *Role of the Aged in Primitive Society*, in which Simmons compared the position of old people in 71 different tribes. A few general points of interest come out in this study—for instance, that the old on the whole have fared worst among peoples who have lived in extreme climates, especially in very cold climates, and among those who are wanderers—wherever, in fact, resources have been limited and dependents are an inevitable burden. There the old, like others who are weak or sick, have had to be abandoned. In a few such societies suicide or the killing of the old has been given a special dignity, this is the good way to end life. And the old have fared best in those societies where people live a settled life, where property rights are recognized or the community emphasizes sharing of resources. Particularly they have fared well where the old share in the prerogatives of all adults—as in the continued use of property, in the continued exercise of power and authority—where, indeed, such things are enhanced when they are related to old age. And they have fared well when the rights of adulthood are inalienable—where the king and the noble and the priest and the scholar and the parent cannot be shorn of their prerogatives because of age. This, of course, contrasts with our own situation. Aside from university degrees we have no inalienable positions. And, though this may be a double-edged sword, the aged fare well in those societies where age is given unique prerogatives and is seen to have unique characteristics. In many societies it is the young, not the old, who may not do this, may not eat that, may not speak or act independently and in an initiatory way. Rather this is the right of the old. In these circumstances, the old may be well off, but such sometimes are also—as witness, for instance, China and in some ways France—conservative societies where the central problem is likely to be that of introducing any change smoothly into the on-going stream of life.

But the most important finding perhaps of comparative studies of old age and attitudes toward it, is that there are very few single regularities of behavior and belief and image that are absolutely related to it. Take the whole matter of climatic structure. Where we see life in an upward and then downward descending curve and relate to this activity prerogative, ability to learn, and a whole gamut of ideas and images, this is but one possibility. In Bali with its belief in reincarnation we have rather a circle where the old and the young are close together because they are both sacred and close to heaven. Or we may consider China where the child early becomes adult like there the line of living rises slowly, slowly throughout life to its high

est point in old age with the expectation that it may continue to rise in after life as well. In China it is not the young scholar but the old scholar who is able to achieve the greatest originality who acts with the greatest independence and vigor; there contemplation is not passive but active. And if we look at the practice of traditional forms of medicine in China we can see that aside from the idea of keeping life going the main emphasis is upon the support of strength and vigor in old age. Again this contrasts strongly with our own view.

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The outstanding characteristics of senescence which threaten emotional health are the physical decline, loss of erotic values, loss of supportive figures, social and economic insecurity, and the gradual constriction in the flexibility and plasticity of the adaptive mechanism. The decrement in personal, physical and emotional assets and the absence of hope (1) and integration for ego integration (2) for a better tomorrow greatly endanger the adaptive capacities of the ego. To master the threat of dissolution of its boundaries the ego will utilize all of its previously learned defenses and add some new ones to its repertory. The major defenses employed by the ego in later life are those of regression, rigidity, and the exclusion of stimuli.

Regressive symptoms are easily discernable phenomena and I need not elaborate upon them. However, few recognize in rigidity the dynamic principle of a defense. We live in a highly complex and ever-changing world demanding of us constant readaptation. To master new situations requires the greatest efficiency and integration of the ego. The decreased efficiency of the ego in the elderly almost always calls forth anxiety when readjustment is necessary. To avoid anxiety the aging person will cling to automatized and familiar patterns of behavior no matter how faulty they may be. He reacts to new situations as to some danger with peevishness, irritability and hostility. Change is regarded with paranoid suspicion and fear and the individual will cling to behavior which has heretofore given him the nearest approach to mastery of his environment. This is the familiar concept.

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of the psyche as set up by the ego. This is particularly true of the sensory system. The diminution of visual and auditory acuity in the aged are notable examples. Yet there is something selective about the two which can be explained only on the basis of the exclusion of some stimuli when energy to perceive, interpret and cope with new stimuli is at a low ebb. This symptom differs, to my mind, from that of denial. For the latter presupposes something perceived and the withdrawal of contact from it. Not so in the exclusion of stimuli where no original investment of energy takes place.

All of these defenses are dynamic mental processes rather than fixed habit patterns with organic substrata and are therefore not beyond therapeutic reach. The therapeutic goal then is first to understand the symptoms then to modify, alter or work for the acceptance of some of them without resigning necessarily to further deterioration.

Lastly it is the therapeutic function of the psychiatrist to manipulate the environment in which the older person lives. This may range from the education of the family, friends and those who are entrusted with the care

the part of the objects. There is nothing left but new methods of adaptation—some patently pathologic defense maneuvers—or the turning of these liberated energies inwardly. What emerges is a seeming regression to a narcissistic state. (To my mind this is technically not a regression. The organism does not withdraw its cathexis—it is forced by the environment to push it back onto itself.)

To ameliorate the arising pathology, the psychiatrist's role is a triple one. The first is his relationship with the patient. In 1951 I stated it as follows:

From time immemorial man has struggled with the irrational forces underlying emotional disturbances. Everything conceivable has been tried by those who have been called upon to treat human beings. Psychiatrists have gone a long way from the days when, through incantation and prayer, man tried to placate and drive out the evil spirits. We have attempted brain surgery and shock treatment, long term psychotherapy, short term therapy, individual psychotherapy, group therapy, various drugs, heat and cold, fire and ice, music, sociodrama, hydrotherapy, occupational and recreational therapy, total push to total regression—all have been tried—and to all have been ascribed healing powers by those who promulgate their favorite means. The confusion resulting from all of the claims becomes no confusion when one realizes that all of the above therapies have a common denominator. The common denominator is, of course, the therapist and the patient—the interpersonal relationship between them or, as the psychoanalyst refers to it, the transference phenomenon.

For no matter what the treatment may be—and there are, of course, valid and intrinsic values in all of the above named methods—it is nonetheless the awareness on the part of the patient that in the therapist he has an individual who is ready to understand, willing to give and to help, which is beneficial and therapeutic.

What I said then holds true today, and certainly coincides with the thinking and experience of the clinicians mentioned previously. All recognize the enormous importance of the relationship and the role played by the psychiatrist. The need is for an enthusiastic, optimistic approach which is genuine and active participation in the relationship which depends as much on its quantitative aspects as it does on the evaluation of its quality. The psychiatrist working with the aged must free himself of his feelings of being bound by formalistic approaches to therapy. He must be willing to venture out and try out modifications of traditional techniques. Certainly the psychoanalytically trained psychiatrists who have reported on their therapeutic successes with the aging cited their more active role, were ready to do away with the couch and allow themselves to enter more freely into a relationship with the patient.

Secondly, the psychiatrist must be aware of the nature of the pathology

Today we have a large attending staff comprised of internists and representatives of the various specialty groups. Our total population today consists of 371 at Central House, 376 at Kingsbridge House, 106 in our Apartment Projects, and 93 on our Extra Mural Care Program, or more than 1000 men and women. An active research program is carried on in internal medicine, neurology, and psychiatry, ophthalmology, and clinical psychology. We take great satisfaction in having stimulated other homes for the aged to improve the quality of medical care given to their residents.

Long before the word "rehabilitation" had acquired an upper-case initial letter, we had evolved a program of physical and mental hygiene designed to prevent infections, accidents, and mental breakdowns, as far as possible, particularly emphasizing the need for rebuilding the confidence and self respect of individuals to whom admission to a home for the aged constituted a complete collapse of all their hopes and aspirations.

The word "rehabilitation" is much misused and misunderstood. Speakers often fail to specify whether they are discussing physical, mental, social, or economic rehabilitation. The unfortunate association of the word with physical medicine tends to limit its use to physical means of therapy. Actually, rehabilitation is both a theoretical concept or attitude and a method of therapy.

one of our disciples and has made a great contribution to our handling of hemiplegia, chronic arthritis, hip fractures, and other common disorders of the aged. Only two days ago we showed for the first time a medical documentary film entitled "Still Going Places," which is devoted to the active management of disability in the aged. This film was made possible by a grant from Pfizer Laboratories, division of Charles Pfizer and Company, and is available for medical audiences through this pharmaceutical house. The subtitle of this film, "The Active Management of Disability in the Aged," is a good definition for our kind of rehabilitation. In our institution, we think of rehabilitation as a total job aimed at the whole human being. In fact, we pride ourselves on a truly patient-centered program. In achieving such total rehabilitation, the cooperation of every member of the institution's personnel

Dr. Alvin I. Goldfarb has characterized as a total psychotherapeutic approach which our attending psychiatrists can the more

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of the aged as to the needs of the patient and the meaning of the symptoms, to a dogged gnawing at the conscience of society for a better emotional climate for our aging population

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7. REHABILITATION OF THE AGED

FREDERIC D. ZILMAN

As an exponent of the far flung specialty known as internal medicine, I have had experience in the care of the aged in general hospitals and in institutions for the aged, as well as in private practice. Over thirty years ago, I took over the medical management of the Home for Aged and Infirm Hebrews of New York City, at that time a pleasant boarding house for some 100 men and women over 60 years of age. I soon became aware that the application of standards of medical care such as were then current in general hospitals to the problems of my elderly charges would prove worth while. Accordingly, periodic physical examinations were instituted. Improved nursing care, a social service department, occupational therapy, physiotherapy, diagnostic laboratories and diagnostic x-ray equipment were in time installed. With the provision of a new building, it became possible to provide a pathology laboratory with opportunities for post mortem examination. In recent years, departments of neuropsychiatry, organized recreation, and rehabilitation and physical therapy have been added. Today, the Home has become an intermediate medical facility, approved by the Joint Commission on Hospital Accreditation and filling a gap in the over all community medical picture. It takes care of older people, on a long term basis, according to their medical and social needs. Close cooperation with other medical facilities is our practice, since the Home does not provide specialized services such as surgery or deep x-ray therapy.

can learn from the psychiatrist just as the psychiatrist can learn from him. Psychophysiologic reactions are most intimately and delicately balanced in the aged.

In conclusion, I would stress again the concept of rehabilitation of the aged as a total effort in which the internist, the neuropsychiatrist, the physiotherapist, the nurse, the social worker must all play an important part. The basic principles stressed have emphasized the physician's attitude: precise diagnosis, caution in drug therapy, more courageous surgical approaches, more rehabilitation and physical medicine, and more psychotherapy.

In the words of Sir James Crichton Browne, "There is no short cut to longevity, to achieve it is the work of a lifetime."

DISCUSSION

CHAIRMAN CAMERON: Thank you, Dr. Zeman. I think the panel is to be congratulated for getting through so expeditiously. I am now going to ask the members of the Commission if they have any questions they would like to put to the panel.

FREDERICK MOORE: I should like to address a question to Dr. Meyer. To what extent if at all are acute delirium, confusions or anxieties in elderly patients correctable by means of tranquilizing drugs? If these drugs are successful in the control of the acute situation, how long should they be continued to minimize relapse? What are the drugs of choice and what are their effects?

Dr. MEYER: I would say that the acute reactions of delirium are easily reversible and as a general statement, this is true—provided that you add to that the reversibility of the acute physiologic imbalance that is contributing to the disturbance.

Drugs in my opinion are only a temporary expedient in dealing with acute reactions while our experience has been limited, we have come to be more and more conservative about the use of tranquilizing drugs, particularly reserpine. When they are given, blood levels are obtained which produce the desired effect, but the drug is retained in the body for a period of at least three or four or five days. In the long-term maintenance of acutely disturbed elderly people, one runs the risk of depriving the patient of what I would call the antishock defenses, such as tachycardia, the hypertensive response, and sweating, so that if he comes up against an acute critical emergency, then death.

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might come into the particular disturbance that we are dealing with.

CHAIRMAN CAMERON: I am going to ask Mr. Sargent this question. He mentioned that quite a high percentage of workers do not want to continue after the retirement age. I would like to ask him: does he find that motivation to continue working is related to any of the following factors: 1) the job category, 2) the social class, 3) the cultural subgroup, or 4) the individual traits?

Mr. SARGENT: I would say that a third of our people want to continue. There are 40

reputation whose orders are carried out by a capable resident staff gives our residents basic health security

Our psychiatrists have been successful in developing methods of psychotherapy suitable for use in elderly patients. We are engaged in experimenting with small sections for disturbed individuals and find that within the framework of our organization we can take care of many such elderly individuals without the need for transferring them to state hospitals. Naturally the use of tranquillizing drugs such as Thorazine and Serpasil has been of the greatest value.

Elsewhere I have emphasized certain basic principles in the therapy of older individuals which may be briefly stated here as the basis of a total effort at rehabilitation in private practice.

1 Diagnostic precision is the basis of all therapy. There are no diseases peculiar to old age and very few from which it is exempt (Worcester). It is necessary to detect all pathologic lesions present in a given individual but it is also important to estimate the functional capacity since there is often a great difference between the two. The wise practitioner will also appraise the social situation as to family relationships, housing, economic status, and their relation to community organizations. He will avail himself of the expert assistance of trained social workers and visiting nurse service and will apply to his own work the lessons learned from the success of team approach in institutional practice.

2 The physician must be convinced that his efforts for the old are in the highest traditions of our profession and that working among the old will take his best efforts. A genuine liking for old people is a great asset.

3 Knowing the limitations of the aged organism, the physician must be satisfied with limited goals. Palliation is in itself a worthy objective.

4 Overdosage with drugs of all kinds which should be avoided at all ages, must be even more carefully avoided with the old. Observations on the efficacy of the drug must be rigidly controlled.

5 The assistance of specialists in rehabilitation and physical medicine must be utilized and their teachings always kept in mind. The patients must be kept in motion. They must be taught to help themselves. No effort must be overlooked in teaching the patients how to get to the toilet by themselves.

6 The contributions of modern surgery in bringing benefits to the old are expanding rapidly. New operative techniques, new methods of electrolyte control in preserving fluid balance, the use of transfusions, light anesesthetics all tend to reduce operative risk and operative mortality. The physician must not wait too long for elective operations.

7 The physician must realize that everything he does is psychotherapy. The old intuitive methods are now passé. The physician caring for the old

is not necessarily a very exact evaluation of the situation. In some, we have found that health is most frequently used as an enabling factor.

CHAIRMAN CAMERON: Well, I thought I could rely on Dr. Tyhurst to disagree. May I ask Dr. Romano to say a word on this point?

Dr. ROMANO: As a matter of fact, I wanted to ask three questions. I don't think any of them has to do directly with this point. The first question I would like to direct to Doctors Meyer and Weinberg. As I understand it, in the short time they had to present their data, both of them pointed to the fact that there were many similarities in the various crises experienced by older people as compared with people of other age groups. I just wondered whether they would consider this matter now that there is a renewed interest in more precise studies of cognition—those in the fields of perception, thought, learning and memory—perhaps one day when these studies are completed we will obtain clues and understanding and skills which will better prepare us to deal with some of the problems of the aged than we have now.

We all know that with the impact of psychoanalytic psychology in this century we have devoted a tremendous amount of attention to the conative and affective aspects of motivation and behavior, but now that cognitive studies are being done in a much more sophisticated manner than had been done before this century, we may be able to learn something more of the way in which the mind works at certain periods.

The second question I would also like to direct to the clinicians, Doctors Meyer, Tyhurst, Segal, and Weinberg. It has been mentioned here a number of times that the patient is the unit of study, but as a matter of fact a number of people feel that this is not so—that actually the unit of study is the family rather than the patient. Would they comment for a moment on this?

education in this country of direct Campbell's definition of some of the

ident member and that one certainly cannot obtain a complete or even intelligent understanding of the behavior of people, particularly the aged, without some reasonable understanding of the social dynamic steady state of the family of which the patient is a member?

My third question I would like to direct to Dr. Metraux. I was very much interested in her statement of the cultural stereotype in terms of anticipation in attitude of the aged in our society. I would like to ask her: are there media now extant in our culture such as television news programs and occasional drama which may bring about some change from the existing stereotype of the humble young woman and the vigorous young male? Isn't it true that for the first time in our generation the human face is being seen by a great number of people as it actually is rather than through the stylized version of the American movie industry and the magazine pictures? Is it true now that we see young and old as they are, with the lines of care and worry and joy and pleasure and anger on their faces, rather than the samples which the movies have given us for some time?

CHAIRMAN CAMERON: Do we have the answer to the first question?

Dr. MEYER: I agree very much with what Dr. Romano said when he underscored the potential usefulness of the current studies on perception, thought process, learning and memory. Particularly I think the matter of the studies on the organization of perception are relevant to the problems of the aged person who is sick and also disturbed mentally.

per cent whom we don't want to keep I don't know frankly, how many of them want to stay

I think, by and large the main reason for wishing to continue is to keep on the pay roll to earn full pay instead of having to get along with \$180 or \$190 a month

We have done quite a bit of work in trying to get people to think about retirement and plan for it but too many people haven't thought about it and when it comes to them they don't know what they are going to do and they feel lost for a period I think after two years they are very happy

Now, what was the second one—the social concept?

CHAIRMAN CAMERON Social category or class—the social stratum from which he comes

MR SARGENT No I would say that the main reason why most of the people wish to stay on is simply an economic one

CHAIRMAN CAMERON I wonder if there is any disagreement—and let's hope there is—between Dr Tyhurst and Mr Sargent about retirement? Do you care to debate between yourselves?

DR TYHURST I would like to disagree in principle anyway Mr Chairman Concerning voluntary retirement we found that statements of the company were quite unreliable that in fact the voluntary applications so called for retirement were false probably in about 75 per cent of the cases that this is what often amounts to the engineering of consent Supervisors often say to the employees You know you're due to retire in a year or so The employee says Oh yes and then hopes it is going to be forgotten But six months later something more is said about it eventually some statement is made about health or something like that Then the employee realizes that he is going to have to go and is not going to be able to stay on and under those circumstances signs the application form voluntarily

In the group that we are studying at least we have found when we have gone to the person himself—actually gone into the situation he would much have preferred to stay at work

MR SARGENT Just to keep the argument going we retire on the order of 400 people a year that is retire them for disability We push them out There is nothing voluntary about it If they are sick we decide they can't work and they don't get back to the job at all They go out for disability We have an early option whereby a man can retire if he wishes to when he gets enough age and service We have probably four applications a year for early option Of course the money is reduced somewhat But the ones I am talking about the men who come to retirement at age 65 we have talked to them and we've got the company records on them As for the disabilities sure they would like to stay on but we push them out because they are not pulling their weight in the boat But there is very little of the early voluntary retirement

DR TYHURST I might say that the reasons for retirement are not only the problem of health I have identified a lot of other reasons such as hostility between employee and supervisor or reasons that are quite irrational in many instances—problems of emotion changing the job obsolescent job and so on Health and the failure of the individual to actually work on the job are by no means the most important Very frequently we also find that the statement that the individual is not working as well as he used

What we are faced with is that individuals come in to us with a variety of disorders. They are patched up in one way or another and they are able to get around. Some can even survive. They may have a pension, but they cannot live on that pension. They may be recipients of a wide variety of relief, but not quite enough to meet the urban challenge to their economic safety.

We are faced with the fact that we know very little about how to handle a situation in which a man has twelve children scattered around New York and in the countryside, and none of those children can look after him or have any desire to do so.

I listened to Miss Fanny Hurst and a sociologist on television not very long ago, and Miss Hurst felt that any family that refused to take an outsider into that family was, in effect, participating in a deep tragedy to society. On the other hand, I am acquainted with individuals who take the position that an elderly individual who has not quite adapted himself to this pressing civilization can not only ruin his own life but can ruin the lives of three generations.

That is our dilemma. In a hospital, we are not worried about Setpoint particularly; we are not worried about aspirin; we are not worried about prostatectomies. Those things are quite simple. Our problems are this enormous mass of individuals who have been put together again and who can go to ball games, or who might make a limited income. But the family unit, as it existed at the turn of the century, with which I was acquainted does just not exist in this urban population. So I would say to Dr. Romano I love that family unit, but it just does not exist in a quantitatively sufficient way to look after the large number of old people that we have with us.

Dr. Romano: I think my point was not necessarily that the patient should be returned to the family unit, but, rather, that the perception of the patient should be not that of a social isolate and an understanding of his behavior is dependent on knowledge of what the family unit has been and what separation from that unit means, to him and to them.

Dr. Weyenberg: Mr. Chairman, having sat on the Committee on the Family in the Group for Advancement of Psychiatry for the past three years I can be most humble in terms of the family and talking about it.

Dr. Romano asks an enormously important question. Our understanding as psychiatrists of the dynamics and the interactions that occur in what is known as the family, and the implications of illness of one member of the family on the family unit, and vice versa, is very imperfect. We don't even know the anatomy of the family any more, whether it is the extended family grouping that Dr. Segal spoke about or the nuclear unit of husband, wife and children. We know so little, actually, of the dynamics and the interactions that occur in a family unit.

We have been trying to formulate methods of analysis of the family *per se*—that is, the family group—in order to be able to understand how much this family unit is responsible for the well being or the ill health of the individual, and what movements into and out of the family unit will do both to its individual components and to the family as a whole. I can say this much, that we all, of course are, therapeutically speaking, *violating the family*, certainly. As an example, an 80 year old woman, who came down with a severe memory defect, who has been calling upon her family members to be with her at all times and has asked that old family retainers return to the fold, went into a panic every time some member of the family or some individual with whom she had been interacting previously was not about. These panics became temper tantrums and outbursts and the family was unable to deal with them.

There is the question for instance of whether distortions at the level of the sense perception themselves can be affected by anxiety as well as by organic impairment. This is a real issue which is debated pro and con. We are familiar of course with the grosser manifestations of perceptual disturbance in the hysteric patient where disturbance of vision "gun barrel vision" blindness disturbances of sensation and of anesthetics and paresthesias are also accompanied by impairment of motor function.

The question of the minor degrees of these impairments of the perceptual process is so particularly important it seems to me because it is just the structuring of a familiar world that is the reassurance and the antidote to the internal threat which is a combination of the realistic shock let's say of the sudden onset of physical symptoms and it is also the perception and the anticipation of further perception of impairment of adaptive response. Investigation in this area will be particularly helpful in furthering our knowledge not only of disturbed elderly people but of all psychologic disturbances.

We are already familiar of course with the fact that we try to order or structure the external environment of the acutely disturbed elderly person. We keep lights in the room at night so that the shadows do not enhance perceptual distortion. We know the value of the special nurse. We know the value of a familiar relative who can sometimes bring so it seems a patient out of a very acute delirious reaction because of familiarity alone when a nurse or a doctor or someone who is not familiar cannot do it.

The problem of the patient in the environment where he is acutely disturbed can be further investigated not only by the experimental techniques involved in perceptual experiments but also by the clinical observations of the psychiatrist himself once he is alert to the need for close study of the perceptual distortions and their changes in the acutely disturbed patient.

I might mention the study by Drs. Lind and Weinstein who have been interested in this problem in which the bandaging preoperatively of the eyes of the patients who were undergoing cataract operation and the postoperative disturbances were correlated with electroencephalographic changes. This particular study is a model of the unity of clinical and observational data with the increased interest in the perceptual distortions and their role in acutely disturbed behavior.

CHAIRMAN CAMERON: Thank you. The second question as you may remember was addressed to four people. I will ask Dr. Seegal to lead off because I know that Dr. Seegal has been thinking about undergraduate curriculum teaching and so on. It is the matter of the family unit rather than the individual. I think.

DR. SEEGAL: May I handle that question in three very small parts? First may I read a sentence from my prepared statement which I consider the major area of attack as I see it as a family physician. Opportunities should be developed to further knowledge concerning the impact of present and changing socio-economic and socio-psychologic forces upon the older individual and those members of the family unit who are perforce affected by the presence and pressures of the patient.

The second point I was not born in a log cabin but I have lived in a small town and my memory of it is this that when there were older members of the family unit who were disabled it was the cultural attitude to look after your own. You just couldn't exist in such a community if you didn't look after your own people.

Part three of my answer is this. I now work in a hospital in which Dr. Rusk has considerable interest. We estimate that in this hospital for the care of long term illness there are 1500 patients. Half of them could be discharged tomorrow if they had a family unit to return to.

What we are faced with is that individuals come in to us with a variety of disorders. They are patched up in one way or another and they are able to get around. Some can earn a living. They may have a pension, but they cannot live on that pension. They may be recipients of a wide variety of relief, but not quite enough to meet the urban challenge to their economic safety.

We are faced with the fact that we know very little about how to handle a situation in which a man has twelve children, scattered around New York and in the countryside and none of those children can look after him or have any desire to do so.

I listened to Miss Fanny Hurst and a sociologist on television not very long ago and Miss Hurst felt that any family that refused to take an olderster into that family was, in effect participating in a deep tragedy to society. On the other hand, I am acquainted with individuals who take the position that an elderly individual who has not quite adapted himself to this pressing civilization can not only ruin his own life but can ruin the lives of three generations.

That is our dilemma. In a hospital, we are not worried about Serpasil particularly, we are not worried about aspirin, we are not worried about prostatectomies. Those things are quite simple. Our problems are this enormous mass of individuals who have been put together again and who can go to ball games, or who might make a limited income. But the family unit, as it existed at the turn of the century, with which I was acquainted does just not exist in this urban population. So I would say to Dr. Romano, I love that family unit, but it just does not exist in a quantitatively sufficient way to look after the large number of old people that we have with us.

Dr. Rosvold: I think my point was not necessarily that the patient should be returned to the study unit, but, rather, that the perception of the patient should be not that of a social isolate and an understanding of his behavior is dependent on knowledge of what the family unit has been and what separation from that unit means, to him and to them.

DR. WEINBERG: Mr. Chairman, having sat on the Committee on the Family in the Group for Advancement of Psychiatry for the past three years I can be most humbly in terms of that —

and was the member of the family on the female side.

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responsible for the well being or the ill health of the individual, and what movements into and out of the family unit will do, both to its individual components and to the family as a whole I can say this much that we all, of course are, therapeutically speaking, studying the family, certainly. As an example, an 80 year old woman, who came down with a severe memory defect who has been calling upon her family members to be with her at all times and has asked that old family retainers return to the fold, went into a panic every time some member of the family or some individual with whom she had been interacting previously was not about. These panics became temper tantrums and on occasions, and the family was unable to deal with them.

It was not until I was able to explain to the family that this aged individual with memory defects was utilizing the family as an extension of her memory when she was able to when she had a vacuum about something to point and say "Now who was it?" and the family was able to supply the name—it was only through the family's understanding that they were supplying her with some external memory, if you will that the entire situation became relaxed in that particular unit.

CHAIRMAN CAMERON: Are there any other people who want to answer that No. 2 question? If not I will go on to No. 3 which was addressed as you may remember to Dr. Metraux. Do you remember the question?

DR. METRAUX: Yes. It was whether there were any media now for obtaining an image of what a person really looks like and it was suggested that possibly television gives us a more accurate image of the individual at any age.

As a matter of fact I think that bit of it is quite simply answered if anyone has ever seen one of his friends on television or has seen him when he has just come off television. It is a somewhat different use of makeup and is rather extreme. Again it tends to highlight the contours of the face rather than the discriminating lines in the face, etc. But I think that there is something else going on which perhaps is giving us a more realistic view of the place of the aged among others in our population and that is something that has been repeated here a number of times: the necessity for seeing the elderly person as part of the on going stream of life. Again and again the speakers here have brought up that point. It brings us back to give a place to and so on. As I said earlier, this is one of the devices that is used in many societies for protecting the abilities and strengths of the aging individual.

We are also doing a number of other things along those lines tied in with that—for instance providing to a certain extent housing for elderly people or rather elderly people are picking up something which was essentially provided for the young. If you look at albums and so on you will see that it is not only the very young who are getting very modern new houses but quite often it is the parents whose children are married and gone away who are living in small modern housing and in that way they are both physically and in terms of the image reintegrated or continually integrated into on going life. I think one might find a number of areas where that is true.

On the point of the family I think there is a complication for Americans. We do believe in a two generation family in which the younger ones are always moving out. Adulthood for all of them is dependent on independence so that we have a particular problem of what to do with the older person who if he stays with his children is deprived to a certain extent of adulthood or the children are deprived with the further complication that in American society we have people from many different cultures and who to some extent retain in their attitudes towards old age what they learned as small children from their grandparents perhaps who came from other countries with other expectations of what old age could be or was. Therefore there is no simple image that we can work with in terms of the family.

CHAIRMAN CAMERON: I would like to ask another question of Dr. Metraux that is it is clear that something is going on. The mere fact that we are here today is one of the great things of people who are in disciplines other than our own and so I am going to

have been brought into play as assets that he probably never would have had before—namely an understanding of the game. Certainly I think he is known much better for his ability to manage the Athletics than he was as a catcher.

If I may be allowed to take a jump both across the years and across the type of occupation I would like to remind you that when Plato wrote one of his most famous works—I don't remember exactly what age he was—he must have been between 60 and 70. He probably would never have been able to do the job that he did had he not had the experience of his three failures in Syracuse which certainly gave him a much better appreciation of the development of a perfect state and even in that one one may ask whether Futhphro is a perfect state. But certainly it gave him the ability to write what must be considered his greatest work.

DR THURST: The areas mentioned were job personality factors and the willingness of society to recognize positive assets.

First as to the nature of the job it is certainly important in terms of retirement in the sense particularly that the higher the person gets in terms of position the more difficult it is for him to find after retirement any situation in which he can use his management skills. In fact there is often a far greater difficulty and this again is somewhat contrary to what I understood before we started this research for the person who has gotten to a supervisory job to find something to do afterwards or some equal occupation unless the person has some skills part of which can find some application subsequently. Yes many of the jobs do get obsolete and this is often the basis for retirement.

Restrictions of interest in particular jobs are the same problem but again this is an increasing feature of people coming to retirement now because all of us are characterized not by a wide range of interests but by an occupationally bound range of interests and I think that this makes it extremely difficult for people who are not professionals or otherwise to be able to continue to maintain these interests subsequently. But these are not so terribly important because I think that this depends tremendously upon the attitude of the particular individual—which takes me to the next point that is we have not been impressed by the value of the idea of rigidity in old age.

Rigidity is not a feature of personality so much as it is of a situation that a person finds himself in together with his personality. I would much rather see if there are such things some objective indices of rigidity that can be separated in the social situation in which the rigidity is measured. If you take an old person and put him up against the situation of retirement and then say "Well he doesn't adapt right way therefore he is rigid" that is taking quite a step. It may mean that the range of alternatives open to him under the circumstances is extremely narrow compared to the situation which a younger person may be in under the same circumstances.

In brief we have found the whole concept of rigidity quite useless although we were very enthusiastic when we started out with this idea as probably being the main feature by which we would be able to investigate the personalities of the aged.

The thing that we have found useful however is a fatal mode of action so to speak in which the individual projects himself into the future in fantasy for one of two reasons either to try to discover problems that he may have to meet even ten or fifteen years in the future or not looking particularly for problems but meeting problems. He looks ahead and tries out trial solutions.

These two features seem to be much more important than anything having to do with rigidity. Rigidity seems to be so much a product of a social definition a situation that as a personality feature it has not been useful.

Finally with respect to the willingness of society to recognize positive assets we have

been struck very much by the fact that most of the evaluations made of aged or older people at work have been made by people much younger than themselves and that in most of the research published the evaluations of the abilities and assets of the older person were being made by people on the average at least fifteen to twenty years younger. We thought this was quite important. Under these circumstances it seems that the willingness of society to recognize positive assets depends upon who is naming the assets.

CHAIRMAN CAMERON: Dr. Ross, do you have a question?

DR. ROSS: Yes, I have two questions. Bearing in mind that the topic of this panel discussion is "The Physician's Contribution to the Role of the Older Person in Society," the first would be to Dr. Metraux: as to how much the pattern of saying that only if a person is ready and willing and does leave his family is he showing maturity—how much does this play a part in the present two-generation family which we repeatedly describe as the American pattern?—of course not in her way of saying it but in many situations with an overtone of accusation.

My second question must be directed to Dr. Cameron because it goes Dr. Romano's one better and has five people as its object. Throughout this discussion we have had (except for Dr. Weinberg's saying that the psychoanalyst must forgo the formalized approach in order to treat the older person) no reference to the attitude of the physician toward older people and what part this plays in the efficacy of both general treatment and rehabilitation as well as the part it plays in community attitudes towards older people.

CHAIRMAN CAMERON: Dr. Metraux, would you care to answer the first question?

DR. METRAUX: That is something of a chicken and egg problem. As long as we link adulthood and independence to willingness to set up a new family just so long are we likely to have a great deal of emphasis upon the importance of the two-generation family.

The real question I suppose is whether there are other kinds of families in the world that do protect the adulthood of two or three or four generations who may be living in them and the answer of course is "Yes."

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DR. THURST: The areas mentioned were job, personality factors, and the willingness of society to recognize positive assets.

First, as to the nature of the job, it is certainly important in terms of retirement, in the sense, particularly, that the higher the person gets in terms of position, the more difficult it is for him to find, after retirement, any situation in which he can use his management skills, in fact, there is often a far greater difficulty, and this, again, is somewhat contrary to what I understood before we started this research, for the person who has gotten to a supervisory job to find something to do afterwards, or some equal occupation, unless the person has some skills, part of which can find some application subsequently. Yes, many of the jobs do get obsolete, and this is often the basis for retirement.

Restrictions of interest in particular jobs are the same problem, but, again, this is an increasing feature of people coming to retirement now, because all of us are characterized not by a wide range of interests but by an occupationally bound range of interests, and I think that this makes it extremely difficult for people who are not professionals or otherwise to be able to continue to maintain these interests subsequently. But these are not so terribly important because I think that this depends tremendously upon the attitude of the particular individual—which takes me to the next point, that is, we have not been impressed by the value of the idea of rigidity in old age.

Rigidity is not a feature of personality so much as it is of a situation that a person finds himself in together with his personality. I would much rather see, if there are such things, some objective indices of rigidity that can be separated in the social situation in which the rigidity is measured. If you take an old person and put him up against the situation of retirement and then say, "Well, he doesn't adapt right way, therefore he is rigid," that is taking quite a step. It may mean that the range of alternatives open to him under the circumstances is extremely narrow compared to the situation which a younger person may be in under the same circumstances.

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DR. METRAUX: That is something of a chicken and egg problem. As long as we link adulthood and independence to willingness to set up a new family just so long are we likely to have a great deal of emphasis upon the importance of the two-generation family.

The real question, I suppose, is whether there are other kinds of families in the world that do protect the adulthood of two or three or four generations who may be living in them and the answer of course is yes.

But what tends to happen if one looks for example at the traditional Chinese family is that the younger adult members develop a pattern of responsiveness rather than a pattern of initiating new action which again gets tied into a lot of other things in life so that we tie our independence, adulthood, initiatory behavior, etc. and we tend to come around again to the two-generation family. But it is not an inevitable conclusion. You can reset your thought as it were and the things that you want to emphasize.

CHAIRMAN CAMERON: I am going to try this question on Dr. Seegal if I may. I don't know if I have it quite clearly in my mind. Perhaps Dr. Ross, you will correct me if I haven't. It is a two-headed question as I gather it. The first is what general advice as to living should the physician give to the man or woman entering—

DR. ROSS: Oh no!

CHAIRMAN CAMERON: Will you restate it?

DR. ROSS: I really didn't communicate, did I? My point was in this discussion we have

had no reference to the physician's attitude toward older people as a basic component to how effective he is in his therapy, in his rehabilitating effort and in his effect on community attitudes toward elderly people. We have talked entirely about the older people and how others feel toward them. I am talking about the physician himself and his attitude toward aging and those who have experienced it.

DR SEEGAL: You are raising the question of the education of Henry Adams and the education of the physician. Some of us who spend our lives with medical students know that they have to make many of the same mistakes that we have made. I think that enlightened attitudes with regard to what a doctor ought to think about and his reactions to patients are becoming apparent on the horizon, but just because an individual is a physician does not necessarily mean that he is a mature human being. He has to suffer; he has to undergo experiences which help to facilitate maturation. No type of education that we know of can squeeze all the wisdom into a medical student in the period of four years.

A good example of that is when a medical student sees patients with diseases that he thinks will not challenge his integrity—they are just something that occurs in somebody else. But when he sees a patient of his own age on the ward with tuberculosis or an early cardiac infarct, he is very much easier to convince. I think we all have gone through that experience. Until I had a frank shaking chill, I was a very poor doctor with respect to looking after patients with frank shaking chills. I must have been called a hundred times to see such patients, and I moved in a typical slow fashion towards the bedside. But once having gotten a shaking chill, I used to reach the bedside of that patient before the patient finished half his paroxysm. I think, therefore, the notion that because an individual is a physician, he is really a total human being, is fallacious. Doctors are no different from other people in that respect.

You have touched on the entire question of the selection of human beings, the medical school attitudes and types of education, experiments, etc. Now we have had an experience for twenty years of the following order—and this is an experiment which I can talk about. When I went to medical school, there were several opportunities to look after patients in outlying institutions with so-called chronic diseases. Any member of my class who did that, or any member of my class who went into psychiatry at that time, was looked upon as a secondary citizen. Those were the facts. Today happily things have changed.

With respect to chronic diseases, most students feel or used to feel that things weren't dramatic enough. They wanted to see a patient coming in with a temperature of 109 and cured the next day, or an aneurysm just ready to burst. If it took 18 hours, it really was too long to wait. Today students realize, by virtue of this changing attitude, that their responsibilities in the future are largely concerned with individuals with long-term illnesses with slow progression, which require attitudes entirely different from what we were trained to have.

DR MEYER: I would like to say a word in self-defense, or it may be that Dr. Ross wasn't here when I spoke. I set out what I thought we had observed about the inhibition on the spontaneity and interest of the consulting psychiatrist, a senior clinician in dealing with elderly people, and I particularly specified that not only for chronic illness, but even in the process of acute breakdown in the elderly person, we must include the physician himself as an object of study as he relates to the patient who is undergoing this experience.

I would say that this has many practical ramifications, and I would say, too, speaking of the practical ramifications, that this withdrawal of interest—which is not easy to overcome no matter how hard you try—or feelings of discouragement, may have very serious diagnostic implications, that is, many diagnoses of brain tumor or things that need medi-

cal and surgical care are missed under the assumption that this is an old and arteriosclerotic person who is therefore naturally disturbed. That is one very practical implication immediately, of the effect of the elderly sick person on the young physician.

I would think too in relation to rigidity of elderly people, that we have to study the rigidity of the physician and the rigidity of the environment in which he works, because it seems to me that it is only honest to admit that on an acute busy medical service, with a house staff and young interns, regardless of the influence of the senior clinician and his interest an awful lot is going on on that ward that the visiting man doesn't know about and can't be there to influence.

I rather think that there is a certain amount of irreconcilable and irreducible conflict between the interests and the needs of the busy medical service, dealing with acutely ill patients and the organization that might work best for the sick elderly person, who is not also disturbed. I wonder—and Dr Seegal might wish to comment—if, without creating special geriatric units we might not have to think in terms of convalescent units, in which the sick elderly person's needs and the needs of the smooth working of a medical ward can more nearly mesh than on the service where both types of patients are in residence.

CHAIRMAN CAMERON: Any comment, Dr Seegal?

DR SEEGAL: I think that the education of the medical student is enhanced by an opportunity to spend a sufficiently long period of time in an institution where patients are domiciled for a long period of time. I would not say that they necessarily have to be geriatric patients. It is hard enough to get personnel for a hospital for chronic illness. I think you would find it extremely difficult to get the type of persons you wanted for all the hospitals limited just to geriatrics.

But I entirely agree with you that a medical student has not been properly trained if his time is spent in looking at episodes of acute illness or acute episodes of chronic illness. He doesn't get a chance to have his resources called upon. He doesn't have a chance to suffer. His patients are either well or dead within a week. But that is not the practice of medicine. The practice of medicine is having somebody transfer his problems, or have the family transfer their problems, to you over days, months, or years. That is the great burden on the physician.

CHAIRMAN CAMERON: I think that Dr Zeman would like to comment.

DR ZEMAN: I would like to get into this discussion from the standpoint of our responsibility—those of us who are here today on the platform and in the . . .

practitioners . . .
that . . .
don't . . .
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and on the state society level . . .
kinds of reassurances about . . .
little bit ashamed when they . . .
these men feel frustrated and upset and don't like to see these patients for that reason . . .
Many of these men think that they are not really fulfilling their role as a physician if they just sit at the bedside and listen to the patient . . .

among the old will take his best efforts. A genuine liking for old people is a great asset. Knowing the limitations of the aged organism, the physician must be satisfied with limited goals. He must realize and be quite content in the knowledge that palliation is in itself a worthy objective. He must be aware of the dangers of overdosage with drugs. He must be aware of the tremendous contributions that modern surgery can bring to his old people. He must be particularly wary about overstaying time limits as far as elective operations are concerned.

But I think that above all, the practicing physician needs to be taught that everything he does is psychotherapy. The old intuitive methods were extremely useful. What we used to belittle as a bedside manner, we now know had its therapeutic value. But we are now more educated in these matters and physicians can learn simple rules of behavior, simple rules of handling older people, which will be of great value to the patient and also of great value to the physician in making him feel that he is playing his own role to the full.

CHAIRMAN CAMERON: Thank you. Are there any other questions which the Commission would like to put?

DR. BOWMAN: Dr. Cameron, my question is to Dr. Métraux. I point out that during these two days we have been discussing the old person and in general agreeing that our present way of dealing with the old person is not satisfactory. It is harmful to him and to society. And also it seems to be advanced that one of the important factors here is our cultural attitudes. Dr. Métraux, I believe so stated among other things and also stated that she felt that this cultural attitude could be changed. I should therefore like to ask two questions.

First, does she agree that the changing of our cultural pattern is one of the first and the most important steps for us to take in trying to deal really effectively with this whole situation?

Second, how can we in a really practical way go about changing this cultural attitude? What suggestions would she make?

DR. MÉTRAUX: That is a large order. Yes, of course, when we are talking about culture in a sense we are talking about abstractions. The things we have been talking about here are content. If we are talking about change of basic ideas, etc., we have to consider the problem of content, of practical means of what the things we do convey in terms of this abstraction that we call culture.

The suggestion here has been made—and again I come back to the point that was made and that I made before—that we include with our view the importance of progress in the on-goingness of life, if we try to find a number of varied places in which the older person fits in which the particular assets of the older person are fully recognized.

When we talk about culture change, we tend to think it might be as if we could just shift ideas. Actually, what we are having to shift is actual practices, and the fact that there are such symposia from which people can go home in the case of the physicians
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 different

ways
 That, I should think, on the whole, would answer the second part of your question also, that we need to become articulate about what the problems are, define them, decide what kind of research we can do, because certainly one of the extraordinary changes that has come about in the whole of the world in the last hundred years or so is that we

are able to articulate problems do research on them in fact to include thinking about what problems are and translate them back into daily life. That is something which man has hardly done before on a large scale.

CHAIRMAN CAMERON: Thank you. Does that answer it?

DR. BOWMAN: Well, I don't know. I assume I asked what is relatively an impossible question to answer at a meeting like this, and I suspect that I got about as much information as can be given. I have a little of what you might call the discontent of one who wants to find a simple, ready answer, who feels rather certain there isn't any, but who is hopefully trying.

DR. METRAUX: Well, I would include in that, of course, that we need to have a much more specific comparative understanding of the way in which people of different ages have been able to solve problems in different kinds of societies. I included that in this formulation of what our particular problems are, doing research and then taking that back into our daily solution of problems, whatever our particular work is.

CHAIRMAN CAMERON: Well, I certainly think it was a very good try on both sides. Are there any other questions from the Commission?

DR. WEINBERG: Mr. Chairman, I would like to point up something that we are struggling here with some difficulties in terms of definition of a culture, by indicating the various streams of ideas that run in our society. We seem to be bipolar in nature and antagonistic to each other, so to speak, but yet some aspects of the same stick.

For instance, on the question of retirement, nobody here has indicated in any sense that retirement may be a desirable state of affairs to many people. Of course, it has been said to some extent, but we failed to recognize that retirement is a highly motivated activity.

It is a desirable state of affairs to achieve in our society if you can retire at 65; it is a desirable state if you can do it at 42; you are a genius. In a certain sense, it has enormous pull on us.

Many a person works for early retirement without knowing why.

In my experience, cultural anthropologists, who have been so very wonderful in defining the various cultural patterns, have nevertheless had extreme difficulty in defining what began a certain type of cultural pattern. They cannot describe the genesis of a culture. They can describe how it operates. For that reason, I imagine it would be very difficult to state specifically what we can do to alter certain cultural attitudes in order to make our life more tolerable.

CHAIRMAN CAMERON: I have a question from the floor for Dr. Zeman. I know there are many very religious men on the panel, but I am surprised that so little reference has been made to the role of religion in setting the stage for the approach of old age. Would any member comment on the relative importance of religious activities or religious beliefs in the process of individual adjustment?

DR ZEMAN I have been very well aware for many years of the important role that religion plays in the lives of some people but more especially of the tremendous role that it has yet to learn to play in the lives of older people

I have had many talks with rabbis and ministers on this subject and many of them have expressed a good deal of interest in it but very few of them have really developed any constructive program

Actually there are two large ways in which the church may operate One is in a sense in physical way that is by using community houses and church premises for recreation centers and other sorts of activity for the older members of the neighborhood or of the particular church group Many are doing this But there is naturally a much broader role that they have to play in bringing to bear on the basic problem of life and death the message that religion aims to bring

The most outstanding contribution to this whole problem is the book *Old People and the Church* To me the most encouraging feature of the whole question is the fact that in theological schools of all denominations and all religions there is increasing emphasis being laid on pastoral counseling and on pastoral psychiatry

I think therefore as Dr Seegal has indicated that the medical students of the future are going to know more about old age so the religious leaders of the community are going to understand more intimately and more fundamentally the problems of old age that there can be no question at all that religion has a tremendous role to play and that that role at the present time as far as I know is only imperfectly realized

CHAIRMAN CAMERON I have time for only one more question as the hour is quite advanced This is directed to Dr Weinberg Have studies been made on clinically psychotic neurotic persons in their twenties as to their adjustment after the age of 60?

DR WEINBERG I don't know of any such study We have attempted at Michael Reese Hospital to go back to our clinical records to pick up some of the people who were seen a number of years ago to determine what they had done with their lives and whether their early neurotic patterns had influenced the weight of their aging Unfortunately the records are of such a nature that it is almost impossible to get a clear cut picture as to the type of neurotic manifestations at that time due to the fact that many different people have examined the patients in the clinic and their conceptual formulation of what they had found certainly varies from many of our concepts of the present time so that it has been difficult

We are attempting to make a study a longitudinal study at present of some of the people beginning if you will at the age of 30 and up and keeping records of them at Michael Reese If we live long enough we might be able to see what we can do with them

answering them as seemed most convenient I think they did exceedingly well and I would like to thank them on your behalf

PRESIDENT MOORE We haven't thanked the most important group of all namely the audience who have stayed with us during these two days and proved a most stimulating group of listeners

CONSTITUTION
AND
BY-LAWS

ASSOCIATION FOR RESEARCH IN
NERVOUS AND MENTAL DISEASE, INC.

1954

ASSOCIATION FOR RESEARCH IN NERVOUS AND MENTAL DISEASE INC CONSTITUTION

ARTICLE I

NAME

The Association shall be known as the Association for Research in Nervous and Mental Disease Incorporated

ARTICLE II

OBJECTS

The objects of the Association are to encourage, promote, foster and assist investigations and research in nervous and mental disease and to prepare print issue and distribute publications based upon such investigations and research

ARTICLE III

MEMBERS

The membership of the Association for Research in Nervous and Mental Disease Inc shall consist of Senior, Sustaining Active and Associate members

ARTICLE IV

OFFICERS

The officers of the Association shall be a President First Vice President, Second Vice President Secretary Treasurer and Assistant Secretary Treasurer The officers shall be elected by the members of the Association at the annual meeting to serve for one year

ARTICLE V

BOARD OF TRUSTEES

There shall be a Board of Trustees consisting of seven elected members with the President and Secretary Treasurer of the Association acting as ex officio members of the Board of Trustees The Board of Trustees shall elect one of its elected members as its Chairman

ARTICLE VI

COMMISSION

The members of the Commission shall consist of individuals appointed by the President and approved by the Board of Trustees, to serve for the

current year. The members of the Commission need not belong to the Association.

The Commission shall sit with the President at the annual meeting to hear the papers presented before the Association, may participate in the discussion of the contributions, and question the authors of the papers presented before the Association.

ARTICLE VII

MEETINGS

The time and place of the annual meeting shall be determined by the Board of Trustees.

ARTICLE VIII

AMENDMENTS

Amendments to this Constitution shall be made in the following manner. The proposed amendment shall be presented in writing, signed by at least three members of the Association, and submitted to the Board of Trustees at least thirty days before the current annual meeting. At the current annual meeting the Board of Trustees shall report to the Association upon said proposed amendment with their recommendation. The amendment shall then be voted upon and two thirds of all votes cast at the meeting shall be necessary for the adoption of the amendment.

BY LAWS

ARTICLE I

ELECTION OF TRUSTEES DUTIES OF TRUSTEES

At each annual meeting a member of the Association shall be elected to the Board of Trustees by the Association for a term of seven years in the place of the senior member whose term then expires. Members of the Board of Trustees shall be eligible for re-election.

The Board of Trustees shall have general charge of the affairs, funds, and property of the Association. It shall have full power and it shall be its duty to carry out the purposes of the Association according to the Charter, Constitution and By Laws. A majority of its members shall constitute a quorum.

The Chairman of the Board of Trustees shall be the Chief Executive Officer of the Association.

ARTICLE II

ELECTION OF OFFICERS DUTIES OF OFFICERS

The officers of the Association shall be elected by a majority of the members present at the Executive Session of the annual meeting and shall

serve for one year. Officers shall be eligible for re-election. Vacancies occurring in any office shall be filled by the Board of Trustees for the unexpired term until the next annual election.

The President The President shall be responsible for the preparation of the scientific program, shall preside at the annual meeting, recommend to the Board of Trustees the appointment of the members of the Commission, call meetings of the Commission, and shall perform all duties customary to his office.

The First Vice President In the absence of the President, the First Vice President shall discharge all the duties of the President.

The Second Vice President In the absence of the President and the First Vice President, the Second Vice President shall discharge all the duties of the President.

The Secretary Treasurer The Secretary Treasurer shall issue notices of and keep records of the proceedings of all Executive and Scientific meetings of the Association and of the meetings of the Board of Trustees, shall notify officers, members of the Commission and members of Committees of their election, certify official records, keep a list of members, issue notices of all meetings, and perform all duties which may be required of him by the Board of Trustees, the President and Vice Presidents. He shall be responsible for and keep account of all funds of the Association and make disbursements as directed by the Board of Trustees.

The Assistant Secretary Treasurer The Assistant Secretary Treasurer shall act as an assistant to the Secretary Treasurer and shall have power to act as the Secretary Treasurer in the absence or during any disability of the Secretary Treasurer.

ARTICLE III

NOMINATION AND ELECTION OF MEMBERS

QUALIFICATION FOR ELECTION

All members in good standing of neurologic or psychiatric societies in the United States and Canada are eligible for membership in the various classes of membership of the Association upon being proposed by one and seconded by another member in good standing in the Association. Proposals for election to membership must be reviewed by the Committee on Admissions and declared approved or disapproved. Such favorable or unfavorable action shall be reviewed by the Board of Trustees and the Board of Trustees shall then elect by majority vote those individuals whom they approve. Candidates acted upon unfavorably by the Committee on Admissions may be elected to membership by the unanimous vote of the Board of Trustees. Candidates shall become members following election by the Board of Trustees and upon payment of dues.

Sustaining and Active members shall be individuals who are engaged in the practice of clinical neurology, neurologic surgery or psychiatry. Classification as a Sustaining or Active member depends upon the choice of the individual. Associate members shall be individuals actively engaged full time in research work related to neurologic or psychiatric problems in recognized medical schools, hospitals or research institutions.

Individuals not fully qualified under the provisions of the preceding paragraphs may under special circumstances be elected to membership in the organization.

Senior membership shall consist of those who have been members in good standing for twenty five years and who have reached the age of sixty five years. Transfer to Senior membership of qualified Sustaining or Active members of the Association shall be optional. Members in good standing not possessing the preceding qualifications may under exceptional circumstances be eligible for transfer to Senior membership at the discretion of the Board of Trustees.

ARTICLE IV

COMMITTEES

A Nominating Committee of three members shall be appointed by the Chairman of the Board of Trustees prior to the annual meeting of the Association and the names of these members of the committee shall appear on the printed program. It shall be the duty of the Nominating Committee to nominate a President, First Vice President, Second Vice President, Secretary, Treasurer, Assistant Secretary, Treasurer, a member of the Board of Trustees, a member of the Admissions Committee, a member of the Committee on Public Relations, and such other offices as the Association may from time to time desire.

A committee on Admissions shall consist of three members, each member to serve for a period of three years. Each year a new member shall be elected at the Executive Session to replace the senior member of the Committee. All proposals for membership shall be submitted to the Committee for recommendation and those that are approved by the Committee shall then be forwarded to the Board of Trustees for final action.

An Auditing Committee of three members shall be appointed by the Chairman of the Board of Trustees prior to each annual meeting. It shall be the duty of the Auditing Committee to examine the accounts of the Treasurer and to report to the Association at its annual meeting.

A Committee on Public Relations shall consist of five members, each member to serve for a period of five years. Each year a new member of the Committee shall be elected at the Executive Session to replace the senior member of the Committee. The Committee on Public Relations shall be

responsible for all matters of public relations particularly in connection with the lay and medical press and the relations of the Association with governmental and lay organizations

ARTICLE V

MEETINGS

The Executive Session of each annual meeting shall be arranged by the Board of Trustees and the scientific program shall be organized by the President of the Association. Twenty five members shall constitute a quorum at the Executive Session at the annual meeting. Robert's Rules of Order Revised, shall govern all meetings of the Association, except where otherwise specifically provided by the Constitution and/or By Laws of the Association.

Meetings of the Board of Trustees shall be held whenever called by its Chairman or at the request of three of its members. A majority of the Board of Trustees shall constitute a quorum.

ARTICLE VI

DUES

The dues for Sustaining and Active members shall be determined annually by the Board of Trustees. Senior and Associate members will not be subject to the payment of annual dues or assessments. Dues may be remitted under special circumstances, by action of the Board of Trustees on request of an Active member.

Any member who shall fail for one year to pay his dues, may, after due notification by registered letter from the Treasurer, have his name stricken from the list of members at the discretion of the Board of Trustees, unless said dues be paid within thirty days of the date of mailing the notice. The Trustees shall be empowered to excuse any member from the payment of dues for reasons deemed by them to be good and sufficient.

ARTICLE VII

AMENDMENTS

These By Laws may be amended in the same manner and with the same procedure as outlined for an amendment to the Constitution. Amendments of the By Laws may also if urgently needed, be made by unanimous action of the Board of Trustees. Such amendments however, to continue effective must be approved at the Executive Session of the next annual meeting of the Association.

*Revised and approved
at the Executive Session
on December 11, 1954*

LIST OF MEMBERS

ASSOCIATION FOR RESEARCH IN NERVOUS AND MENTAL DISEASE

Sustaining Members—1955

EBALCH FRANKLIN C 1801 High St Denver 6 Colo
 GAYLE R FINLEY Professional Bldg 5th and Franklin Sts Richmond 19 Va
 GREENBERG I MELBOURNE 151 Fulton Ave Hempstead N Y
 HAND B MARVIN 269 S 19th St Philadelphia 3 Pa
 HOHMAN LESLIE B Duke Medical School Durham N C
 KAHN EDGAR A 1515 E Ann St Ann Arbor Mich
 LEVY DAVID M 10 F 91st St New York 28 N Y
 NIELSON AACE 10 Peterboro St Detroit 1 Mich
 TEAHAN JOHN W 689 Asylum Ave Hartford Conn
 TERHUNE WILLIAM B Silver Hill Foundation New Canaan Conn
 TIMME WALTER Cold Spring Putnam County N Y
 ZABRISKIE EDWIN G 115 E 61st St New York 21 N Y

Senior Members—1955

BOND EARL D 111 N 49th St Philadelphia 39 Pa
 BURLEY BENJAMIN T 19 High St Worcester Mass
 CANNADAY ROYAL G 121 E 60th St New York 22 N Y
 CHAMBERLAIN OLIN B Old Towne Rd Route 8 Charleston S C
 CHASE J BERTRETT 211 87th St Jackson Heights N Y
 COBB STANLEY Massachusetts General Hospital Boston 14 Mass
 CROTHERS BROWN 300 Longwood Ave Boston Mass
 DAVIS THOMAS K 70 E 77th St New York 21 N Y
 CRANT FRANCIS C 3100 Spruce St Philadelphia 4 Pa
 HEDDIFSON JAMES H 6620 S W Lafayette Hill Rd Portland 1 Ore
 HUNT EDWARD I 330 Ocean Ave Lawrence N Y
 KESCHNER MOSES 151 West End Ave New York 21 N Y
 MAYER EDWARD F 7601 Forbes St Pittsburgh 17 Pa

WALLACE LOUIS O 5 119 Hall St Manchester N H
 WELSH ARTHUR 115-08 Park Lane S Kew Gardens N Y
 WHITLEY CORNELIUS C 121 University Pl Pittsburgh Pa

Active Members 1955

ARROTT JOHN A Massachusetts General Hospital Boston 14 Mass
 ARROTT KENNETH H 30 E Broad St Columbus Ohio
 ARROTT KENNETH H 30 E Broad St Columbus Oh

LIST OF MEMBERS

COHEN MANDEL E Massachusetts General Hospital Boston 14 Mass
 COHEN S DNEY M., 710 W 168th St New York 32 N Y
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 COLE ED VIN M 412 Beacon St Boston Mass
 COLLINS LAWRENCE M Greystone Park N J
 COLLIP J B University of Western Ontario London Ontario Canada
 CONE WILLIAM V 3801 University St Montreal Canada
 CONSTABLE KATE 16 E 84th St New York 28 N Y
 CORRIGAN PATRICK H 1/20 S Broad St Trenton N J

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 CRAWFORD ALBERT S Box 414 Togus Me
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 CURRIER F P Jr 26 Sheldon Ave. S E Grand Rapids Mich

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 DANIELS JAMES T 642 Park Ave New York 21 N Y
 DAVEY LYCURGUS M 200 Bradley St New Haven 10 Conn
 DAVIDOFF LEO M 1008 5th Ave New York 28 N Y

DELMUTH EDWIN L 14 Soundview Ave White Plains N Y
 DENBER, HERMAN C B Manhattan State Hospital Wards Island N Y
 DENBO ELIC A 596 Benson St. Camden N J
 DENKER PETER G., 140 E 54th St New York 22 N Y
 DENNY BROWN DEREK E Neurological Unit Boston City Hospital Boston 18 Mass
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DEUTSCH

DEUTSCH

DEUEL

DETERE

DIETZ

DONNEL

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DOSAN

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DR

DR

DR

DR

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 EPSTEIN, SAMUEL H., 112 Beacon St., Boston, Mass
 ERICKSON, THEODORE C., 531 N. Pinckney St., Madison 3 Wis
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 EVANS, JOSEPH P., University of Chicago Clinics, Chicago 37, Ill
 EVERTS, WILLIAM H., 1011 S. Flagler Dr., West Palm Beach, Fla

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 FAY, TEMPLE, 8811 Germantown Ave., Philadelphia 18, Pa
 FEICIN, IRWIN H., 51 21 Browvale Lane, Douglaston 62 N. Y.
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 FINE, ISADOR, 683 Montgomery St., Brooklyn 13 N. Y.
 FINESENER, JACOB F., University of Maryland, Baltimore 1 Md
 FINK, MAXIMILIAN, 275 Middle Neck Rd., Great Neck L. I., N. Y.

- GOTTSCHEK LOUIS A Cincinnati General Hospital Cincinnati 29 Ohio
 GRAIN GERALD O Henry Ford Hospital Detroit 2 Mich
 GRAVES ROBERT W Albany Hospital Albany N Y
 GREEN MARTIN A 100 E 94th St New York 28 N Y
 GREENE JUSTIN I 710 W 168th St New York 32 N Y
 GREENHILL MAURICE H University of Maryland School of Medicine Baltimore 1 Md
 GRINKER ROY 4910 East End Ave Chicago 15 Ill
 CROFF ROBERT A 1930 Chestnut St Philadelphia 3 Pa
 CUKDJIAN F S Whitney Bldg Detroit Mich
 GUTMAN SAMUEL A Hunter's Green Pennington N J

 HADEN SAMUEL B 20 S 18th St Philadelphia 3 Pa
 HAINES WILLIAM H 4600 S California Ave Chicago Ill
 HALL ROSCOE W St Elizabeth's Hospital Washington D C
 HAMBRY WALLACE B 140 Linwood Ave Buffalo 9 N Y
 HANMILL RALPH C 8 S Michigan Ave Chicago Ill
 HAMILTON FRANCIS J 9 E 68th St New York 21 N Y
 HAMILTON JAMES A 140 Sutter St San Francisco 8 Calif
 HAMILIN HANNIBAL 270 Benefit St Providence 3 R I
 HAMMES ERNEST M 1121 Lowry Medical Arts Bldg St Paul 2 Minn
 HAND MORTON H 1640 Ditmars Ave Brooklyn 4 N Y
 HARDING GEORGE I 415 E Cranville Rd Worthington Ohio
 HART CLARENCE C 10 Lyon Pl White Plains N Y
 HARTER EDWARD O 2064 Adelbert Rd Cleveland Ohio
 HARRIS LUCAS H University of Texas at Houston Tex
 HART ANDREW D University of Virginia Charlottesville Va
 HARTER HARRY M 82 12 Kew Gardens Rd Kew Gardens 1 L I N Y
 HASENBUSH LESTER L 313 Buckminster Rd Brookline 16 Mass
 HAUSSMAN LOUIS 110 E 54th St New York 42 N Y
 HAYMAKER WEBB Armed Forces Institute of Pathology 7th & Independence Ave SW
 Washington 25 D C
 HEATH ROBERT G 1130 Tulane Ave New Orleans 1a
 HEIMAN MARCEL 1148 7th Ave New York 48 N Y
 HELDT THOMAS J Henry Ford Hospital Detroit 2 Mich
 HEFFER LEWIS M 705 E Houston St San Antonio 5 Tex
 HENRY GEORGE 111 E 71st St New York 21 N Y
 HERRMANN CHRISTIAN JR University of California Medical Center Los Angeles 21 Calif
 HERZ ERNST 710 W 168th St New York 32 N Y
 HESSER FREDERICK Albany Hospital Albany 1 N Y
 HEYER HENRY J Hitchcock Clinic Hanover N H
 HINWICH HAROLD F Galesburg State Research Hospital Galesburg Ill
 HINSHY JOSEPH C 1500 York Ave New York 41 N Y
 HIRSCHFELD BERNARD A 370 W State St Denton 8 N J
 HOWLAND HUDSON 222 Maple Ave Shrewsbury Mass
 HOCH PAUL H 116 Park Ave New York 48 N Y
 HOCHSIEFTER WERNER 11 E 68th St New York 21 N Y
 HODGSON JOHN S 22 Beach St Boston Mass
 HOFFER PAUL F A 710 W 168th St New York 32 N Y
 HOEN THOMAS 740 1st Ave New York 10 N Y
 HOFF JUSTIN M 88A Chestnut St Boston 8 Mass

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 LAKE GEORGE L 160 Riverside Ave Amityville, N Y
 LAMBROS VASILIOS 1832 K St N W, Washington 6 D C
 LANDRY, CHRISTOPHER I 220 Commonwealth Ave Boston 15 Mass
 LANE H B 611 Madison Ave Albany 8 N Y
 LANCENSIRANS KARI H St Elizabeth's Hospital Washington D C
 LANCWORTHY ORTHELLO R Johns Hopkins Hospital Baltimore 5 Md
 LAWYER TIFFANY JR Montefiore Hospital New York 67, N Y
 LEAVITT I H 1527 Pine St Philadelphia Pa
 LEBENSOHN ZIMOND M 1712 Rhode Island Ave N W Washington 6 D C
 LEDERER HENRY D Cincinnati General Hospital Cincinnati 29 Ohio
 LENNOX WILLIAM G 300 Longwood Ave Boston 15 Mass
 LESSE STANLEY 710 W 168th St New York 32 N Y
 LEVIN GRANT 516 Sutter St San Francisco Calif
 LEVIN JULES D 161 W Wisconsin Ave Milwaukee 3 Wis
 LEVIN PAUL M 1227 Medical Arts Bldg Dallas 1 Tex
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 LEVINE MAURICE Cincinnati General Hospital Cincinnati 29 Ohio
 LEVY IRWIN 4922 Maryland Ave St Louis Mo
 LEVY LEWIS I Meeting House Lane Orange Conn
 LEVY SOL 363 Paulsen Medical & Dental Bldg Spokane 1 Wash
 LEWIS BERNARD I State University of Iowa Iowa City Iowa
 LEWIS NOLAN D C N J Neuropsychiatric Institute Princeton N J
 LIEBERSON Wladimir I 62 Roslyn St Hartford Conn
 LIEBERT FRICH 25 E Washington St Chicago 2 Ill
 LINDEMANN FRICH Massachusetts General Hospital Boston 11 Mass
 LINN LOUIS 70 E 85rd St New York 28 N Y
 LITTON HARRY R 190 Peachtree St N E Atlanta Ga
 LIST CARL F 833 Lake Drive S E Grand Rapids 6 Mich
 LITTLEJOHN WILMOT S 2629 Aberdeen Rd Birmingham 5 Ala
 LIVINGSTON KENNETH F 836 S W Broadway Portland 5 Ore
 LOCASCIO NICHOLAS R 159 Westminster Dr Yonkers 3 N Y
 LOMAN JULIUS 183 Beacon St Boston Mass
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INDEX

- Abdominal responses changes with age 201
- Atrophy 215
- Absenteeism from work effect of age on 244
- Activity of senses changes with age 201
- Adolescence present day extension of 71
- Adult learning 51
- Age correlation of drug effects to 83
 - effect on activity of free and bound calcium 5
 - group establishing optimal drug dosage for 89
 - pigments 3
 - association between mitochondria and 5
 - mechanism of formation 4
 - where found 4
 - speed of performance diminishing with 57
- Aged frail because powers are failing 79
 - assets of atrophy with disuse 72
 - changes in responsibilities of young adults effect on 71
 - contributions that can be made by 71
 - drug administration manner of effect 87
 - effect of barbiturates in 88
 - first admission to mental hospitals of 73
 - future of in United States 263
 - incidence of mental disease in increase of 72
 - increase in population 71
 - life interests of restriction 75
 - mechanism of disorganization 79
 - medical management 215
 - modification of drug effects in 83
 - personality traits of 75
 - physical rehabilitation of 254
 - psychiatric defense mechanisms in 251
 - psychiatric problems peculiar to 251
 - psychoses in 73
 - etiologic factors 76
 - factors producing 74
 - psychotherapy of 251
 - regressive psychiatric symptoms in 253
 - restorative medicine to 247
 - role in society physicians contribution to 234
 - role in various societies 250
 - type of cases in mental hospitals 73
- Aging aloneness in 251
- American attitude toward 218
- and intelligence 46
- and mental disorders epidemiology of 112
- assets of 70
- brain metabolism in relation to 19
- concept of implications 70
 - scope 70
- cultural attitudes toward 218
- definition of 93
- dendritic field filling in 16
- effect of calcium on 6
- effect on brain protein 26
- effect on role in family unit 261
- effects on various species 64
- effects in twins 101
- genetics of 93
- health problems of 2
 - inevitable? 17
- interrelationship of causes 42
- meaning of 1
- mechanisms responsible in 16
- metaplast accumulation in 16
- nervous system mechanism in 16
- physical age of beginning 2
- physical changes in 1
- presenescence traits affecting 96
- psychiatric aspects amelioration of 252
 - regressive symptoms 253
- social problems of 2
 - study of reasons for failure to make use of animals in 61
- Air conduction of sound in aged 79
- Akinetic hypertonic signs changes with age 202
- Aloneness in aging 251
- alpha ketoglutaric acid brain content 23
- Alzheimer's disease 144
 - disorganized cyto-architecture in 99

- Alzheimer's disease—*continued*
 genetic aspects 98
 neurofibrillary nerve cell degeneration in 100
 senile plaques in 100
- Andrew, Warren Structural alterations with aging in the nervous system 129
- Aneurysms arteriosclerotic fusiform, 187
 sequence of development 188
 atherosclerotic of basilar artery, 190
 fusiform atherosclerotic, 189
 of brain 185
 ruptured saccular with meningeal cerebral hemorrhage 188
- Animal body optimum performance of, through life 62
- Animal research facilities for 62
- Animals use in study of aging difficulties of 61
- Anticoagulants as prophylaxis against cerebral infarction 192
- Anxiety effect on cerebral blood flow 35
 effect on cerebral oxygen consumption, 35
- Aphasia in hemiplegia management of 228
- Arcus senilis 200
- Arm reflexes changes with age 201
- Army alpha analysis of 46
 components of 47
 follow up on test takers 51
 uneven performance by out of school adults 48
 World War I and World War II results 49
- Arterio-path difficulties in walking 210
- Arteriosclerosis definition of 171
- Arteriosclerotic disease of brain incidence of 174
- Arteriosclerotic vascular disease, of brain, 171
- Arteritis giant cell 213
- Astery, basilar atherosclerotic aneurysm of 190
 cerebellar, thickened wall and narrowed lumen, 190
- Aspirin effect of amount of body area on response to 86
- Ataxie trépidante 210
- Ataxia hereditary pathology of 214
- Atheroma of arteries of brain 182
- Atherosclerosis of basal vessels of brain 173
- Atropine dose required to depress salivation in children 85
- Autonomic ganglia changes with age in 161
- Axon of nerve cells 146
- Axonal movement in neurons 12
- Barbiturates seasonal variation in effectiveness in rats 89
 effecting aged 89
- Barcroft's law 40
- Betz giant cell in a 78 year old woman
 Nissl bodies in 141
see also Giant cell
- Binet-Simon scale development of 46
- Biological of aging cells 1
- Bishydroxycoumarin as anticoagulants 193
- Blood-brain barrier structures constituting involutional changes within 215
- Blood flow cerebral as related to aging 91
 in Pick's disease 101
 effect of age on 94
 relation to age 32
- Blood pressure rapid reduction in 192
- Blood vessels cerebral morphologic changes in 183
- Brain age changes in method errors in determining 165
 aging distinction between primary circulatory and primary metabolic deficiency in 38
 alpha ketoglutaric acid content of 98
 aneurysms of 185
 arteriosclerotic disease of incidence 174
 arteriosclerotic vascular disease of 171
 atherosclerosis of basal vessels of 173
 atrophy of 132
 circumscribed presenile 101
 consistent with senescence 98
 consistent with senile dementia 99
 generalized presenile 97
 in Pick's disease 101
 blood flow effect of aging on 91
 chloride concentrations in 21

- circulatory nutrition study of 31
- effect of arteriosclerotic vascular disease upon 173
- fat concentrations in 23
- glutamic acid content of 28
- glutamine content of 28
- gross changes in with age 132
- gross section of with senile dementia 133
- homogenates respiration of in relation to age 21
- hypertensive disease of 171
- hypertensive vascular disease of incidence 174
- lipids in relation to age 24
- loss of weight in 132
- massive infarction of 176
- metabolism in relation to aging 19
 - reduction in aging individuals 19
- nerve cells of see Nerve cells
- nitrogen concentrations in 23
- nitrogen content in various parts of 22
- oxygen consumption effect of age on 20 31
- phosphorus concentrations in 23
- potassium concentrations in 23
- protein concentrations in 26
 - in relation to age 26
- protein relation of age to amount of 23
- pyruvic acid content of 28
- sodium concentrations in 23
- tissue hypertension of 172
 - main line of defense against infarction 174
 - pathology in senile psychoses 74
- vascular diseases of cases of heart disease with 178
 - diagnosis 181
 - effect of age on incidence 176
 - frequency 173
 - lacunar lesions of 182
 - pathologic states occurring with 178
 - prophylaxis 181
 - treatment 181
 - type of lesion in 173
- water concentration in 23
- water content of effect of age on 21
 - in relation to age 23
- water extracellular and intracellular differentiation in effects of aging 24
- Breakdowns acute 233
 - acute psychologic factors involved in 233
- By laws and constitution of A.R.N.M.D. 271
- Calcium activity effect of age on 5
- Calcium concentration effect on life duration of *Rotifer vulgaris* 6
- Calcium effect on aging process 6
- Calcium oxalate crystals formation at different ages 4
- Calcium salts effect on life span 63
- Cardiovascular death rate in various age groups 3
- Catatonia determining defective mentation in 204
- Cells aging biology of 1
- Central nervous system effect of aging on 17
- Centrum semiovale lacunar changes in 183
- Cerebellum dentate nucleus of 149
 - human lipofuscin of Purkinje cells of 148
 - Purkinje cells of 148
 - death of 151
 - senile Nissl bodies lack of definition of 151
- Cerebral arteriovenous oxygen difference effect of aging on 33 38
- Cerebral blood flow changes causes 39
 - effect of age on 32 33 34
 - effect of anxiety on 33
- Cerebral circulatory deficit primary hypothesis of argument against 37
- Cerebral cortex cells in various regions of at different ages 131
 - changes occurring in various areas of 158
 - changes with age in 154
 - chemical contents of 22
 - neurone density effect of age on 36
 - protein concentration of 23
- Cerebellar degeneration progressive 213
- Cerebral infarct areas in which diseases predispose to 179

- Alzheimer's disease—*continued*
 genetic aspects 98
 neurofibrillary nerve cell degeneration in 100
 senile plaques in 100
- Andrew, Warren Structural alterations with aging in the nervous system 129
- Aneurysms arteriosclerotic fusiform 187
 sequence of development 188
 atherosclerotic of basilar artery 190
 fusiform atherosclerotic 189
 of brain 185
 ruptured saccular with meningo cerebral hemorrhage 188
- Animal body optimum performance of through life 62
- Animal research facilities for 62
- Animals use in study of aging difficulties of 61
- Anticoagulants as prophylaxis against cerebral infarction 192
- Anxiety effect on cerebral blood flow 35
 effect on cerebral oxygen consumption 35
- Aphasia in hemiplegia management of 228
- Arcus senilis 200
- Arm reflexes changes with age 201
- Army alpha analysis of 46
 components of 17
 follow up on test takers 51
 uneven performance by out of school adults 18
 World War I and World War II results 49
- Arterio-path difficulties in walking 210
- Arteriosclerosis definition of 171
- Arteriosclerotic disease of brain incidence of 174
- Arteriosclerotic vascular disease of brain 171
- Arteritis giant cell 213
- Artery basilar atherosclerotic aneurysm of 190
 cerebellar thickened wall and narrowed lumen 190
- Aspirin effect of amount of body area on response to 86
- Astase trépiante 210
- Ataxias hereditary pathology of 214
- Atheroma of arteries of brain 182
- Atherosclerosis of basal vessels of brain 173
- Atropine dose required to depress salivation in children 85
- Autonomic ganglia changes with age in 161
- Axon of nerve cells 146
- Axonal movement in neurons 12
- Barbiturates seasonal variation in effectiveness in rats 89
 effecting aged 88
- Barcroft's law 10
- Betz giant cell in a 78 year old woman Nissl bodies in 141
see also Giant cell
- Binet Simon scale development of 46
- Biology of aging cells 1
- Bishydroxycoumarin as anticoagulants 193
- Blood brain barrier structures constituting involutional changes within 215
- Blood flow cerebral as related to aging 31
 in Fick's disease 101
 effect of age on 34
 relation to age 32
- Blood pressure rapid reduction in 192
- Blood vessels cerebral morphologic changes in 183
- Brain age changes in method errors in determining 165
 aging distinction between primary circulatory and primary metabolic deficiency in 38
 alpha ketoglutaric acid content of 28
 aneurysm of 185
 arteriosclerotic disease of incidence 174
 arteriosclerotic vascular disease of 171
 atherosclerosis of basal vessels of 173
 atrophy of 132
 circumscribed presenile 101
 consistent with senescence 98
 consistent with senile dementia 94
 generalized presenile 97
 in Fick's disease 101
- Blood flow effect of aging on 31
- choline concentrations in 2

- circulatory nutrition, study of, 31
- effect of arteriosclerotic vascular disease upon 173
- fat concentrations in, 25
- glutamic acid content of, 28
- glutamine content of, 28
- gross changes in with age, 132
- gross section of, with senile dementia, 133
- homogenates respiration of, in relation to age, 21
- hypertensive disease of, 171
- hypertensive vascular disease of, incidence, 174
- lipids in relation to age, 24
- loss of weight in, 132
- massive infarction of, 176
- metabolism, in relation to aging 19
 - reduction in aging individuals, 19
- nerve cells of *see* Nerve cells
- nitrogen concentrations in, 25
- nitrogen content in various parts of, 22
- oxygen consumption, effect of age on, 20-31
- phosphorus concentrations in, 25
- potassium concentrations in, 25
- protein concentrations in, 26
 - in relation to age, 26
- protein, relation of age to amount of, 25
- pyruvic acid content of, 28
- sodium concentrations in, 25
- tissue, hypertension of, 172
 - main line of defense against infarction 174
 - pathology in senile psychoses, 74
- vascular diseases of, cases of heart disease with, 178
 - diagnosis 181
 - effect of age on incidence, 176
 - frequency, 175
 - lacunar lesions of 182
 - pathologic states occurring with, 178
 - prophylaxis 181
 - treatment, 181
 - type of lesion in, 173
- water concentration in 25
- water content of effect of age on 21
 - in relation to age, 23
- water, extracellular and intracellular, differentiation in effects of aging 21
- Breakdowns, acute, 235
 - acute psychologic, factors involved in, 235
- By laws and constitution of A.R.N.D., 271
- Calcium activity, effect of age on, 5
- Calcium concentration, effect on life duration of *Rotifer vulgaris*, 6
- Calcium, effect on aging process, 6
- Calcium oxalate crystals, formation at different ages, 4
- Calcium salts, effect on life span, 63
- Cardiovascular death rate, in various age groups, 3
- Catatonia determining defective mentation in, 204
- Cells, aging biology of, 1
- Central nervous system, effect of aging on, 17
- Centrum semiovale, lacunar changes in, 183
- Cerebellum, dentate nucleus of 149
 - human, lipofuscin of Purkinje cells of, 148
 - Purkinje cells of, 148
 - death of, 151
 - senile, Nissl bodies lack of definition of, 151
- Cerebral arteriovenous oxygen difference, effect of aging on, 33, 38
- Cerebral blood flow, changes, causes, 39
 - effect of age on, 32, 33, 34
 - effect of anxiety on, 35
- Cerebral circulatory deficit, primary, hypothesis of, argument against, 37
- Cerebral cortex, cells in various regions of at different ages, 131
 - changes occurring in various areas of, 158
 - changes with age in, 154
 - chemical contents of, 22
 - neurone density, effect of age on, 36
 - protein concentration of, 25
- Cerebellar degeneration, progressive, 213
- Cerebral infarct, areas in which diseases predispose to, 179

- Cerebral infarction, anticoagulants as prophylaxis against, 192
cortisone therapy of, 193
- Cerebral oxygen consumption, effect of age on, 33
effect of anxiety on, 35
- Cerebral vascular insufficiency, 173
- Cerebral vascular resistance, relation to age, 32
- Cerebral vessels, acute intermittent insufficiency of, 173
- Cerebro cardiovascular insufficiency, management of, 193
- Cerebrovascular disease, therapy of, 191
- Cerebrovascular resistance, effect of age on, 33
- Chloride, concentrations in brain tissue, 25
- Chorea senile, 212
- Choroid plexus, senile change in, 215
- Constitution and by laws of A R N M D, 271
- Cortical gliosis, in Pick's disease, 103
- Cortisone, use in therapy of cerebral infarction, 193
- Counterpull, 203
- Cramps, muscular atrophy and, 211
- Critchley, Macdonald Neurological changes in the aged, 198
- Cytoplasm, changes in, 139
constituents of, 139
pigment in, of nerve cell 141
zone of, pigment accumulation in, 155
- Dendrite, aberrant, of Purkinje cell, in senile mouse, 147
- Dendrites, of nerve cells, 146
- Dendritic field filling of in aging 16
- Dentate nucleus nerve cell 136
- Diet, effect on life spans 63
effect on male vs female life spans 63
effect on terminal disorders 65
- Digitalis effect of amount of body weight on response to 86
- Disability, active management of in the aged 254
- Diseases of old age, life span and hibernation, relationship between 62
- Dizzy spells management of, 193
- Drop seizure, 212
- Drugs, cardiovascular effects dependent on state of heart and blood vessels 89
confusion in literature of effects of age on action of, 81
effects of various, on various animals at various ages, 81
correlation investigation of, 89
correlation with age, 85
distribution as factor in, 88
metabolism as factor in, 88
modification by aging 83
establishing optimal dosage by age groups for, 89
seasonal variation in effectiveness of, 89
- Dysbasia, 209
- Education, relation of birth date to amount of, 49
- Flastin, calcium content, age variations of 3
- Encephalopathy, hypertensive, 172
- Epidermis growth of column of, 10
- Epilepsy senile, 212
- Epiphyseal union, incomplete, in old rats 68
- Etat lacunaire, 181
- Extra pyramidal disorder, motor sign characteristic of, 201
- Extra pyramidal manifestations, with age, 202
- Family unit, effect of age on role in 261
- Fat concentrations in brain tissue, 25
- Feeble minded children later social status 52
- Fingers in hemiplegic patient rehabilitation of 227
- Flexed attitude in old age reasons for 203
- Flexion general as hall mark of old age 203
- Foley Joseph M Hypertensive and arteriosclerotic vascular disease of the brain in the elderly 171
- Formalin immersion fixation effect on nerve cells 187
nerve cell sensitivity to 164
- Gait functional disorders of 210
senile disorders of 204
from spinal lesions 210

- Galloxyanin method for staining nerve cells 164
 Ganglia autonomic gross changes in 134
 Ganglion block stellate rationale of in management of cerebral infarct 192
 Ganglion cell changes in reasons for 163
 human autonomic histochemical studies in 142
 Genetic aspects of specific disorders of the senium 97
 Genetic variations in aging differentiation 93
 Genetics of aging 93
 role in general health 104
 role in longevity potentials 101
 Geriatrics physicians training in 246
 training medical students in 266
 Gerontoxon 200
 Giant cell arteritis 213
 Giant cells appearance of 152
 Golgi apparatus of nerve cells 141
 Globus pallidus nerve cells in at various ages 153
 Glutamic acid brain content 28
 Glutamine brain content 28
 Gaseum pontis lacunar changes in 183
 Growth rate effect on life span 64
 Growth retarded aging processes in various parts of body in 64
 in rats in parabiotic union longevity studies in 63
 Gruenberg Ernest M. Epidemiology of mental disorders and aging 112
 Hair loss of following parabiotic union in rats 66
 Hamsters parabiotic union between in life span studies 66
 Hands muscular wasting in 211
 tremor of 208
 Health general genetic aspects 101
 Heart disease cases of vascular diseases of brain in 148
 Heel cord short treatment 226
 Hematomas bilateral subdural in old ment dying of tentorial herniation and mid brain crush 186
 Hemiplegia apoplectic bilateral weakness following 209
 ordinary spastic 226
 principal deformities in 226
 rehabilitation in 225
 right in a right handed person rehabilitation 227
 Hemodynamic crisis 173
 Hemorrhage massive subarachnoid 191
 Heparin as anticoagulant 193
 Hibernation length of life and diseases of old age relationship between 62
 Himwich Harold E. Brain metabolism in relation to aging 19
 Himwich William A. Brain metabolism in relation to aging 19
 Hypertension definition of 171
 of brain earliest morphologic change in 172
 tissue 172
 Hypertensive disease of brain 171
 Hypertensive vascular disease incidence of 174
 Hypothalamus nuclei of changes with age in 151
 Infarct necrosis 175
 Intellectual decline evidence utilized in criticism of 49
 Intelligence aging and 46
 components of 47
 curve of pitfalls surrounding estimate of 54
 decline in with age 53
 defining 58
 social measurement by tests 47
 test scores effect of schooling on follow up studies 50
 formal schooling and 48
 on mentally deficient children later retests 52
 penalty of speed on 53
 varying age group factors entering into 54
 what they measure 47
 Jakob's disease 212
 Kallman Franz J. The genetics of aging 93

- Cerebral infarction, anticoagulants as prophylaxis against, 192
 cortisone therapy of, 193
- Cerebral oxygen consumption, effect of age on, 33
 effect of anxiety on, 35
- Cerebral vascular insufficiency, 173
- Cerebral vascular resistance, relation to age, 32
- Cerebral vessels, acute intermittent insufficiency of, 173
- Cerebro-cardiovascular insufficiency, management of, 193
- Cerebrovascular disease, therapy of, 191
- Cerebrovascular resistance, effect of age on, 33
- Chloride, concentrations in brain tissue, 25
- Chorea, senile, 212
- Choroid plexus, senile change in, 215
- Constitution and by laws of A.R.N.M.D., 271
- Cortical gliosis, in Pick's disease, 103
- Cortisone, use in therapy of cerebral infarction, 193
- Counterpull, 203
- Cramps, muscular atrophy and, 211
- Critchley, Macdonald Neurological changes in the aged, 198
- Cytoplasm, changes in, 139
 constituents of, 139
 pigment in, of nerve cell, 141
 zone of, pigment accumulation in, 155
- Dendrite, aberrant, of Purkinje cell, in senile mouse, 147
- Dendrites, of nerve cells, 146
- Dendritic field, filling of in aging 16
- Dentate nucleus nerve cell, 136
- Diet, effect on life spans 63
 effect on male vs female life spans 63
 effect on terminal disorders 65
- Digitalis, effect of amount of body weight on response to, 86
- Disability, active management of in the aged, 254
- Diseases of old age, life span, and hibernation, relationship between, 62
- Dizzy spells, management of, 193
- Drop seizure, 212
- Drugs, cardiovascular effects dependent on state of heart and blood vessels, 89
- confusion in literature of effects of age on action of, 84
- effects, of various, on various animals at various ages, 84
- correlation investigation of, 89
 correlation with age, 85
 distribution as factor in, 88
 metabolism as factor in, 88
 modification by aging, 83
 establishing optimal dosage by age groups for, 89
 seasonal variation in effectiveness of, 89
- Dysbasia, 209
- Education, relation of birth date to amount of, 49
- Elastin, calcium content, age variations of, 3
- Encephalopathy, hypertensive, 172
- Epidermis growth of column of, 10
- Epilepsy, senile, 212
- Epiphyseal union, incomplete, in old rats, 68
- État lacunaire, 184
- Extra pyramidal disorder, motor sign characteristic of, 204
- Extra pyramidal manifestations, with age, 202
- Family unit, effect of age on role in, 261
- Fat, concentrations in brain tissue, 25
- Feeble minded children, later social status 52
- Fingers in hemiplegic patient, rehabilitation of 227
- Flexed attitude, in old age, reasons for, 203
- Flexion, general as hall mark of old age, 203
- Foley, Joseph M. Hypertensive and arteriosclerotic vascular disease of the brain in the elderly, 171
- Formalin, immersion fixation effect on nerve cells, 165
 nerve cell sensitivity to 164
- Gait, functional disorders of, 210
 senile disorders of 208
 from spinal lesions, 210

- ipation and attitudes toward aging 248
- Meyer Eugene Acute breakdowns 235
- Mice Purkinje cells of 112
- Milk effect on life span 65
- Mitochondria association between age pigments and 5
- Monzygotic twins at age of 23 and 78 106
- Motility of aged mental influences affecting 203
- Motor cortex in individuals of various ages 127
 - large pyramidal cells of layer V of 137
- Movement slowness of in old age 203
- Muscular atrophy senile 211
 - spreading 211
- Muscular dystrophy in aging rats 68
- Muscular wasting of hand 211
 - in old age 200
- Myelin decrease with age 40
- Myocardial infarction softening of artery of brain with 181
- Nosclerosis 210
- Nerve cells accumulation of lipofuscin in 160
 - accumulation of relatively inert materials in 153
 - axon of 146
 - changes in leading to loss of 132
 - outline 134
 - relationship to satellite cells 134
 - size 131
 - death of study of 131
 - decrease in size with aging 135
 - defensive or compensatory change in 162
 - degeneration in Alzheimer's disease 100
 - degenerative changes in 133
 - dendrites of 146
 - fat accumulation in, 155
 - formalin immersion fixation of 165
 - formalin sensitive 164
 - Golgi apparatus 144
 - high degree of specialization in 129
 - importance of Nissl bodies in 139
 - in nucleus mediotellularis 151
 - in nucleus parafascicularis 151
 - in nucleus parvocellularis 151
 - increased satellitosis in 158
 - life span of 130
 - reason for 130
 - lipofuscin in 141
 - lipofuscin pigment accumulation of 145
 - lipophil fields in 157
 - loss of from thalamus 130
 - melanin 141
 - mitochondria of 141
 - neurofibrillar complex 143
 - neuronocytolysis in 158
 - nucleolus of fat in 143
 - nucleus fat in 143
 - number of 129
 - of globus pallidus at various ages 133
 - of substantia nigra of a 41 year old man 142
 - perfusion fixation method 167
 - pigment accumulation in 135
 - polydendritic cells in 146
 - position of nucleus of in old age 138
 - postmortem changes in 163
 - reactive or defensive phenomena against aging in 152
 - remains of 139
 - senescence of theories concerning 162
 - senile cytoplasm of 139
 - shape of nucleus of 138
 - shrinkage of 134
 - direction of 133
 - specificity of age changes in particular groups of 147
 - with multiple nucleoli 154
- Nerve fibers in ordinary regeneration 11
 - in regeneration combined with constriction 11
- Nervous system structural alterations in with aging 129
- Neurofibrillar complex of nerve cell 145
- Neurologic patients elderly rehabilitation of 224
- Neurologic signs of "normal" aged persons 200
- Neurological changes in the aged 198
 - with age pathological aspects 199
 - research difficulties in 198
- Neurological damage evaluation of 232
- Neurological disorders of old age commonest symptoms 206
 - diagnosis 206 207

- Learning ability of older adults 51
- Lacunar lesions of brain 182
- Lansing Albert I. Biology of aging cells 1
- Lisagna Louis Drug effects as modified by aging 83
- Legs muscles and tendons of changes with age 210
 - progressive myosclerosis of 211
- Lenticular nucleus lacunar degeneration of 183
- Life expectancy extension reasons for 67
- Life span effect of diet on 63
 - effect of growth rate on 61
 - effect of parents longevity on 108
 - effect of partial starvation on 64
 - experimental prolongation of 61
 - females vs males 63
 - hibernation and diseases of old age relationship between 62
 - of rat on unrestricted food intake 68
 - sheep erythrocyte 91
 - studies on rats joined in parabiotic union 63
- Lipid material argentophilic accumulation in Lick's disease 104
- Lipid metabolism disturbance of familial trend in 102
- Lipids brain in relation to age 24
- Lipofuscin accumulation of in nerve cells 160
 - in nerve cells 141
 - pigment accumulation of in nerve cells 143
- Lipophil fields in large pyramidal and giant cells 157
- Little stroke 183
- Locus coeruleus changes with age in 151
- Longevity potentials genetic aspects 104
- Long Irving Aging and intelligence 46
- Lunsford Wanda Experimental prolongation of the life span 61
- Malamud William The psychopathology of aging 70
- Mandible tremor of 208
- Marche à petit pas 183
 - Masson positive inclusions arrival with age 151
- McCay Clive M. Experimental prolongation of the life span 61
- Medical management of older person 245
- Medicine restorative in the aged person 217
- Melanin in nerve cells 141
- Membership list of A.R.N.M.D. 228
- Meninges gross changes in with age 132
 - thickening with age 132
- Meningioma frontal parasagittal 187
- Menopause involutional psychoses in women during 75
- Mental acuity loss of relation to generalized cerebral or metabolic deficit 37
- Mental deficiency prevalence in Norway Syracuse England Formosa and Baltimore 120
- Mental disorders age of onset 123
 - all psychoses prevalence in Syracuse Norway England Formosa and Baltimore 123
 - and aging epidemiology of 112
 - data on arteriosclerotic psychoses 122
 - data on senile psychoses 122
 - first admission rates England and Massachusetts 117
 - for patients 65 years of age 115
 - for selected diagnoses by age 114
 - percentage change between 1910 and 1950 116
 - genetic origin of various 121
 - most frequently occurring 123
 - need for study of 127
 - prevalence in Norway Tennessee Formosa and Baltimore 119
 - relationship of selected studies to certain weaknesses 126
 - resident patient rates for selected diagnoses by age 113
- Mental hospitals number first admissions of aged to 73
 - type of for aged 73
 - relationship of that of normal senescent to that of senile dement 199
- Mental neuroses all prevalence of in Norway Formosa and Baltimore 121
- Metabolism as factor in drug effects 88
- Metaplasia accumulation of 16
- Métraux Rhoda Effects of cultural antic

- Potassium concentrations in brain tissue 25
- Pycrebratus* 185
- Presenescence traits affecting aging 96
- Protein brain effect of aging on 26
 relation of age to 25
 concentrations in brain in relation to age 96
 of cerebral cortex 25
 examination within a single cell 26
 tissue content x ray microradiography in 27
- Protoplasm reproduction mechanisms of, 14
- Psychiatric problems peculiar to aged 251
- Psychiatry and internal medicine integration in rehabilitation of the aged 255
- Psychomotor negativism 203
- Psychopathology of aging 70
- Psychoses arteriosclerotic, brain tissue pathology in 74
 in aged 73
 etiologic factors 76
 factors producing 74
 involutional in women during menopause 75
 presenile brain tissue pathology in 74
 senile brain tissue pathology in 74
- Psychotherapy of the aged 251
- Pupillary changes with age 200
- Purkinje cell aberrant dendrite of in senile mouse 147
 amitotic division of nucleus of 149
 binucleate in a senile mouse 149
 of a 24 year old human subject 151
 of a 55 year old man Nissl bodies in 140
 of a 78 year old man Nissl bodies in 141
 of a 100 year-old human subject 151
 of cerebellum 148
 of old female mouse 145
 of young male mouse 145
- Putamen lacunar changes in 183
 lacunar infarcts degenerative changes in blood vessels in 184
- Pyruvic acid brain content 28
- Rats age of death in various colonies 68
 life span on unrestricted food intake 68
- old incomplete epiphyseal union in 68
 muscular dystrophy in 68
- Reflex phenomena changes with age, 201
- Rehabilitation in hemiplegia 225
 of elderly neurologic patients 224
 of the aged 244
- Religion as factor in old age 209
- Research animals difficulties of 61
 facilities for 62
 animals choice of species in research on aging 61
 difficulties of in neurological changes in the aged 198
- Reserpine use in rehabilitation of the aged 257
- Respiration of brain homogenates in relation to age 21
- Retirement 237
 ages 81 243
 ability to work beyond 243
 medical limitations after 244
 and retirement plans 242
 as bereavement or grief 238
 as change or transition 237
 as loss of activity 238
 desirability of 269
 factors involved in 237
 family roles available to 240
 loss of income after 238
 meaning of 237
 plans 242
 second careers after 240
 sick role following 241
 social isolation after 238
 social organization membership following 240
 social role after 239
 social status change after 239
- Rostral pons extensive infarction of 177
- Rotifer vulgaris* effect of calcium concentration on life of *Rotifer vulgaris* 6
- Rusk Howard A Rehabilitation of the elderly neurologic patient 224
- Salicylate dosage relation between blood concentration and in patients of varying sizes 87

- Neurological disorders, peculiar to senium, 205
- Neurologist, clinical, training of, 229
- Neuron, adjustment to functional activity, 13
 - continuous growth of, confirmation, 13
 - density, effect of age on, 36
 - growth of, anatomy, 11
 - how it occurs, 12
 - level of synthesis in, conditions governing 13
 - life history of, 8
 - new theory of, 8
 - old theory of 8
 - mode of growth of 10
 - movement, axonal, 12
 - within, 12
 - nucleus of degenerative changes in, 137
 - perpetual growth, 10
 - physical constituents, 12
 - protoplasmic reproduction mechanism, 14
 - qualitative modifications in, 14
 - regeneration of, problems of, 17
 - size of 14
 - governing factors, 10
 - stability of 9
- Neuronocytolysis, in nerve cells, 158
- Nissl bodies and presence of inert substances, relationship between 139
 - lack of definition of in senile cerebellum 131
 - importance in nerve cells, 139
 - in Betz giant cell, in a 78 year old woman, 141
 - in Purkinje cell, of a 53 year old man, 140
 - of a 78 year old woman 140
- Nissl methods accuracy of in nerve cells 164
- Nitrogen concentrations in brain tissue 25
 - content in various parts of brain 22
- Nuclei, lenticular, lacunar infarction of, 183
- Nucleus mediodorsalis changes with age in, 151
- Nucleus mediodorsalis, destruction of nerve cells in, 151
- Nucleus of the neuron, degenerative changes in, 137
- Nucleus parafascicularis, nerve cells in 151
- Nucleus parvocellularis changes with age in 151
 - destruction of nerve cells in 151
- Nutrition, relation to the life span 62
- Ocular movement, changes with age 200
- Old age clubs value of, 232
- Oligodendroglia in dendrite of pyramidal cell 158
- Olivary nucleus inferior, pigment deposit in, 150
- Olive inferior, nerve cells of in 29 year old man, 156
- Oxygen consumption cerebral is related to aging 31
 - relation to age 33
 - of brain effect of age on 20
- Parabiosis disease 66
- Parabiotic union in rats techniques used in 66
- Paraplegia cortical 209
 - senile 209
- Pelvic ganglia multinucleation in 164
- Perfusion fixation method of nerve cells 167
- Perikaryon 10
- Personality traits of aged 75
- Phosphorus concentrations in brain tissue 25
- Physician contribution to role of older persons in society 234
- Picks cell ballooned 103
- Picks disease argentophilic lipid material accumulation in 104
 - brain atrophy in 101
 - cortical gliosis in 103
 - disorganized cyto architecture in 102
 - genetic aspects 98
- Pigment accumulation in ventral horn of spinal cord 147
 - deposit of in inferior olivary nucleus 150
- Plantar responses changes with age 202
- Plaques senile association with histochemical anomaly, 215
- Pope, Frank Experimental prolongation of the life span 61

- longitudinal, aging effects in, 104
- senescent, and their siblings, effect of
parental age on life spans of, 108
- Tyhurst, J. S. Retirement, 237
- Vascular resistance cerebral, relation to
age, 32
- Ventricles lateral, gross changes in, 132
- Vertebral basilar system, atherosclerotic
aneurysmal deformities of, 190
- Vitamin A, effect on life span, 63
- Walking ability, impairment, 209
- Walking difficulty in, in arteriopaths, 210
- Water concentration in brain tissue, 25
- content, of brain, effect of age on, 21
in relation to age, 23
- Weinberg Jack Psychotherapy of the aged
person, 231
- Weiss, Paul The life history of the neuron,
8
- Work attitudes, changes with age, 58
- X ray microradiography, in determining
protein content of tissue, 27
- Young adults, responsibility for aged, 72
- Zeman, Frederic D. Rehabilitation of the
aged, 254

- Sargent, Dwight S. Retirement, with special emphasis upon retirement plans, 242
- Satellitosis, increased, in nerve cells, 158
- Schooling, formal, and intelligence tests, 48
- Seegal, David. Some comments on the medical management of the older person, 245
- Senescence, brain atrophy consistent with, 98
pigment of, nature of, 142
- Senescent, normal, mental life, relationship to that of senile dement, 199
- Senile chorea, 212
- Senile dementia, brain atrophy consistent with, 98
genetic aspects, 97
- Senile epilepsy, 212
- Senile muscular atrophy, 211
- Senile Muskelstarre, 212
- Senile myopathy, 210
- Senile nocturnal delirium, 78
- Senile paraplegia, 209
- Senile plaques association with histochemical anomaly, 215
in Alzheimer's disease, 100
- Senile psychoses brain tissue pathology in, 74
- Senile tremor, 206
clinical features, 207
hereditary aspects, 208
morbid anatomy, 207
- Senile Vorsteifung, 212
- Senile syncope, 212
- Senility, pericocious, 216
- Senium neurological disorders peculiar to, 205
specific disorders of, genetic aspects, 97
- Sensibility, common changes with age, 201
- Sensory ganglia, changes with age in, 159
- Sensory ganglion cells, postmortem changes on, 161
- Sheep erythrocyte, age of, effect on susceptibility to destruction, 92
life span, 91
- Shoulder ankylosis pulley therapy to prevent, 226
- Social intelligence, measurement by tests, 47
- Sodium concentrations, in brain tissue, 25
- Sound, air conduction of, in aged, 79
therapy, in aphasia, 228
- Spinal cord, ventral horn of, pigment accumulation in, 147
- Spinal lesions, effect on gait, 210
- Starvation, partial, effect on life span, 61
- Status cribratus, 185
- Status macrobioticus multiparus, 208
- Stimuli, external exclusion of, as defense mechanism of aged, 235
- Substantia nigra, changes with age in, 151
nerve cell of, 112
of 78 year old woman, 156
reactive process in, 151
- Sulfa drug toxicity, to aged, 84
- Sulfadiazine, dosage, relation between blood concentration and, in patients of varying sizes, 86
effect of amount of body area on response to, 86
- Supraoptic nucleus gigantism of cells in old age, 153
multinucleate cells from, 153
nerve cell with, 154
- Suspended animation for prolongation of life span, 62
- Syncope senile, 212
- Tendon jerks changes with age, 201
- Terman Concept Mastery Test follow up test on, 51
- Terminal disorders effect of diet on, 65
- Thalamus leucinar changes in, 183
loss of nerve cells from, 150
nuclei of degeneration rate differences in, 151
- Tranquilizers use in rehabilitation of the aged, 257
- Traumatic neuroses, 236
- Tremblement affirmatif, 207
- Tremblement negatif, 208
- Tremor essential rigidity and, 208
in old age, 201
of hands, 208
of mandible, 208
senile hereditary aspects, 208
- Twin pairs biennial mean intra pair life span differences in, 107
- Twins, dizygotic twins at ages of 23 and 73, 105

